UNITED STATES GOVERNMENT

Memorandum

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE SOCIAL SECURITY ADMINISTRATION

CV-5-13

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то :	Harry J. Stahmer Acting Director	DATE: November 7, 1975					
FROM :		REFER TO: IRI-451					
	Contract Coverage Branch						
SUBJECT:	Modification No. 92,	Rhode Island					
	Enclosed is the above identified modification and supporting documents for necessary action.						
	218(b) (5) Coverage Groups	unk. No. of Employees					
	218(d) (4) Coverage Groups	No. of Employees					
	Other (See Remarks)	No. of Employees					
	1 Total No. of Coverage Groups	unk. Total No. of Employees Covered					
-	Pamawka -						

Enclosure(s,

CButler:gcb 11/7/75

MODIFICATION NO. 92

Rhode Island Port Authority and Sconomic Development Corporation

One Weybosset Hill

Providence, Rhode Island 02903

"It is hereby agreed that the reports and payments made pursuant to the provisions of the Federal Insurance Contributions Act with respect to wages paid to employees of Rhode Island Port Authority and Economic Development Corporation for the period

in which the services of such employees are covered under the agreement between the State of Rhode Island and the Department of Health, Education, and Welfare pursuant to Section 218 of the Social Security Act will be considered as having been paid pursuant to the agreement. It is understood, however, that the State is not relieved of its liabilities with respect to the preparation of any supplemental was e reports and payment of contributions for such periods in the event such wage reports or contributions are necessary to conform old-age, survivors, and disability coverage of services of the employees involved in the prior periods to the terms of this agreement, or, in the event any refund should be made of amounts paid as rederal Insurance Contributions act taxes when the same amount is payable under this agreement."

"If any refund is made of the amounts paid as taxes under the Federal Insurance Contributions Act with respect to wages paid for services which are covered under this agreement, the State will repay such amounts upon receipt of notice from the Social Security Administration. Interest will be charged with respect to any payment not baid within the time prescribed by Regulations No. 4, Section 404.1255."

General Treasurer

Page 2 - District Manager
Providence, Rhode Island
ATTN: John L. Vargas, Jr.
Assistant District Manager

the power to: sue and be sued in its corporate name; have a seal; acquire, own, and dispose of real and personal property; make contracts and guaranties and incur liabilities; borrow, lend and invest money; elector appoint officers and agents and define their duties and fix their compensation; accept assistance in any form from the United States, the State, or any other source; issue negotiable bonds and notes; fix, change and collect rents and fees for the use of any port project; prescribe rules and regulations; and establish penalties for violations of any order, rule or regulation.

In view of its independent function and the aforementioned powers characteristic of a political subdivision, our opinion in that the Rhode Island Port Authority and Economic Development Corporation is a separate juristic entity and a separate "political sucdivision" within the meaning of Section 213 of the Social Security Act. Therefore, in norder to extend coverage to the corporation's employees, the State must execute a modification to include the corporation as a coverage group pursuant to Section 213(c)(4).

cc: Soc Sec Div. Balt

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE SOCIAL SECURITY ADMINISTRATION

Date:

June 10, 1975

Reply to

John L. Vargas, Jr., Assistant District Manager

Attn of:

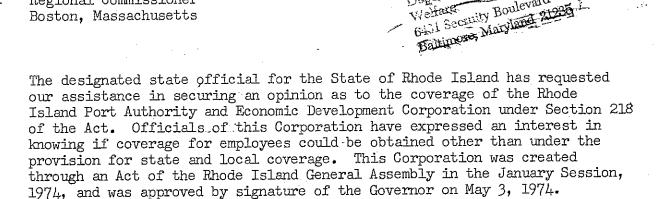
Providence, Rhode Island

Subject:

State and Local Coverage. Request for an Opinion on Coverage Counsel

To:

Regional Commissioner Boston, Massachusetts



6401 Security Boulevard

We are enclosing for your review 2 copies of the amended Section 1, Title 42, of the general laws entitled "State Affairs and Government" which incorporates Chapter 64 creating this public corporation. Upon review, please advise us of your opinion regarding coverage for employees of this corporation so that the information can be made available to the designated official.

Enclosures

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE SOCIAL SECURITY ADMINISTRATION

Date: October 3, 1975

Reply to Charles R. Cote, District Manager

Attn of: Providence, Rhode Island

Subject: State and Local Coverage. Rhode Island Modification No. 92 to the Federal-State Agreement

To: Regional Commissioner Boston, Massachusetts

Enclosed are the following:

- 1. Five copies of Modification No. 92, covering the services of employees of the Rhode Island Port Authority and Economic Development Corporation.
- 2. Two copies of the law establishing this governmental entity.

All enclosures were hand carried to this office on September 30, 1975, and were date stamped in immediately upon receipt.

Your assistance is appreciated in reviewing the enclosures and forwarding them for approval.

Enclosures

Chabs Plt



State of Rhode Island and Providence Plantations TREASURY DEPARTMENT

OFFICE OF THE GENERAL TREASURER

September 30, 1975

Mr. Alexander Capalbo
Assistant District Office Manager
Social Security Administration
200 Dyer Street
Frovidence, Rhode Island 02903

Dear Mr. Capalbo:

Enclosed is Modification #92 to extend Social Security coverage to the Rhode Island Port Authority and Economic Development Corporation.

For your convenience I have also enclosed 2 copies of the statue involved.

The reporting information is as follows: CCVERAGE NAME

Rhode Island Port Authority and Economic Development Corporation

Executive Director
Rhode Island Port Authority
and Economic Development Corp.
Cne Weybosset Hill
Providence, Rhode Island 02903

Deputy Director of Social Security

DEPARTMENT OF HEALT. MENT OF HEALT. EDUCATION, AND WELFARE SOCIAL SECURITY ADMINISTRATION BOSTON REGION

MEMORANDUM

Pasquale F. Caligiuri Acting Director, BRSI

DATE: October 16, 1975

ICF-R1

FROM

Vincent G. Gavin

Acting Regional Commissioner, Boston

SUBJECT: State and Local - Rhode Island Modification No. 92

We are enclosing for your approval five copies of Modification No. 92 submitted by the State of Rhode Island in connection with the Federal-State agreement under Section 218 of the Social Security Act.

This Modification was personally delivered to the Providence, Rhode Island District Office on September 30, 1975.

This Modification has been reviewed by this office and cleared for approval by the Regional Attorney.

Enclosures

XXXX FOR YOUR INFORMATION PHONE PREPARE REPLY FOR SIGNATURE OF COMMENT ☐ SIGNATURE APPROVAL Asst. Regional Attorney, "deorge_Eng----6401 Security Blvd. <u>Social Security Division</u> BUILDING MOTE AND RETURN ☐ NOTE AND SEE ME REVIEW (Fold here for return) □ NECESSARY ACTION AGENCY AS REQUESTED PER CONVERSATION ROOM Region ROOM

FORM HEW-30 PREV. 11/36 ROUTE SLIP SUS. GOVERNMENT PRINTING OFFICE: 1974 620-399/3503 1-3

MEMORANDUM

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARI OFFICE OF THE SECRETARY REGION I

. Regional Commissioner, SSA

DATE: October 14, 1975

Office of the General Counsel

Boston Regional Office

SUBJECT: State and Local Coverage - Rhode Island Modification No. 92 - Rhode Island Port Authority and Economic Development Corporation

Cleared for Approval

In our memorandum of July 2, 1975, a copy of which is enclosed, we determined that the above-named corporation is a "political" subdivision" within the meaning of Section 218 of the Social Security Act. However, the subject entity has erroneously filed wage reports and contribution returns with the Internal Revenue Service without having executed a modification to include the entity as a coverage group pursuant to Section 218 (c)(4) of the Act.

Modification No. 92 conforms with the procedures to correct this error and to provide coverage for the periods in which the erroneous reports were made. This error modification is therefore cleared for approval providing that there has been no request for or refund of amounts erroneously paid under the Federal Insurance Contributions Act. Handbook for State Social Security Administrators Sections 330, 360 - Exhibit 35.

✓ George Eng

Assistant Regional Attorney

Enclosures: Modification No. 92

Memo dated 7-2-75

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

JOHN F. KENNEDY FEDERAL BUILDING GOVERNMENT CENTER BOSTON, MASSACHUSETTS 02203

OFFICIAL BUSINESS
PENALTY FOR PRIVATE USE, \$300

POSTAGE AND FEES PAID
U. S. DEPARTMENT OF H. E. W.

HEW 391





Social Security Division 6401 Security Blvd.
Baltimore, Maryland 21235



Rhode Island RT FOR TRANSFER CASE

NAME Lode Island Port Authority & Economics Develops. Corp SCOUT REPORT FOR TRANSFER CASE INFORMATION REQUESTED Furnish data listed below for quarters 1st gt Rel thru Current Check for 69 No. Litt Check 6499 File Other Check for IRD No. Will Check for ER CORR File Copy of SS-4 See attal Det. if IRD No. is corr. MASTER BLOCK UNIT-NUMBER QUARTER TOTAL EES TOTAL WAGES SEQ. NO. ACCT, QTR.

REQUESTED BY Butter (BRSI)

SCOUT

8230

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FORM 0145 Frayhelay

District Manager
Providence, Rhode Island
ANTH: John L. Vargas, Jr.
Assistant District Manager

George Eng Assistant Regional Attorney

State and Local Coverage - Knode Island Port Authority and Economic Development Corporation

You requested an opinion concerning the status of the above-named entity for purposes of Social Security Coverage for its employees. There is a question whether the coverage would fall within Social Security Act Sec. 218. Section 210(a)(7) of the Social Security Act specifically excludes from the definition of employment for Mitle II purposes "Service performed in the employ of a state, or any political subdivision thereof, or any instrumentality of any one or more of the foregoing which is wholly owned thereby except for transportation service covered under Section 210(k) and service included by agreement under Section 218. Since the subject corporation is not within the transportation service exception, if it falls within any of the above mentioned covernmental categories, Social Security Coverage can be extended to its employees only you agreement with the State under Section 218 of the Act.

The corporation was created by "The Rhode Island Fort Authority and Economic Development Corporation Act", Chapter 100 P.L. 1974, State of Rhode Island, for the purposes of acquiring and developing real and personal property in order to promote the economic development of the State. Section 42-64-4(b) provides that performance by the corporation is deemed to be performance of an essential governmental function of the state for public purposes.

Setion 42-64-4(a) designates the corporation a governmental agency and public instrumentality of the state. As an instrumentality of the state, the corporation is excluded from coverage under Section 210(a)(7) unless specifically included under the state and local provisions.

The corporation's status under Social Security is determined solely under Section 213 of the Act. The issue is whether the employees of the corporation are state employees or whether the corporation is an independent legal entity constituting a political subdivision.

Section 42-64-4 establishes the corporation as "... having a distinct legal existence from the State and not constituting a department of State government... "The Act confers on the corporation all powers, authority, rights, privileges and titles which may be necessary to accomplish its purposes-specifical

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