



State of Rhode Island and Providence Plantations
Office of the General Treasurer

Seth Magaziner
General Treasurer

October 21, 2015

VIA EMAIL ONLY [REDACTED]

Empower Retirement
[REDACTED]

8525 E Orchard Road, 1 OT3
Greenwood Village, CO 80111

RE: Public Records Request

Dear [REDACTED]

We write in response to your August 28, 2015 request for information pursuant to the Rhode Island Access to Public Records Act (APRA) which was received in our office on September 9, 2015. Your specific request is noted below along with our corresponding response:

Empower Retirement respectfully requests, in accordance with the public records freedom of information act laws in your state, the following information:

- 1. The most recent service/administrative agreements related to marketing, education and administration of the State of Rhode Island Defined Contribution Plan. Also, please include any Exhibits &/or Schedules related to these agreements. (Please see attached agreement with references to Exhibits)*
- 2. The most recent quarterly plan statement for the State Of Rhode Island Defined Contribution Plan.*
- 3. Assets by fund for most current period available. Please include fund expenses and revenue if possible.*

On September 22, 2015 we provided you with responses to Requests Nos. 1 and 2. Enclosed please find a document responsive to Request No. 3. If you contend you have been denied access to public records, pursuant to Rhode Island General Laws §38-2-8, you may appeal this decision to Jeffrey M. Padwa, General Counsel for the Rhode Island General Treasurer's Office, 50 Service Avenue, 2nd Floor, Warwick, RI 02886. You may also file a complaint with the Department of the

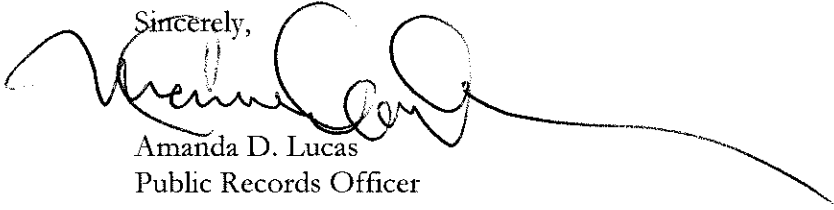
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Attorney General, 150 South Main Street, Providence, RI 02903 or the Rhode Island Superior Court of the county where the records are maintained.

Additional information regarding the Access to Public Records Act may be found at <http://www.riag.ri.gov/civil/opengovernment>.

Sincerely,

A handwritten signature in black ink, appearing to read 'Amanda D. Lucas', with a long horizontal flourish extending to the right.

Amanda D. Lucas
Public Records Officer