

Employees' Retirement System of Rhode Island

Retirement Board Meeting

December 14, 2016

9:30 A.M.



Seth Magaziner, General Treasurer, Chairman

Frank J. Karpinski, Executive Director



ERSRI Memorandum

ERSRI Board:

Date: December 7, 2016
To: Retirement Board
From: Frank J. Karpinski, Executive Director
Subject: December 2016 Monthly Board Meeting

Seth Magaziner
General Treasurer
Chair

William B. Finelli
Vice Chair

Daniel L. Beardsley

Roger P. Boudreau

Mark A. Carruolo

Michael DiBiase

Paul L. Dion

Thomas M. Lambert

John P. Maguire

Marianne F. Monte

Thomas A. Mullaney

Claire M. Newell

Jean Rondeau

Laura Shawhughes

The Monthly Meeting of the Retirement Board will be held at **9:30 a.m.** on **Wednesday, December 14, 2016** on the 2nd Floor Board Room at 50 Service Avenue, Warwick. The estimated time of the Board meeting will be 2 1/2 hours.

Parking is available in front of our building. Additional parking is available in the parking lot as you pass through the gate which will open using your identification. You can enter either by the back parking lot entrance to come up the stairs to the 2nd floor or you can walk around to the main entrance which is in the front of the building to enter.

If you are unable to attend the December meeting, please contact me at 462-7610.

Frank J. Karpinski
Executive Director



EMPLOYEES' RETIREMENT SYSTEM OF RHODE ISLAND

RETIREMENT BOARD MONTHLY MEETING

Wednesday, December 14, 2016

9:30 a.m.

2nd Floor Conference Room
50 Service Avenue, Warwick, RI

- I. Chairperson Call to Order
- II. Approval of the Draft Meeting Minutes of the November 9, 2016 Retirement Board Meeting
- III. Chairperson's Report
- IV. Discussion of Process to Study and Recommend Legislation for 2017 General Assembly Session
- V. Executive Director's Report
- VI. Administrative Decisions
 - Disability Appeal -- *Joseph P. Nascenzi vs. ERSRI*
- VII. Committee Reports
 - Disability Subcommittee (See Attachment I)*
 - Rules and Regulations Subcommittee: Consideration of recommendations to the Retirement Board of revisions and updates to Regulations No. 1 and No. 9 Relating to Board Governance and Adjudication of Disability Applications. Consideration of a Proposed Regulation Relating to Post Retirement Employment at a State College, University or State School and State Operated Facility.*
 - Elections Subcommittee: Update on Special Election*
- VIII. Approval of the November Pensions as Presented by ERSRI
- IX. Presentation and Approval of the Actuarial Valuation as of June 30, 2016 by Gabriel, Roeder, Smith and Company (GRS) for the ERS (State and Teachers) and MERS Plans
- X. Legal Counsel Report
- XI. Adjournment

Attachment I

Disability Applications and Hearings on Friday, December 9, 2016

Cherylann Leaver

Joseph Macrae

Todd Evans

Diane Bruno

Cheryl Robinson-Roberts

Ernest Ragosta

John Marshall

William Thibault

Francis Gallagher

Alissa Rossi

Sharon Benoit

Keith Menard

Caitlin Burnett

Susan Feldman



Employees' Retirement Board of Rhode Island
Monthly Meeting Minutes
Wednesday, November 9, 2016
9:00 a.m.
2nd Floor Conference Room, 50 Service Avenue

The Monthly Meeting of the Retirement Board was called to order at 9:06 a.m. Wednesday, November 9, 2016, in the 2nd Floor Conference Room, 50 Service Avenue, Warwick, RI.

I. Roll Call of Members

The following members were present at roll call: General Treasurer Seth Magaziner; Vice Chair William B. Finelli; Daniel L. Beardsley; Roger P. Boudreau; Mark A. Carruolo; Mark A. Dingley, designee for Michael DiBiase; Paul L. Dion, Ph.D.; Thomas M. Lambert; John P. Maguire; Daniel Orgel, designee for Thomas A. Mullaney; Claire M. Newell; Jean Rondeau and Dr. Laura Shawhughes.

Also in attendance: Frank J. Karpinski, ERSRI Executive Director and Attorney Michael P. Robinson, Board Counsel.

Recognizing a quorum, Treasurer Magaziner called the meeting to order.

II. Approval of Minutes

On a motion by John P. Maguire and seconded by Roger P. Boudreau it was unanimously

VOTED: To approve the draft regular minutes and the draft executive session minutes of the October 13, 2016 meeting of the Retirement Board of the Employees' Retirement System of Rhode Island.

III. Chairperson's Report

Treasurer Magaziner apprised the Board that he received an email from Retiree Representative Joanne A. Matisewski informing him that she will be resigning from the Retirement Board. The Treasurer said she did not disclose in her email her reason for resignation. The Treasurer also said since she is an elected member, her vacancy on the Board will need to be filled by a special election. He asked Director Karpinski to apprise the Board on such a process.

Director Karpinski said RIGL§36-8-5 provides that the vacancy must be filled within 70 days. He said the process is identical to the regular election. The Director said he will contact the Board of Elections to obtain a date to count ballots and develop a schedule.

Treasurer Magaziner apprised the Board that there is an update on the IRS Private Letter Ruling regarding the 401(a) Defined Contribution Plan (DC Plan) for members who have 20 or more years of service and no longer contribute into the DC plan since the pension settlement. The Treasurer then introduced Tracey A. Vitols, Esq., of Hinckley Allen & Snyder LLP to provide the Board with an update from the IRS.

Attorney Vitols said a Private Letter Ruling (PLR) application was submitted to the IRS, who has indicated that the PLR is insufficient and will issue the application fee back to the state. She said the reason provided is that the legal authority for ERSRI's position to permit a one-time distribution to affected employees, based on an analogy to 401(k) plan rules, is not a permitted distribution under the law. Also, the application did not contain an amendment approved by the prototype sponsor (TIAA) which would permit for such distribution.

Attorney Vitols noted the concerns raised by the IRS are that the prototype vendor (TIAA) would likely not approve an amendment that contains a material change to the prototype plan document that the IRS would not approve since the code does not provide support for such distribution. She said additional concerns were that even if the IRS were to consider such a one-time distribution, the DC plan would likely be removed from the prototype status thus becoming a customized plan which has significant implications, and is much more expensive to administer from a compliance perspective.

The Treasurer noted that since the contract for the DC Plan is coming up for RFP it may be possible to look at alternate fee structures for this group given the concerns from affected members being charged the same as other contributing members.

Mr. Maguire asked Attorney Vitols to elaborate on the second rejection reason given by the IRS, regarding if the plan were to be amended by TIAA but no longer considered a prototype plan. Attorney Vitols said the ERSRI 401(a) DC plan is established using a prototype plan with plan documents that TIAA uniformly monitors for compliance with the IRS code. If an amendment, such as the one requested by ERSRI, is approved by TIAA, it could take the plan off the prototype status and ERSRI would lose the ability to have the plan updated by TIAA as a regularly maintained plan. ERSRI would then need to perform its own legal review and make any plan updates and communication which can be very costly.

Attorney Dingley referenced the TIAA 401(a) plan fees and said that the current fees are already one of the lowest fees, and he was concerned that any vendor or contract arrangement that would not charge fees to the affected 20 year members, still receiving all the benefits of the plan, could become a fiduciary issue.

Ms. Newell said as an active State Representative she hears many concerns that the money is locked in and may not be rolled over or withdrawn even though they are no longer permitted to contribute by law. Attorney Dingley noted that the balance in their account continues to grow with investment earnings, despite not being able to contribute funds.

Treasurer Magaziner indicated that he felt the Board did its due diligence in making the PLR request and noted that the system would receive a letter of insufficiency and that the IRS would the return the \$10,000 fee to ERSRI. Treasurer Magaziner thanked Attorney Vitols for her presentation.

Quarterly Update on the Investment Portfolio as of September 30, 2016 by interim Chief Investment Officer Tim Nguyen

Mr. Nguyen said both positive and negative factors affected ERSRI portfolio, achieving a mixed performance for the 3rd quarter of 2016, outperforming its 60/40 portfolio benchmark of 3.35% while protecting against steep investment losses during a challenging year for all investors. Mr. Nguyen said the ERSRI portfolio earned 3.45% which mirrors the plan benchmark.

Mr. Nguyen provided detail on relative performance of different parts of the portfolio, and said from a 3-year perspective, the ERSRI portfolio earned 5.05% and outperformed its plan benchmark which earned 5.01% and outperformed its 60/40 portfolio at 4.88%. He said from a 5-year perspective, the ERSRI portfolio earned 8.34% but underperformed its plan benchmark which earned 8.54%; however, it outperformed its 60/40 portfolio at 7.75%.

Mr. Nguyen said the risk adjusted return's outperformance on a 3-year and a 5-year perspective was significantly less risk than the plan benchmark or the 60/40 portfolio.

Mr. Maguire asked when Janet Yellen's term is up, and Mr. Nguyen said it will be reevaluated sometime this December.

Mr. Boudreau asked with the 5-year return being 8.34% and a COLA due in 2017, if that would yield 2.84% above the 5.5%. Director Karpinski said the COLA computation uses the actuarial value and not the market return. Treasurer Magaziner said the time period would be a factor too since the COLA would be based on the June 30, 2016 end date.

Treasurer Magaziner then introduced Messrs. Laurence E. Brown, Director, Investment Product & Strategy and David Iden, Relationship Manager, Institutional Relationships from TIAA-CREF Financial Services.

Mr. Iden started with a summary of data for all the plans, stating that total plan assets were \$556,076,560 as of September 30, 2016. He noted the total contributions were \$111,748,511 over a rolling 12 month-period. He said that 91% of assets are held in Vanguard Lifecycle trusts, a desired diversified investment vehicle. He then provided the average account balance by age ranging from \$11,000 - \$16,000.

Mr. Iden noted the 2016 communication and education highlights partnering with Chief Operating Officer Lisa Churchville and Kimberly Shockley on member education where 5 meetings took place in the 3rd quarter along with many benefit fairs. Group meetings are imminent for the 4th quarter as there has also been great success thus far this year in the outreach regarding the communication and education highlights of 2016. He said the trend is moving positively for member education outreach.

Mr. Iden provided the Board the breakdown of statistics of workshops historically with individual sessions with financial consulting groups (non-commissioned) and with wealth management groups of TIAA's history of in-person interactions with plan participants. The sessions are at the convenience of the individual's choice whether it is at their own location or conveniently offered at the downtown Providence TIAA office.

Mr. Iden said TIAA has also been doing quarterly webinars which are provided for administrators. He also said the financial consulting group can be reached by contacting Jane Derouin who is in the Providence office at 401 276-3735 and the dedicated line for the State of RI members is 1-800 897-1026.

Next Mr. Brown provided an investment summary. He referred the net returns under recent returns (YTD) as of end of 3rd quarter 2016 and how various investment options have compared to their peers measured by Morningstar. Mr. Brown said the fee arrangement for the Vanguard Target Retirement Trust is going from 8 to 7 basis points. He then said the Vanguard Midcap Index met the \$5 million hurdle moving from 8 to 7 basis points. He also said the YTD returns range from 1% in the Stable Value down to 8.3% in the Van Midcap Index. He reminded the Board there are 11 options offered to participants.

Mr. Brown said the returns are a bit higher on the Vanguard Small Cap Index fund (11.5%). This fund also has met the \$5 million minimum mark moving it from 8 basis points to 7 basis points.

Mr. Brown also said TIAA continues to monitor asset levels of various options and when reaching a minimum for a movement to the next lower share class, the Vanguard Mid Cap Index did make that mark (from 8 basis points to 7 basis points). He also mentioned Attorney Dingley's comments earlier on fees where it would be unlikely to find a more competitive return for the Vanguard Life cycle portfolio as it is in the Trust version offered at 7 basis points.

Mr. Brown commented that developed markets are a bit behind their emerging market counterparts. He compared the 2.73% YTD (developed index) to the Vanguard Emerging Markets Stock Index of 16.20% (net rate of return) which he said is the largest return YTD, and going forward the numbers should be lower at next review. He said the numbers vary for the Vanguard Target Retirement Trust from 6.36% to 7.60%.

Treasurer Magaziner thanked Messrs. Nguyen, Iden and Brown for their presentations.

IV. Executive Director's Report

Director Karpinski apprised the Board that they were in possession of the Pension Application Report, an updated MERS delinquency report and the Disability Subcommittee Report from the November 2, 2016 meeting.

Director Karpinski apprised the Board that at the December 14, 2016 Board meeting a presentation from Gabriel, Roeder, Smith and Company (GRS) of the Actuarial Valuations as of June 30, 2016 for the ERS and MERS plans will be presented for the Boards' consideration and approval.

Director Karpinski said the Rules and Regulations Subcommittee met on November 1, 2016; John Maguire, who is the chair of this subcommittee, will update the Board during the Committee Reports. The Director said the committee requested that the Disability Subcommittee convene to provide recommendations to Regulation No.9 to the Rules and Regulations Subcommittee for consideration. Both subcommittees will meet on November 28, 2016.

V. Administrative Decisions

Disability Appeal – Timothy J. Koback vs. ERSRI

Included in Board Members' Books, under separate cover, were the Findings of Fact as concluded by the Disability Subcommittee, transcripts from the appeal to the subcommittee following reconsideration, medical and supporting information in the matter of *Timothy J. Koback vs. ERSRI*. Attorney Robinson asked if consistent with Regulation Number 9, *Rules pertaining to the application to receive an Ordinary or Accidental Disability Pension*, there were any written briefs, legal memoranda, or exceptions to the conclusions and recommendation of the Disability Subcommittee, which must have been submitted to the Executive Director not later than 10 days prior to the hearing. Director Karpinski apprised the Board there was, and that such material was included in the Board members' books.

Attorney Robinson noted for the record that Mr. Koback was represented by legal counsel, Attorney John M. Hartnett. Attorney Robinson then said that pursuant to

ERSRI's regulations, each party to the proceeding has the right to appear and to make oral arguments before the Retirement Board. Consistent with the Board's long standing policy, he apprised Mr. Koback that this is not an opportunity to present new factual material or evidence to the Board, and that pursuant to ERSRI's regulations and Rhode Island case law, the Board owes deference to the Disability Subcommittee on factual determinations and questions of credibility, and will not overturn those determinations of fact or credibility unless such determinations are found to be clearly wrong. There being a stenographer present, Attorney Robinson then provided a synopsis of the matter, and the case was presented.

At the conclusion of the presentations, a motion was made by Jean Rondeau and seconded by Mark A. Dingley, Esq., to uphold the decision and recommendation of the Disability Subcommittee denying the accidental disability application, and to affirm and adopt the Subcommittee's findings of fact and conclusions in the matter of *Timothy J. Koback vs. ERSRI*, and upholding the Subcommittee's recommendation of approval of an ordinary disability pension. The following voted Yea: Vice Chair William B. Finelli; Daniel L. Beardsley; Mark A. Carruolo; Mark A. Dingley, designee for Michael DiBiase; Thomas M. Lambert; Daniel Orgel, designee for Thomas A. Mullaney and Jean Rondeau. The following voted Nay: General Treasurer Seth Magaziner; Roger P. Boudreau; John P. Maguire; Claire M. Newell and Dr. Laura Shawhughes. Paul L. Dion, Ph.D. abstained from the vote.

There being 12 votes cast, 7 voted in the affirmative and 5 voted in the negative. Consistent with Rhode Island General Laws §36-8-6, *Votes of the Board -- Record of Proceedings*, there being a majority vote of the members present and voting at which a quorum is present, it was:

VOTED: To uphold the decision and recommendation of the Disability Subcommittee denying the accidental disability application, and to affirm and adopt the Subcommittee's findings of fact and conclusions in the matter of Timothy J. Koback vs. ERSRI, and upholding the Subcommittee's recommendation of approval of an ordinary disability pension.

Administrative Appeal – Carol C. Michaud vs. ERSRI

Included in Board Members' Books was the Hearing Officer's written decision, along with exhibits and supporting information in the matter of *Carol C. Michaud vs. ERSRI*. Attorney Robinson asked if consistent with Regulation Number 4, *Rules of Practice, and Procedure for Hearings*, there were any written briefs, legal memoranda, or exceptions to the decision of the Hearing Officer, which must have been submitted to the Executive Director not later than 10 days prior to the hearing. Director Karpinski apprised the Board that everything received by ERSRI is included in the packet.

Attorney Robinson then said that pursuant to ERSRI's regulations, each party to the proceeding has the right to appear and to make oral arguments before the Retirement Board. Consistent with the Board's long standing policy, he apprised the appellant's attorney that this is not an opportunity to present new factual material to the Board, and that pursuant to ERSRI's regulations and Rhode Island case law, the Board owes deference to the Hearing Officer on factual determinations and questions of credibility, and will not overturn the Hearing Officer on determinations of fact or credibility unless such determinations are found to be clearly wrong.

There being a stenographer present, Attorney Robinson then provided a synopsis of the matter. Attorney Mark P. Gagliardi appeared representing Ms. Michaud, who was not present at this hearing. The parties thereafter presented their respective positions.

At the conclusion of the presentation, a motion was made by Mark Dingley, Esq., and seconded by Jean Rondeau to uphold the decision and recommendation of the Hearing Officer to not allow the purchase of service credit based on the findings of fact and conclusions of the Hearing Officer. A roll call was taken, and the following members voted Yea: General Treasurer Seth Magaziner; Daniel L. Beardsley; Mark A. Carruolo; Mark A. Dingley, Esq., designee for Michael DiBiase; Paul L. Dion, Ph.D.; Daniel Orgel, designee for Thomas A. Mullaney; Jean Rondeau and Dr. Laura Shawhughes. The following voted Nay: Vice Chair William B. Finelli; Roger P. Boudreau; Thomas M. Lambert; John P. Maguire and Claire M. Newell.

There being 13 votes cast, 8 voted in the affirmative, and 5 voted in the negative, consistent with Rhode Island General Laws §36-8-6, *Votes of the Board -- Record of Proceedings*, and there being a quorum present, it was then

VOTED: To uphold the decision and recommendation of the Hearing Officer to deny Ms. Michaud's request to purchase service credit based on the findings of fact and conclusions of the Hearing Officer in the matter of Carol C. Michaud vs. ERSRI.

VI. Approval of the October Pensions as Presented by ERSRI

On a motion by William B. Finelli and seconded by Roger P. Boudreau, it was unanimously

VOTED: To approve the October pensions as presented.

VII. Legal Counsel Report

Attorney Robinson apprised the Board regarding the status of the Kevin Lang appeal pending in the Workers' Compensation Court.

Attorney Robinson also apprised the Board regarding the status of the John Grasso matter. Mr. Maguire asked at the conclusion of Board Counsel's Litigation Report why Carol C. Michaud's appeal was just reaching the Board now. Attorney Robinson said it had been pending decision with the Hearing Officer for the entire period. Mr. Maguire also asked if there is a provision by law that the hearing officer needs to provide a response in a certain time frame, and Attorney Robinson said there is no such requirement.

VIII. Committee Reports

Disability Subcommittee:

The Disability Subcommittee recommended the following actions on disability applications for approval by the full Board as a result of its meeting on Wednesday, November 2, 2016:

Name	Membership Group	Type	Action
1. Pamela Sampson	State	Accidental	Approved @ 50%
2. Norberta Mendonca	State	Accidental	Deny
3. Lisa Armor	State	Accidental	Postpone
4. Anthony Spardello	State	Accidental	Approved @ 50%
5. Socorro Aquino	State	Accidental/ Denied	Ordinary/Approved
6. Laura Backman	Teacher	Ordinary	Approve
7. Stephanie Rigney	Teacher	Ordinary	Approve
8. David Doyle, Jr.	State	Ordinary	Deny
9. Tammy Roy	Teacher	Ordinary	Approve
10. Brian Hopkins	Teacher	Ordinary	Approve
11. Paula Cappelli	State	Ordinary	Approve

On a motion by William B. Finelli and seconded by Roger P. Boudreau, it was unanimously

VOTED: To approve the recommendation of the Disability Subcommittee meeting of Wednesday, November 2, 2016 on items 1, 2, 4 and 5.

Claire M. Newell recused herself from the vote on numbers 1, 2, 4 and 5.

On a motion by William B. Finelli and seconded by Roger P. Boudreau, it was unanimously

VOTED: To approve the recommendation of the Disability Subcommittee meeting of Wednesday, November 2, 2016 on items 7 and 10.

John P. Maguire recused himself from the vote on numbers 7 and 10.

On a motion by William B. Finelli and seconded by Roger P. Boudreau it was unanimously

VOTED: To approve the recommendation of the Disability Subcommittee meeting of Wednesday, November 2, 2016 on item 9.

John P. Maguire and Thomas M. Lambert recused themselves from the vote on number 9.

On a motion by William B. Finelli and seconded by Roger P. Boudreau it was unanimously

VOTED: To approve the recommendation of the Disability Subcommittee meeting of Wednesday, November 2, 2016 on item 3, 6, 8 and 11.

Rules and Regulations Subcommittee:

Chairman John P. Maguire provided the Board with an update of the Rules and Regulations Subcommittee that met on Wednesday November 1st to consider two items related to the Governance Study. The committee reviewed revisions and updates to Regulations No. 1 and No. 9 relating to recommendations from the Governance study and adjudication of disability applications. He thanked the committee members who were present, including Roger P. Boudreau, Mark A. Carruolo, Thomas A. Mullaney and Patrick Marr, Chief of Staff. Chair Maguire noted that Vice Chair William B. Finelli was also present at the meeting.

Mr. Maguire noted that the subcommittee reviewed the revisions and updates to Regulations No. 1 and 9 based on Funston Advisory Services (FAS) recommendations from the study. The staff made some modifications to both regulations. He apprised the Board the subcommittee is requesting feedback from the Disability Subcommittee regarding their review of Regulation No.9. He said another meeting of the Rules and Regulations Subcommittee is scheduled for November 28, 2016 at 9:00am with the intention to formalize both regulations and to vote on them to present to the Board for their consideration at the December Board meeting.

Treasurer Magaziner acknowledged his gratitude to Chairman Maguire along with the members of both the Rules and Regulations and Disability Subcommittees for both their time and thought process and the progress made.

IX. Adjournment

There being no other business to come before the Board, on a motion by Roger P. Boudreau and seconded by Claire M. Newell, the meeting adjourned at 10:36 a.m.

Respectfully submitted,

Frank J. Karpinski
Executive Director

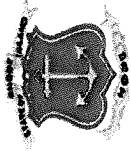
DRAFT



Employees' Retirement System of Rhode Island

Report of Contributions
Period Ending: 12/07/16

Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
1771 SHEILA C NOWELL LEADERSHIP ACADEMY	BIWK	11/27/2016	\$ 1,121.04	\$ 3,762.64	\$ 28,548.00	\$ 4,883.68	8/19/2016	\$ 3,338.48	6	\$ 25,073.15
1772 SEGUE INSTITUTE OF LEARNING	BIWK	11/11/2016	\$ 1,762.54	\$ 6,194.63	\$ 47,000.48	\$ 7,957.17	10/14/2016	\$ 8,004.36	1	\$ 7,660.88
1281 Johnston School Dept.	BIWK	10/28/2016	\$ 44,896.78	\$ 110,460.70	\$ 838,089.46	\$ 155,357.48	10/14/2016	\$ 167,096.17	1	\$ 191,391.62
1591 West Bay Collaborative	BIWK	11/26/2016	\$ 2,228.57	\$ 6,762.77	\$ 51,311.08	\$ 8,991.34	10/15/2016	\$ 10,186.49	1	\$ 10,079.52
1031 Burrillville School Dept.	BIWK	11/18/2016	\$ 33,301.02	\$ 74,276.39	\$ 595,639.81	\$ 107,577.41	10/21/2016	\$ 113,330.11	0	\$ -
1191 Foster School Dist.	BIWK	11/18/2016	\$ 3,385.38	\$ 8,542.42	\$ 64,813.67	\$ 11,927.80	10/21/2016	\$ 537.60	0	\$ -
1271 Jamestown School Dept.	BIWK	11/19/2016	\$ 6,670.56	\$ 19,125.15	\$ 145,106.98	\$ 25,795.71	10/22/2016	\$ 27,544.89	0	\$ -
1301 Lincoln School Dept.	BIWK	11/24/2016	\$ 49,399.45	\$ 124,032.00	\$ 941,061.56	\$ 173,431.45	10/27/2016	\$ 181,191.33	0	\$ -
1671 International Charter School	BIWK	11/25/2016	\$ 2,956.75	\$ 9,908.57	\$ 75,179.35	\$ 12,865.32	10/28/2016	\$ 281.54	0	\$ -
1351 Newport School Dept.	BIWK	11/25/2016	\$ 39,628.27	\$ 97,090.08	\$ 736,649.05	\$ 136,718.35	10/28/2016	\$ 197,967.84	0	\$ -
1491 South Kingstown School Dept.	BIWK	11/25/2016	\$ 55,230.22	\$ 137,394.49	\$ 1,042,446.74	\$ 192,624.71	10/28/2016	\$ 207,199.73	0	\$ -
1371 North Kingstown School Dept.	BIWK	11/25/2016	\$ 54,269.33	\$ 146,095.34	\$ 1,108,461.94	\$ 200,364.67	10/28/2016	\$ 182,090.06	0	\$ -
1381 North Providence School Dept.	BIWK	11/25/2016	\$ 28,192.01	\$ 93,667.96	\$ 710,681.45	\$ 121,859.97	10/28/2016	\$ 132,834.58	0	\$ -
1471 Smithfield School Dept.	BIWK	10/29/2016	\$ 35,673.79	\$ 92,175.45	\$ 699,355.78	\$ 127,849.24	10/29/2016	\$ 71,495.14	0	\$ -
2300 Narragansett Bay Commission	BIWK	11/12/2016	\$ 7,093.91	\$ 47,935.60	\$ 189,169.86	\$ 55,029.51	10/29/2016	\$ 79,821.93	0	\$ -
1391 North Smithfield School Dept.	BIWK	10/29/2016	\$ 21,335.64	\$ 57,514.07	\$ 463,219.20	\$ 17,851.10	10/30/2016	\$ 52,259.67	0	\$ -
1401 Northern Rhode Island Collaborative	BIWK	11/27/2016	\$ 5,312.77	\$ 12,538.33	\$ 95,139.10	\$ 1,033,536.34	10/30/2016	\$ 1,117,070.34	0	\$ -
1441 Providence School Dept.	BIWK	11/27/2016	\$ 23,624.50	\$ 73,989.40	\$ 561,555.52	\$ 79,780.02	10/30/2016	\$ 87,883.88	0	\$ -
1448 PROVIDENCE 12 MONTH BI-WEEKLY	WKL	11/27/2016	\$ 1,530.47	\$ 5,166.56	\$ 39,200.00	\$ 6,697.03	10/30/2016	\$ 11,711.63	0	\$ -
1447 Providence Long Term Subs	SMON	11/15/2016	\$ 4,148.44	\$ 14,133.39	\$ 107,233.50	\$ 18,281.83	10/31/2016	\$ 19,066.35	0	\$ -
1641 Highlander Charter School	SMON	10/31/2016	\$ 2,082.65	\$ 5,747.36	\$ 43,606.81	\$ 7,830.01	10/31/2016	\$ 10,589.51	0	\$ -
1741 TRINITY ACADEMY	SMON	11/30/2016	\$ 1,588.76	\$ 5,583.98	\$ 42,116.21	\$ 7,746.49	10/31/2016	\$ 7,172.74	0	\$ -
1681 THE COMPASS SCHOOL	SMON	11/30/2016	\$ 2,195.58	\$ 5,550.91	\$ 42,116.21	\$ 7,746.49	10/31/2016	\$ 8,696.85	0	\$ -
1761 THE VILLAGE GREEN VIRTUAL CHARTER SCHOOL	SMON	11/30/2016	\$ 18,718.55	\$ 20,363.11	\$ 154,499.75	\$ 39,081.66	11/2/2016	\$ 261,821.00	0	\$ -
1631 Woonsocket School Dept.	BIWK	11/16/2016	\$ 29,268.03	\$ 99,408.99	\$ 754,241.51	\$ 128,677.02	11/2/2016	\$ 177,150.85	0	\$ -
1071 Charho Regional School Dist.	BIWK	11/30/2016	\$ 25,405.20	\$ 63,243.50	\$ 479,845.19	\$ 88,648.70	11/3/2016	\$ 89,597.77	0	\$ -
1331 Narragansett School Dept.	BIWK	12/1/2016	\$ 10,427.61	\$ 36,290.01	\$ 275,341.82	\$ 46,717.62	11/4/2016	\$ 64,000.76	0	\$ -
1201 Foster/Glocester Reg. School Dist.	BIWK	11/19/2016	\$ 15,421.41	\$ 52,707.11	\$ 399,903.66	\$ 68,128.52	11/4/2016	\$ 102,229.41	0	\$ -
1181 Exeter/West Greenwich Reg. Schools	BIWK	11/19/2016	\$ 22,257.15	\$ 63,888.76	\$ 480,945.85	\$ 85,645.91	11/5/2016	\$ 87,963.53	0	\$ -
1341 New Shoreham School Dept.	BIWK	11/19/2016	\$ 3,756.35	\$ 9,505.27	\$ 76,225.06	\$ 13,261.62	11/5/2016	\$ 13,261.62	0	\$ -
1611 West Warwick School Dept.	BIWK	11/19/2016	\$ 49,950.91	\$ 123,172.65	\$ 934,544.40	\$ 173,123.56	11/5/2016	\$ 183,709.39	0	\$ -
1411 Pawtucket School Dept.	BIWK	12/3/2016	\$ 130,146.40	\$ 336,773.55	\$ 2,555,188.50	\$ 466,919.95	11/9/2016	\$ 511,463.83	0	\$ -
1061 Central Falls Collaborative	BIWK	11/23/2016	\$ 23,896.88	\$ 80,369.01	\$ 609,779.47	\$ 104,265.89	11/9/2016	\$ 223.04	0	\$ -
1161 East Providence Schools	BIWK	11/25/2016	\$ 77,133.41	\$ 182,570.40	\$ 1,385,207.91	\$ 259,703.81	11/11/2016	\$ 280,970.71	0	\$ -
1151 East Greenwich School Dept.	BIWK	11/11/2016	\$ 31,328.34	\$ 89,421.78	\$ 717,094.90	\$ 120,750.12	11/11/2016	\$ 124,944.65	0	\$ -
1421 Portsmouth School Dept.	BIWK	11/25/2016	\$ 23,133.08	\$ 79,924.12	\$ 606,404.47	\$ 109,057.20	11/11/2016	\$ 127,394.41	0	\$ -
1171 Warwick School Dept.	BIWK	11/11/2016	\$ 86,838.20	\$ 292,319.60	\$ 2,217,907.60	\$ 379,157.80	11/11/2016	\$ 401,766.21	0	\$ -
1121 Cumberland School Dept.	SMON	11/26/2016	\$ 54,228.01	\$ 151,874.04	\$ 1,152,304.45	\$ 206,102.05	11/12/2016	\$ 817.38	0	\$ -
1111 Cranston School Dept.	BIWK	11/26/2016	\$ 172,801.61	\$ 451,905.86	\$ 3,428,753.81	\$ 624,711.47	11/12/2016	\$ 657,655.95	0	\$ -
2000 State	BIWK	11/26/2016	\$ 674,349.68	\$ 1,553,456.31	\$ 6,130,450.72	\$ 2,227,805.99	11/12/2016	\$ 7,261,507.83	0	\$ -
2010 Correctional Officers	BIWK	11/26/2016	\$ 2,292.82	\$ 6,663,787.99	\$ 2,619,530.35	\$ 892,996.81	11/12/2016	\$ 858,705.28	0	\$ -
2100 R.I. Airport Corporation	BIWK	11/26/2016	\$ 2,423.73	\$ 6,107.12	\$ 24,100.69	\$ 8,530.85	11/12/2016	\$ 8,530.85	0	\$ -
1541 Urban Collaborative Schools	BIWK	11/13/2016	\$ 2,349.19	\$ 5,200.95	\$ 39,460.92	\$ 7,550.14	11/13/2016	\$ 7,550.14	0	\$ -
1661 Kingston Hill Academy School	BIWK	11/27/2016	\$ 1,272.49	\$ 4,472.39	\$ 33,933.13	\$ 5,744.88	11/13/2016	\$ 5,744.88	0	\$ -
1091 Coventry Public Schools	BIWK	11/27/2016	\$ 74,294.57	\$ 181,998.26	\$ 1,380,873.41	\$ 256,293.83	11/13/2016	\$ 264,884.04	0	\$ -
1691 Blackstone Academy Charter School, Inc.	SMON	11/15/2016	\$ 2,296.51	\$ 8,071.30	\$ 61,238.87	\$ 10,367.81	11/15/2016	\$ 10,367.81	0	\$ -
1701 BEACON Charter School of Woonsocket	SMON	11/30/2016	\$ 3,081.55	\$ 10,830.71	\$ 82,175.08	\$ 13,912.26	11/15/2016	\$ 13,912.26	0	\$ -
1731 The Greene School	SMON	11/30/2016	\$ 1,755.33	\$ 6,169.39	\$ 46,808.61	\$ 7,924.72	11/15/2016	\$ 7,740.93	0	\$ -



Employees' Retirement System of Rhode Island

**Report of Contributions
Period Ending: 12/07/16**

Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
1211 Gloucester School Dist.	BIWK	11/18/2016	\$ 11,063.56	\$ 20,312.57	\$ 154,116.61	\$ 31,376.13	11/18/2016	\$ 32,038.70	0	\$ -
1321 Middletown Public Schools	BIWK	11/18/2016	\$ 39,285.53	\$ 87,480.18	\$ 663,735.92	\$ 1,26,765.71	11/18/2016	\$ 85.82	0	\$ -
1001 Barrington Public Schools	BIWK	12/2/2016	\$ 45,702.48	\$ 124,951.20	\$ 948,038.85	\$ 170,653.68	11/18/2016	\$ 1,888.56	0	\$ -
1311 Little Compton School Dept.	BIWK	11/18/2016	\$ 3,507.21	\$ 10,952.89	\$ 87,834.47	\$ 14,460.10	11/18/2016	\$ 14,460.10	0	\$ -
1621 Westerly School Dept.	BIWK	12/2/2016	\$ 50,072.26	\$ 134,885.17	\$ 1,023,407.64	\$ 184,957.43	11/18/2016	\$ 171,616.10	0	\$ -
1651 Paul Cuffee School	BIWK	11/19/2016	\$ 7,815.32	\$ 23,975.11	\$ 181,905.24	\$ 31,790.43	11/19/2016	\$ 36,158.05	0	\$ -
1711 THE LEARNING COMMUNITY CHARTER SCHOOL	BIWK	12/3/2016	\$ 4,429.90	\$ 14,120.46	\$ 107,135.91	\$ 18,550.36	11/20/2016	\$ 21,974.43	0	\$ -
1461 Scituate School Dept.	BIWK	11/20/2016	\$ 21,161.74	\$ 55,704.73	\$ 422,645.37	\$ 76,866.47	11/20/2016	\$ 78,505.86	0	\$ -
1781 South Side Elementary Charter School	BIWK	11/26/2016	\$ 466.59	\$ 1,639.90	\$ 12,442.30	\$ 2,106.49	11/26/2016	\$ 2,106.49	0	\$ -
2200 RI Economic Dev. Corp.	BIWK	11/26/2016	\$ 397.11	\$ 914.80	\$ 3,610.11	\$ 1,311.91	11/26/2016	\$ 1,311.91	0	\$ -
1021 Bristol Warren Reg. School Dist.	BIWK	11/27/2016	\$ 43,472.14	\$ 112,536.59	\$ 853,842.48	\$ 156,008.73	11/27/2016	\$ 163,539.93	0	\$ -
1751 RI NURSES INSTITUTE	SMON	11/30/2016	\$ 2,269.55	\$ 7,587.01	\$ 57,564.43	\$ 9,856.56	11/30/2016	\$ 10,540.34	0	\$ -
			\$2,716,652.77	\$7,048,110.50	\$45,307,296.16	\$9,764,763.27		\$14,770,405.19		\$234,205.17

Column Definitions:

Frequency = BIWK = Bi-Weekly; WKLY = Weekly; SMON = Semi-Monthly

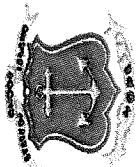
Last Posted Pay Period End Date = represents last pay period that has been posted into the ERSRI system.

Payment for Pay Period Ending = represents that last pay period that the employer has satisfied.

Check Amount = represents the dollar amount of the last payment that the employer has remitted to ERSRI.

Periods Past Due = represents the number of payrolls that have not been posted to ERSRI or have been posted but balance due is still outstanding.

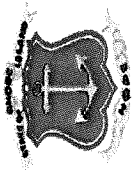
Estimated Amount Past Due = represents an estimate for payrolls that are delinquent, but have not been posted to the ERSRI system.



Municipal Employees' Retirement System of Rhode Island

Report of Contributions
Period Ending: 12/07/16

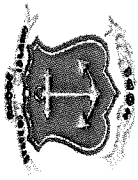
Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
1095 Coventry Fire Dist.	BIWK	11/25/2016	1,896.81	3,996.58	18,967.99	5,893.39	10/28/2016	5,233.95	0	\$ 44,467.43
1705 ALBION FIRE DISTRICT	BIWK	10/27/2016	1,173.59	2,304.95	11,735.96	3,478.54	6/29/2016	2,387.57	8	\$ 29,877.85
1356 Newport Housing Auth.	WKLY	8/31/2016	935.42	6,115.93	31,804.26	7,051.35	8/10/2016	7,085.67	11	\$ 77,564.85
1019 Town of Bristol EE Highway	BIWK	9/23/2016	3,716.66	14,557.17	98,358.85	18,273.83	8/26/2016	17,141.09	4	\$ 73,095.32
1242 Hope Valley-Wyoming Fire Dist.	BIWK	11/23/2016	113.69	70.49	5,684.73	184.18	8/31/2016	184.18	4	\$ 736.72
1566 Warren Housing Auth.	WKLY	10/8/2016	68.25	107.08	3,437.98	175.33	9/10/2016	170.28	8	\$ 1,707.62
1283 Johnston School Dept. (NC)	BIWK	10/28/2016	3,576.68	23,687.55	147,218.27	27,264.23	9/16/2016	27,764.09	3	\$ 86,886.15
1012 Town of Bristol	BIWK	10/7/2016	2,657.26	13,125.69	88,686.48	15,782.95	9/23/2016	15,842.20	2	\$ 31,565.90
1014 Bristol Police	BIWK	10/21/2016	9,017.73	4,572.40	90,177.30	13,590.13	9/23/2016	13,471.00	2	\$ 27,078.09
1015 Bristol Fire Dept.	BIWK	10/7/2016	275.32	494.36	3,059.12	769.68	9/23/2016	537.45	2	\$ 1,539.36
1192 Town of Foster	WKLY	9/24/2016	516.43	2,128.87	19,675.51	2,645.30	9/24/2016	2,645.30	5	\$ 10,788.96
1194 Foster Police Dept.	WKLY	9/24/2016	998.04	2,702.38	9,990.28	3,701.42	9/24/2016	3,701.42	5	\$ 18,507.10
1602 Town of West Greenwich	WKLY	12/1/2016	488.09	2,953.37	19,417.23	3,441.46	9/30/2016	3,760.21	4	\$ 14,850.03
1604 West Greenwich Police/Rescue	WKLY	12/1/2016	1,786.48	3,719.45	17,864.80	5,505.93	9/30/2016	5,505.93	4	\$ 22,023.72
1056 Central Falls Housing Auth.	WKLY	10/27/2016	437.12	1,969.25	18,203.37	2,406.37	10/15/2016	2,406.37	2	\$ 1,283.76
1515 Union Fire Dist. (NC)	BIWK	10/16/2016	294.98	988.78	12,976.17	1,283.76	10/16/2016	1,283.76	1	\$ 1,283.76
1033 Burrillville School Dept. (NC)	BIWK	12/2/2016	3,385.63	8,453.94	104,239.99	11,839.57	10/21/2016	11,579.09	0	\$ -
1193 Foster School Dist. (NC)	BIWK	11/18/2016	342.54	1,980.42	18,303.31	2,322.96	10/21/2016	2,315.68	0	\$ -
1322 Town of Middletown	BIWK	11/18/2016	3,596.73	11,150.06	105,288.32	14,746.79	10/21/2016	14,181.68	0	\$ -
1324 Middletown Police & Fire	BIWK	11/18/2016	14,902.43	9,537.52	149,023.38	24,439.95	10/21/2016	24,986.44	0	\$ -
1462 Town of Scituate	BIWK	11/18/2016	1,729.30	8,239.30	55,938.12	9,969.00	10/21/2016	10,473.03	0	\$ -
1272 Town of Jamestown	BIWK	10/22/2016	3,969.23	11,901.59	113,456.85	15,870.82	10/22/2016	13,623.16	0	\$ -
1273 Jamestown School Dept. (NC)	BIWK	11/19/2016	1,287.36	5,419.87	51,666.67	6,707.23	10/22/2016	6,608.42	0	\$ -
1082 Town of Charlestown	BIWK	10/25/2016	3,264.33	7,859.84	91,499.94	11,124.17	10/25/2016	11,124.17	0	\$ -
1084 Charlestown Police Dept.	BIWK	10/25/2016	5,063.77	12,325.17	50,637.46	17,388.94	10/25/2016	17,388.94	0	\$ -
1227 Greenville Water Dist.	WKLY	11/30/2016	108.18	97.36	5,408.75	205.54	10/26/2016	205.42	0	\$ -
1303 Lincoln School Dept. (NC)	BIWK	11/24/2016	82.89	994.68	8,289.00	1,077.57	10/27/2016	1,077.57	0	\$ -
1385 North Providence Fire Dept.	BIWK	10/28/2016	16,727.51	39,644.20	185,861.19	56,371.71	10/28/2016	56,371.71	0	\$ -
1382 Town of North Providence	BIWK	10/28/2016	3,731.40	9,232.00	159,173.35	12,963.40	10/28/2016	13,159.56	0	\$ -
1373 North Kingstown School Dept. (NC)	BIWK	11/25/2016	7,403.00	45,387.58	249,657.49	52,790.58	10/28/2016	54,748.95	0	\$ -
1098 Coventry Lighting Dist.	BIWK	11/25/2016	34.69	-	1,734.62	34.69	10/28/2016	34.69	0	\$ -
1353 Newport School Dept. (NC)	BIWK	11/25/2016	4,446.79	36,967.76	160,102.39	41,414.55	10/28/2016	41,292.81	0	\$ -
1416 Pawtucket Housing Auth.	WKLY	11/12/2016	2,034.20	-	56,152.90	2,034.20	10/29/2016	1,948.21	0	\$ -
1802 PASCOAG FIRE DIS. ADMIN.	WKLY	11/19/2016	21.20	140.34	1,060.00	161.54	10/29/2016	161.54	0	\$ -
1805 PASCOAG FIRE DIST	WKLY	11/19/2016	592.75	1,145.81	5,927.68	1,738.56	10/29/2016	1,704.73	0	\$ -
1162 City of East Providence	BIWK	11/12/2016	13,274.48	100,020.49	409,416.69	113,294.97	10/29/2016	163,656.00	0	\$ -
1306 Lincoln Housing Auth.	BIWK	11/26/2016	420.07	1,396.67	21,002.32	1,816.74	10/29/2016	1,874.74	0	\$ -
1473 Smithfield School Dept. (NC)	BIWK	11/26/2016	3,687.06	11,656.61	151,581.16	15,343.67	10/29/2016	12,747.49	0	\$ -
1036 Burrillville Housing Auth.	WKLY	11/26/2016	79.62	324.82	3,980.41	404.44	10/29/2016	404.44	0	\$ -
1166 East Providence Housing Authority	WKLY	12/3/2016	391.95	1,903.28	14,528.98	2,295.23	10/29/2016	2,482.50	0	\$ -
1255 Hopkins Hill Fire Dept.	BIWK	11/26/2016	4,541.20	5,817.22	45,411.72	10,358.42	10/29/2016	5,979.45	0	\$ -
1342 Town of New Shoreham	BIWK	11/26/2016	1,794.50	5,728.92	73,353.81	7,523.42	10/29/2016	7,385.40	0	\$ -
1344 New Shoreham Police Dept.	BIWK	11/26/2016	1,379.96	3,340.87	13,799.57	4,720.83	10/29/2016	4,798.08	0	\$ -
1465 SMITHFIELD FIREFIGHTERS	WKLY	11/26/2016	1,519.89	1,297.98	15,198.63	2,817.87	10/29/2016	2,817.87	0	\$ -
1474 Smithfield Police Dept.	WKLY	11/26/2016	6,685.77	6,952.24	66,857.46	11,111.73	10/29/2016	9,052.16	0	\$ -
1478 Town of Smithfield (COLA)	WKLY	11/26/2016	2,570.73	6,992.94	73,370.51	9,562.97	10/29/2016	9,697.93	0	\$ -
1045 Central Coventry Fire Dist.	BIWK	11/12/2016	3,117.70	5,384.29	31,177.36	8,501.99	10/29/2016	8,501.99	0	\$ -
1632 City of Woonsocket	WKLY	11/26/2016	3,669.58	14,644.25	124,025.55	18,313.83	10/29/2016	32,354.16	0	\$ -



Municipal Employees' Retirement System of Rhode Island

Report of Contributions
Period Ending: 12/07/16

Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
1634 Woonsocket Police Dept.	WKLY	11/26/2016	\$ 11,702.81	\$ 33,833.00	\$ 117,029.12	\$ 45,535.81	10/29/2016	\$ 180,431.38	0	\$ -
1635 Woonsocket Fire Dept.	WKLY	11/26/2016	\$ 13,692.06	\$ 15,594.90	\$ 136,320.44	\$ 29,226.96	10/29/2016	\$ 134,072.70	0	\$ -
1939 North Smithfield School Dept. (NC)	BIWK	10/29/2016	\$ 2,072.95	\$ 4,797.23	\$ 78,129.19	\$ 6,870.18	10/29/2016	\$ 6,946.90	0	\$ -
1403 Northern Rhode Island Collaborative (NC)	BIWK	11/27/2016	\$ 980.75	\$ 4,322.73	\$ 37,523.76	\$ 5,303.48	10/30/2016	\$ 16,268.55	0	\$ -
1286 Johnston Housing Auth.	WKLY	12/4/2016	\$ 75.53	\$ 840.86	\$ 7,554.87	\$ 916.39	10/30/2016	\$ 916.39	0	\$ -
1354 City of Newport - Monthly	MNLY	11/30/2016	\$ 13.33	\$ 153.93	\$ 666.67	\$ 167.26	10/31/2016	\$ 167.26	0	\$ -
1203 Foster/Glocester Reg. School Dist. (NC)	BIWK	12/2/2016	\$ 1,376.93	\$ 7,545.40	\$ 68,845.01	\$ 8,922.33	11/4/2016	\$ 10,454.61	0	\$ -
1183 Exeter/West Greenwich Reg. Schools (NC)	BIWK	11/18/2016	\$ 2,140.05	\$ 13,450.62	\$ 107,005.25	\$ 15,590.67	11/4/2016	\$ 18,544.04	0	\$ -
1213 Glocester School Dist. (NC)	BIWK	11/18/2016	\$ 664.41	\$ 3,537.81	\$ 33,218.94	\$ 4,202.22	11/4/2016	\$ 4,281.48	0	\$ -
1282 Town of Johnston	BIWK	11/18/2016	\$ 2,597.56	\$ 20,982.54	\$ 130,407.36	\$ 23,580.10	11/4/2016	\$ 27,376.58	0	\$ -
1284 JOHNSTON POLICE DEPT.	SMON	11/18/2016	\$ 6,244.51	\$ 5,811.05	\$ 69,344.08	\$ 12,055.56	11/4/2016	\$ 4,655.20	0	\$ -
1285 Johnston Firefighters	BIWK	11/18/2016	\$ 37,458.05	\$ 30,923.65	\$ 416,198.89	\$ 68,381.70	11/4/2016	\$ 29,552.92	0	\$ -
1533 Tiverton School Dept. (NC)	BIWK	11/19/2016	\$ 2,550.84	\$ 2,533.89	\$ 83,474.06	\$ 4,904.73	11/5/2016	\$ 4,875.47	0	\$ -
1212 Town of Glocester	BIWK	11/19/2016	\$ 2,184.52	\$ 6,864.31	\$ 64,453.16	\$ 9,048.83	11/5/2016	\$ 8,690.77	0	\$ -
1214 Glocester Police Dept.	BIWK	11/19/2016	\$ 3,754.37	\$ 6,513.80	\$ 37,543.70	\$ 10,268.17	11/5/2016	\$ 10,135.63	0	\$ -
1386 North Providence Hsg. Auth.	BIWK	11/5/2016	\$ 469.92	\$ 3,182.38	\$ 11,505.27	\$ 3,652.30	11/5/2016	\$ 3,588.12	0	\$ -
1063 Central Falls School Dist. (NC)	BIWK	11/23/2016	\$ 3,333.05	\$ 13,031.97	\$ 166,648.60	\$ 16,365.02	11/9/2016	\$ 18,783.22	0	\$ -
1052 City of Central Falls	BIWK	11/10/2016	\$ 1,415.12	\$ 10,470.88	\$ 77,677.35	\$ 11,886.00	11/10/2016	\$ 11,886.00	0	\$ -
1153 East Greenwich School Dist. (NC)	BIWK	11/11/2016	\$ 346.35	\$ 458.24	\$ 8,915.24	\$ 804.59	11/11/2016	\$ 804.59	0	\$ -
1158 EAST GREENWICH-COLA-NC	BIWK	11/11/2016	\$ 3,488.71	\$ 6,331.94	\$ 123,190.80	\$ 9,520.65	11/11/2016	\$ 9,520.65	0	\$ -
1262 Town of Hopkinton	BIWK	11/25/2016	\$ 1,637.76	\$ 2,381.70	\$ 66,902.60	\$ 4,019.46	11/11/2016	\$ 3,946.68	0	\$ -
1112 City of Cranston	BIWK	11/25/2016	\$ 1,780.47	\$ 44,214.91	\$ 455,353.67	\$ 61,995.38	11/11/2016	\$ 62,514.50	0	\$ -
1114 Cranston Police	BIWK	11/25/2016	\$ 42,242.14	\$ 40,425.77	\$ 422,421.94	\$ 82,667.91	11/11/2016	\$ 82,865.51	0	\$ -
1115 Cranston Fire	BIWK	11/25/2016	\$ 46,265.57	\$ 34,699.43	\$ 462,658.41	\$ 80,965.00	11/11/2016	\$ 80,906.96	0	\$ -
1264 Hopkinton Police Dept.	BIWK	11/25/2016	\$ 4,070.84	\$ 12,656.62	\$ 40,708.18	\$ 16,727.02	11/11/2016	\$ 15,267.86	0	\$ -
1383 North Providence School Dept. (NC)	BIWK	11/25/2016	\$ 1,146.49	\$ 6,650.68	\$ 114,667.03	\$ 7,797.11	11/11/2016	\$ 8,821.92	0	\$ -
1123 Cumberland School Dept. (NC)	SMON	11/26/2016	\$ 2,985.56	\$ 24,090.71	\$ 187,183.38	\$ 27,076.27	11/12/2016	\$ 27,845.45	0	\$ -
1352 City of Newport	BIWK	11/26/2016	\$ 8,787.57	\$ 21,935.64	\$ 95,000.34	\$ 30,723.21	11/12/2016	\$ 95,920.62	0	\$ -
1364 NEWPORT POLICE DEPT.	BIWK	11/26/2016	\$ 1,066.40	\$ 987.05	\$ 11,849.12	\$ 2,053.45	11/12/2016	\$ 1,961.64	0	\$ -
1113 Cranston School Dept. (NC)	BIWK	11/26/2016	\$ 15,126.18	\$ 49,436.79	\$ 509,130.18	\$ 64,562.97	11/12/2016	\$ 65,431.15	0	\$ -
1116 Cranston Housing Auth.	BIWK	11/26/2016	\$ 1,670.99	\$ 2,775.33	\$ 38,386.80	\$ 4,446.32	11/12/2016	\$ 4,446.32	0	\$ -
1412 City of Pawtucket	WKLY	11/26/2016	\$ 8,183.53	\$ 38,856.31	\$ 238,528.53	\$ 47,039.84	11/12/2016	\$ 52,440.53	0	\$ -
1413 Pawtucket School Dept. (NC)	WKLY	11/26/2016	\$ 4,166.65	\$ 24,399.10	\$ 149,780.08	\$ 28,565.75	11/12/2016	\$ 26,921.85	0	\$ -
1452 Town of Richmond	BIWK	11/27/2016	\$ 893.37	\$ 3,762.16	\$ 41,616.66	\$ 4,655.53	11/13/2016	\$ 4,584.64	0	\$ -
1454 Richmond Police Dept.	BIWK	11/27/2016	\$ 2,670.86	\$ 2,249.53	\$ 29,676.22	\$ 2,939.39	11/13/2016	\$ 5,920.39	0	\$ -
1633 Woonsocket School Dept. (NC)	BIWK	11/16/2016	\$ 4,676.86	\$ 27,265.47	\$ 233,839.21	\$ 31,942.33	11/16/2016	\$ 35,376.77	0	\$ -
1073 Charlho Regional School Dist. (NC)	BIWK	11/30/2016	\$ 3,926.37	\$ 21,673.49	\$ 196,317.34	\$ 25,599.86	11/16/2016	\$ 31,951.66	0	\$ -
1177 East Smithfield Water Dist.	BIWK	11/18/2016	\$ 266.56	\$ 202.96	\$ 6,076.42	\$ 469.52	11/18/2016	\$ 469.52	0	\$ -
1323 Middletown Public Schools (NC)	BIWK	11/18/2016	\$ 3,620.29	\$ 11,105.15	\$ 104,864.76	\$ 14,725.44	11/18/2016	\$ 14,725.44	0	\$ -
1004 Barrington Police Dept.	BIWK	12/2/2016	\$ 6,047.49	\$ 18,765.37	\$ 60,474.97	\$ 24,812.86	11/18/2016	\$ 24,894.26	0	\$ -
1005 Barrington Fire Dept. (20 Plan)	BIWK	12/2/2016	\$ 234.86	\$ -	\$ 2,348.59	\$ 234.86	11/18/2016	\$ 234.86	0	\$ -
1007 Barrington COLA Non-Certified	BIWK	12/2/2016	\$ 3,899.42	\$ 14,288.85	\$ 157,539.09	\$ 18,188.27	11/18/2016	\$ 18,250.67	0	\$ -
1008 Barrington Fire Dept. (25 Plan)	BIWK	12/2/2016	\$ 5,518.79	\$ 4,690.97	\$ 55,187.54	\$ 10,209.76	11/18/2016	\$ 10,237.44	0	\$ -
1009 Barrington COLA Group	BIWK	12/2/2016	\$ 5,092.13	\$ 12,338.43	\$ 136,035.10	\$ 17,430.56	11/18/2016	\$ 17,430.56	0	\$ -
1122 Town of Cumberland	BIWK	11/19/2016	\$ 4,842.73	\$ 25,078.97	\$ 194,864.11	\$ 28,921.70	11/19/2016	\$ 26,525.63	0	\$ -
1372 Town of North Kingstown	BIWK	11/19/2016	\$ 7,349.72	\$ 41,335.49	\$ 226,267.94	\$ 48,485.21	11/19/2016	\$ 48,485.21	0	\$ -
1374 North Kingstown Police Dept.	BIWK	11/19/2016	\$ 12,607.32	\$ 33,384.12	\$ 126,072.98	\$ 45,991.44	11/19/2016	\$ 45,991.44	0	\$ -
1375 North Kingstown Fire Dept.	BIWK	11/19/2016	\$ 17,180.32	\$ 51,523.67	\$ 171,802.84	\$ 68,703.99	11/19/2016	\$ 68,703.99	0	\$ -



Municipal Employees' Retirement System of Rhode Island

Report of Contributions
Period Ending: 12/07/16

Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
1343 New Shoreham School Dist. (NC)	BIWK	11/19/2016	\$ 527.10	\$ 1,278.98	\$ 16,376.52	\$ 1,806.08	11/19/2016	\$ 1,806.08	0	\$ -
1126 Cumberland Housing Auth.	WKLY	11/26/2016	\$ 176.36	\$ 655.32	\$ 8,820.44	\$ 831.68	11/19/2016	\$ 831.68	0	\$ -
1148 Cumberland Rescue	BIWK	11/19/2016	\$ 4,088.31	\$ 3,871.65	\$ 40,882.98	\$ 7,959.96	11/19/2016	\$ 7,959.96	0	\$ -
1157 EAST GREENWICH-COLA	WKLY	11/26/2016	\$ 1,954.92	\$ 2,708.81	\$ 52,700.01	\$ 4,663.73	11/19/2016	\$ 13,971.72	0	\$ -
1293 Limerock Adm. Services	WKLY	12/3/2016	\$ 88.42	\$ 252.57	\$ 1,850.96	\$ 340.99	11/19/2016	\$ 340.99	0	\$ -
1496 South Kingstown Housing Auth.	WKLY	11/26/2016	\$ 70.60	\$ 123.89	\$ 3,530.00	\$ 194.49	11/19/2016	\$ 194.49	0	\$ -
1156 East Greenwich Hsg. Auth.	BIWK	11/20/2016	\$ 380.22	\$ 1,714.71	\$ 19,009.95	\$ 2,094.93	11/20/2016	\$ 2,094.93	0	\$ -
1302 Town of Lincoln	BIWK	11/20/2016	\$ 324.61	\$ 3,895.27	\$ 32,460.52	\$ 4,219.88	11/20/2016	\$ 4,219.88	0	\$ -
1305 Lincoln Rescue	BIWK	11/20/2016	\$ 3,423.37	\$ 6,360.61	\$ 34,233.66	\$ 9,783.98	11/20/2016	\$ 9,783.98	0	\$ -
1463 Shtuate School Dept. (NC)	BIWK	11/20/2016	\$ 1,532.75	\$ 7,525.98	\$ 51,092.81	\$ 9,058.73	11/20/2016	\$ 9,058.73	0	\$ -
1562 Town of Warren	BIWK	11/20/2016	\$ 2,550.78	\$ 9,248.54	\$ 81,341.70	\$ 11,799.32	11/20/2016	\$ 11,799.32	0	\$ -
1564 Warren Police Dept.	BIWK	11/20/2016	\$ 6,888.80	\$ 18,158.88	\$ 68,888.08	\$ 25,047.68	11/20/2016	\$ 25,047.68	0	\$ -
1616 West Warwick Housing Auth.	BIWK	11/20/2016	\$ 243.64	\$ 1,022.03	\$ 12,181.65	\$ 1,265.67	11/20/2016	\$ 1,265.67	0	\$ -
1532 Town of Tiverton	BIWK	11/24/2016	\$ 1,571.23	\$ 1,429.40	\$ 50,687.36	\$ 3,000.63	11/24/2016	\$ 3,000.63	0	\$ -
1534 Tiverton Fire Dept.	BIWK	11/24/2016	\$ 7,409.42	\$ 12,269.95	\$ 74,093.71	\$ 19,679.37	11/24/2016	\$ 20,961.13	0	\$ -
1538 Tiverton Local 2670A	BIWK	11/24/2016	\$ 1,096.41	\$ 3,855.99	\$ 37,619.04	\$ 4,952.40	11/24/2016	\$ 4,952.40	0	\$ -
1163 East Providence Schools (NC)	BIWK	11/25/2016	\$ 8,257.20	\$ 80,494.00	\$ 329,487.61	\$ 88,751.20	11/25/2016	\$ 88,751.20	0	\$ -
1395 North Smithfield Fire & Rescue Services	WKLY	11/25/2016	\$ 3,026.34	\$ 5,171.96	\$ 30,262.76	\$ 8,198.30	11/25/2016	\$ 8,198.30	0	\$ -
1493 South Kingstown School Dept. (NC)	BIWK	11/25/2016	\$ 6,637.08	\$ 25,586.83	\$ 220,386.98	\$ 32,223.91	11/25/2016	\$ 32,330.42	0	\$ -
1392 Town of North Smithfield	BIWK	11/25/2016	\$ 1,756.87	\$ 4,226.94	\$ 68,842.78	\$ 5,983.81	11/25/2016	\$ 5,983.81	0	\$ -
1394 North Smithfield Police Dept.	BIWK	11/25/2016	\$ 10,453.73	\$ 21,660.07	\$ 104,537.01	\$ 32,113.80	11/25/2016	\$ 32,113.80	0	\$ -
1492 Town of South Kingstown	BIWK	11/26/2016	\$ 5,885.51	\$ 7,387.11	\$ 63,627.15	\$ 13,272.62	11/26/2016	\$ 42,763.06	0	\$ -
1494 South Kingstown Police	BIWK	11/26/2016	\$ 15,422.06	\$ 38,786.30	\$ 154,219.94	\$ 54,208.36	11/26/2016	\$ 54,208.36	0	\$ -
1505 South Kingstown EMT	BIWK	11/26/2016	\$ 2,881.73	\$ 1,420.68	\$ 28,817.33	\$ 4,302.41	11/26/2016	\$ 4,302.41	0	\$ -
1712 HARRISVILLE FIRE DISTRICT - Muni	WKLY	12/9/2016	\$ 181.66	\$ 183.24	\$ 4,404.40	\$ 364.90	11/26/2016	\$ 364.90	0	\$ -
1715 HARRISVILLE FIRE DISTRICT	WKLY	12/3/2016	\$ 647.46	\$ 340.59	\$ 6,474.20	\$ 988.05	11/26/2016	\$ 988.05	0	\$ -
1096 Coventry Housing Auth.	BIWK	11/26/2016	\$ 261.53	\$ 1,843.94	\$ 26,154.92	\$ 2,105.47	11/26/2016	\$ 2,105.47	0	\$ -
1155 East Greenwich Fire Dist.	WKLY	11/26/2016	\$ 6,096.04	\$ 17,538.15	\$ 60,959.88	\$ 23,634.19	11/26/2016	\$ 23,634.19	0	\$ -
1476 Smithfield Housing Auth.	BIWK	11/26/2016	\$ 200.47	\$ -	\$ 5,141.93	\$ 200.47	11/26/2016	\$ 200.47	0	\$ -
1016 Bristol Housing Authority	WKLY	12/9/2016	\$ 329.26	\$ -	\$ 8,266.15	\$ 329.26	11/26/2016	\$ 329.26	0	\$ -
1125 Cumberland Hill Fire Dist.	WKLY	12/3/2016	\$ 1,631.04	\$ 3,650.26	\$ 16,310.34	\$ 5,281.30	11/26/2016	\$ 6,584.26	0	\$ -
1135 Cumberland Hill Fire Dist.	WKLY	11/26/2016	\$ 1,315.92	\$ 3,774.07	\$ 13,159.16	\$ 5,089.99	11/26/2016	\$ 6,322.90	0	\$ -
1152 Town of East Greenwich	WKLY	11/26/2016	\$ 625.06	\$ 985.55	\$ 19,173.93	\$ 1,610.61	11/26/2016	\$ 1,610.61	0	\$ -
1154 East Greenwich Police Dept.	WKLY	11/26/2016	\$ 4,794.03	\$ 13,806.77	\$ 47,940.07	\$ 18,600.80	11/26/2016	\$ 18,600.80	0	\$ -
1365 North Cumberland Fire District	WKLY	12/3/2016	\$ 1,198.75	\$ 2,604.85	\$ 11,987.35	\$ 3,803.60	11/26/2016	\$ 4,760.28	0	\$ -
1555 Valley Falls Fire Dist.	WKLY	12/3/2016	\$ 1,093.84	\$ 1,938.55	\$ 12,153.79	\$ 3,032.39	11/26/2016	\$ 3,769.08	0	\$ -
1023 Bristol Warren Reg. School Dist. (NC)	WKLY	11/27/2016	\$ 5,001.58	\$ 28,838.63	\$ 184,154.65	\$ 33,840.21	11/27/2016	\$ 33,840.21	0	\$ -
1032 Town of Burrillville	BIWK	11/27/2016	\$ 4,096.71	\$ 10,459.76	\$ 128,973.72	\$ 14,556.47	11/27/2016	\$ 14,556.47	0	\$ -
1034 Burrillville Police Dept.	BIWK	11/27/2016	\$ 5,728.67	\$ 12,477.04	\$ 57,286.71	\$ 18,205.71	11/27/2016	\$ 18,205.71	0	\$ -
1336 Narragansett Housing Auth.	MNLY	11/30/2016	\$ 308.15	\$ 751.88	\$ 15,407.51	\$ 1,060.03	11/30/2016	\$ 1,060.03	0	\$ -
			\$594,126.68	\$1,578,180.79	\$11,729,488.73	\$2,172,307.47		\$2,561,767.11		\$447,116.11

Column Definitions:

Frequency = BIWK = Bi-Weekly, WKLY = Weekly, SMON = Semi-Monthly



Municipal Employees' Retirement System of Rhode Island

**Report of Contributions
Period Ending: 12/07/16**

Organization	Frequency	Last Posted Pay Period End Date	Employee Contributions	Employer Contributions	Wages	Total	Payment for Period Ending	Check Amount	Periods Past Due	Estimated Amount Past Due As Of 12/07/16
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Last Posted Pay Period End Date = represents last pay period that has been posted into the ERSRI system.

Payment for Pay Period Ending = represents that last pay period that the employer has satisfied.

Check Amount = represents the dollar amount of the last payment that the employer has remitted to ERSRI.

Periods Past Due = represents the number of payrolls that have not been posted to ERSRI or have been posted but balance due is still outstanding.

Estimated Amount Past Due = represents an estimate for payrolls that are delinquent, but have not been posted to the ERSRI system.



Employees' Retirement System of Rhode Island

Report of Received Contributions
Period Ending: 10/31/2016

	Organization	Frequency	Received Employer/Employee Contributions	Delinquent Contributions	Delinquent Loss/Earnings Calculation
1001	Barrington Public Schools	BIWK	\$167,957.79		
1007	Barrington COLA Non-Certified	BIWK	\$18,250.80		
1009	Barrington COLA Group	BIWK	\$16,135.05		
1012	Town of Bristol	BIWK	\$9,404.06		
1014	Bristol Police Department	BIWK	\$10,831.04		
1016	Bristol Housing Authority	WKLY	\$1,179.16		
1019	Town of Bristol EE Highway	BIWK	\$8,882.48		
1021	Bristol Warren Reg. School District	BIWK	\$151,917.25		
1023	Bristol Warren Reg. School District (NC)	BIWK	\$20,298.90		
1031	Burrillville School Department	BIWK	\$97,426.08		
1032	Town of Burrillville	BIWK	\$13,304.59		
1033	Burrillville School Department (NC)	BIWK	\$10,018.07		
1036	Burrillville Housing Authority	WKLY	\$2,112.87		
1052	City of Central Falls	BIWK	\$8,269.49		
1056	Central Falls Housing Authority	WKLY	\$5,994.78		\$7,046.38
1061	Central Falls Collaborative	BIWK	\$129,044.15 ^		\$3,592.61
1063	Central Falls School District (NC)	BIWK	\$21,228.30 ^		
1071	Charlho Regional School District	BIWK	\$96,922.03		
1073	Charlho Regional School District (NC)	BIWK	\$24,519.98		
1082	Town of Charlestown	BIWK	\$8,614.13		
1091	Coventry Public Schools	BIWK	\$231,466.66		
1095	Coventry Fire District	BIWK	\$5,552.66		
1096	Coventry Housing Authority	BIWK	\$3,130.70		
1098	Coventry Lighting District	BIWK	\$255.27		
1111	Cranston School Department	BIWK	\$926,971.06		
1112	City of Cranston	BIWK	\$42,493.47		
1113	Cranston School Department (NC)	BIWK	\$81,011.80		
1114	Cranston Police Department	BIWK	\$46,978.54 *		
1115	Cranston Fire Department	BIWK	\$55,448.52 *		
1116	Cranston Housing Authority	BIWK	\$3,313.84		
1121	Cumberland School Department	SMON	\$214,596.24		
1122	Town of Cumberland	BIWK	\$17,618.94		
1123	Cumberland School Department (NC)	SMON	\$21,488.92		
1126	Cumberland Housing Authority	WKLY	\$2,579.68		
1151	East Greenwich School Department	BIWK	\$204,177.06		



Employees' Retirement System of Rhode Island

Report of Received Contributions
 Period Ending: 10/31/2016

	Organization	Frequency	Received Employer/Employee Contributions	Delinquent Contributions	Delinquent Loss/Earnings Calculation
1152	Town of East Greenwich	WKLY	\$4,890.65		
1153	East Greenwich School District (NC)	BIWK	\$1,187.52		
1156	East Greenwich Housing Authority	BIWK	\$2,304.22		
1157	East Greenwich - COLA	WKLY	\$12,386.63		
1158	East Greenwich - COLA - NC	BIWK	\$21,080.95		
1161	East Providence School Department	BIWK	\$348,119.42		
1162	City of East Providence	BIWK	\$41,903.67		
1163	East Providence School Department (NC)	BIWK	\$54,253.32		
1166	East Providence Housing Authority	WKLY	\$3,125.78		
1177	East Smithfield Water District	BIWK	\$244.56		
1181	Exeter/West Greenwich Reg. School Department	BIWK	\$51,536.74		
1183	Exeter/West Greenwich Reg. School Department (NC)	BIWK	\$13,683.94		
1191	Foster School District	BIWK	\$11,384.72		
1192	Town of Foster	WKLY	\$3,264.65		
1193	Foster School District (NC)	BIWK	\$2,002.36		
1201	Foster/Glocester Reg. School District	BIWK	\$56,577.76		
1203	Foster/Glocester Reg. School District (NC)	BIWK	\$9,014.20		
1211	Glocester School District	BIWK	\$17,438.60		
1212	Town of Glocester	BIWK	\$6,223.70		
1213	Glocester School District (NC)	BIWK	\$4,122.99		
1227	Greenville Water District	WKLY	\$1,298.08		
1242	Hope Valley-Wyoming Fire District	BIWK	\$682.16		
1255	Hopkins Hill Fire Department	BIWK	\$3,469.36		
1262	Town of Hopkinton	BIWK	\$7,768.08		
1271	Jamestown School Department	BIWK	\$17,321.61		
1272	Town of Jamestown	BIWK	\$10,594.35		
1273	Jamestown School Department (NC)	BIWK	\$161,530.18		
1281	Johnston School Department	BIWK	\$5,839.21		
1282	Town of Johnston	BIWK	\$14,880.11		
1283	Johnston School Department (NC)	BIWK	\$18,692.49		
1286	Johnston Housing Authority	WKLY	\$1,813.04		
1293	Limerock Adm. Services	WKLY	\$212.64		
1301	Lincoln School Department	BIWK	\$162,592.99		
1302	Town of Lincoln	BIWK	\$3,895.28		
1303	Lincoln School Department (NC)	BIWK	\$1,033.78		
1306	Lincoln Housing Authority	BIWK	\$2,449.14		
1311	Little Compton School Department	BIWK	\$34,468.24		
1321	Middletown Public Schools	BIWK	\$102,386.28		
1322	Town of Middletown	BIWK	\$5,161.66		
1323	Middletown Public School Department (NC)	BIWK	\$11,293.15		
1331	Narragansett School Department	BIWK	\$46,523.53		
1336	Narragansett Housing Authority	MNLY	\$980.85		
1341	New Shoreham School District	BIWK	\$3,917.73		



Employees' Retirement System of Rhode Island

**Report of Received Contributions
Period Ending: 10/31/2016**

	Organization	Frequency	Received Employer/Employee Contributions	Delinquent Contributions	Delinquent Loss/Earnings Calculation
1342	Town of New Shoreham	BIWK	\$8,492.63		
1343	New Shoreham School District (NC)	BIWK	\$887.25		
1351	Newport School Department	BIWK	\$132,354.70		
1352	City of Newport	BIWK	\$31,337.76		
1353	Newport School Department (NC)	BIWK	\$17,390.58		
1354	City of Newport - Monthly	MNLY	\$41.67		
1356	Newport Housing Authority	WKLY	\$5,423.13		
1364	Newport Police Department	BIWK	\$1,173.32		
1371	North Kingstown School Department	BIWK	\$123,656.56		
1372	Town of North Kingstown	BIWK	\$22,670.13		
1373	North Kingstown School Department (NC)	BIWK	\$27,835.61		
1381	North Providence School Department	BIWK	\$93,548.32		
1382	Town of North Providence	BIWK	\$16,519.80		
1383	North Providence School Department (NC)	BIWK	\$13,667.43		
1386	North Providence Housing Authority	BIWK	\$2,094.98		
1391	North Smithfield School Department	BIWK	\$84,212.22		
1392	Town of North Smithfield	BIWK	\$8,026.65		
1393	North Smithfield School Department (NC)	BIWK	\$8,776.36		
1401	Northern Rhode Island Collaborative	BIWK	\$14,021.44		
1403	Northern Rhode Island Collaborative (NC)	BIWK	\$7,161.60		
1411	Pawtucket School Department	BIWK	\$294,175.07		
1412	City of Pawtucket	WKLY	\$47,910.45		
1413	Pawtucket School Department (NC)	WKLY	\$34,176.86		
1416	Pawtucket Housing Authority	WKLY	\$9,751.75		
1421	Portsmouth School Department	BIWK	\$125,939.82		
1441	Providence School Department	BIWK	\$608,784.27		
1447	Providence School Department Long Term Subs	WKLY	\$16,700.00		
1448	Providence School Department - 12 Month Bi-Weekly	BIWK	\$45,869.96		
1452	Town of Richmond	BIWK	\$4,503.58		
1461	Scituate School Department	BIWK	\$83,528.17		
1462	Town of Scituate	BIWK	\$6,196.24		
1463	Scituate School Department (NC)	BIWK	\$5,213.83		
1471	Smithfield School Department	BIWK	\$123,292.24		
1473	Smithfield School Department (NC)	BIWK	\$14,342.16		
1476	Smithfield Housing Authority	BIWK	\$364.32		
1478	Town of Smithfield (COLA)	WKLY	\$14,461.72		
1491	South Kingstown School Department	BIWK	\$106,243.62		
1492	Town of South Kingstown	BIWK	\$26,835.77		
1493	South Kingstown School Department (NC)	BIWK	\$22,690.79		



Employees' Retirement System of Rhode Island

Report of Received Contributions
 Period Ending: 10/31/2016

	Organization	Frequency	Received Employer/Employee Contributions	Delinquent Contributions	Delinquent Loss/Earnings Calculation
1496	South Kingstown Housing Authority	WKLY	\$847.20		
1515	Union Fire District	BIWK	\$1,312.76		
1531	Tiverton School Department	BIWK	\$89,558.71		
1532	Town of Tiverton	BIWK	\$5,245.44		
1533	Tiverton School Department (NC)	BIWK	\$8,465.50		
1538	Tiverton Local 2670A	BIWK	\$3,993.00		
1541	Urban Collaborative Schools	BIWK	\$5,555.47		
1562	Town of Warren	BIWK	\$7,986.84		
1566	Warren Housing Authority	BIWK	\$833.56		
1571	Warwick School Department	BIWK	\$287,619.81		
1591	West Bay Collaborative	BIWK	\$6,538.50		
1602	Town of West Greenwich	WKLY	\$4,797.16		
1611	West Warwick School Department	BIWK	\$146,895.26		
1616	West Warwick Housing Authority	BIWK	\$1,461.82		
1621	Westerly School Department	BIWK	\$162,978.84		
1631	Woonsocket School Department	BIWK	\$154,331.66		
1632	City of Woonsocket	WKLY	\$25,637.11		
1633	Woonsocket School Department (NC)	BIWK	\$29,516.08		
1634	Woonsocket Police Department	WKLY	\$24,480.42		
1635	Woonsocket Fire Department	WKLY	\$28,672.88		
1641	Highlander Charter School	SMON	\$6,662.01		
1651	Paul Cuffee School	BIWK	\$23,539.61		
1661	Kingston Hill Academy School	BIWK	\$4,026.19		
1671	International Charter School	BIWK	\$9,445.17		
1691	Blackstone Academy Charter School, Inc.	SMON	\$7,405.22		
1701	Beacon Charter School of Woonsocket	SMON	\$9,811.87		
1711	The Learning Community Charter School	BIWK	\$13,541.43		
1712	Harrisville Fire District - Municipal	WKLY	\$778.52		
1721	Segue Institute of Learning	BIWK	\$5,736.54		
1731	The Greene School	SMON	\$2,483.59		
1741	Trinity Academy	SMON	\$6,664.52		
1751	RI Nurses Institute	SMON	\$3,576.49		
1761	The Village Green Virtual Charter School	SMON	\$4,731.39		
1771	Nowell Leadership Academy	BIWK	\$9,670.55		
1781	South Side Elementary Charter School	BIWK	\$2,239.62		
1802	Pascoag Fire District - Administration	BIWK	\$265.00		
2000	State	BIWK	\$2,118,268.95		
2100	R.I. Airport Corporation	BIWK	\$407.68		
2300	Narragansett Bay Commission	BIWK	\$22,925.98		

\$9,422,125.79



Employees' Retirement System of Rhode Island

**Report of Received Contributions
Period Ending: 10/31/2016**

Organization	Frequency	Received Employer/Employee Contributions	Delinquent Contributions	Delinquent Loss/Earnings Calculation
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Column Definitions:
 Frequency = BIWK = Bi-Weekly; WKLY = Weekly; SMCN = Semi-Monthly
 Received Employer/Employee contributions = Contributions received during the reporting period

* Cranston Police and Fire have not withheld DC plan contributions on holiday and longevity payments for its MERS police officers and holiday payments for its firefighters. The City may be liable for loss earnings to employees for delayed contributions. Amounts due are currently under analysis.

^ Central Falls School Department has determined that the three employees (previously in question) are eligible to participate in the defined contribution plan. The CFSD is working with TIAA-CREF to calculate and post the required contributions for the prior periods. Once the contributions are posted TIAA-CREF will prepare a lost earnings calculation.

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial Last Name	Employer		Employer Name	Title-- Function	Number of		No. Days-- Split Footnote
		Code				Days		
PAUL	CHARPENTIER	1411		Pawtucket School Dept.	PRSB	37.00		37.00
THOMAS	J DIPIPO	1441		Providence School Dept.	PRAM	37.00		18.00
THOMAS	J DIPIPO	1441		Providence School Dept.	PRSB	37.00		19.00
FRANK	C PICCIRILLI	1441		Providence School Dept.	PRAM	35.00		18.00
FRANK	C PICCIRILLI	1441		Providence School Dept.	PRSB	35.00		17.00
LUCY	E LAMBOY	1441		Providence School Dept.	PRAM	35.00		18.00
LUCY	E LAMBOY	1441		Providence School Dept.	PRSB	35.00		17.00
MARY	B CULLEN	1441		Providence School Dept.	PRAM	35.00		18.00
MARY	B CULLEN	1441		Providence School Dept.	PRSB	35.00		17.00
MAUREEN	A FITZPATRICK-JOYCE	1441		Providence School Dept.	PRAM	34.50		17.50
MAUREEN	A FITZPATRICK-JOYCE	1441		Providence School Dept.	PRSB	34.50		17.00
JOANN	L ROBERTS	1071		Chariho Regional School Dist.	PRSB	33.00		33.00
MICHAEL	TOPAZIO	1001		Barrington Public Schools	PRSB	31.50		31.50
CHARLOTTE	TAVARES	1301		Lincoln School Dept.	PRAM	30.00		28.00
CHARLOTTE	TAVARES	1301		Lincoln School Dept.	PRSB	30.00		2.00
CLEA	B POIRIER	1441		Providence School Dept.	PRAM	30.00		13.00
CLEA	B POIRIER	1441		Providence School Dept.	PRSB	30.00		17.00
DIANNE	M RENZI	1441		Providence School Dept.	PRAM	29.00		14.00
DIANNE	M RENZI	1441		Providence School Dept.	PRSB	29.00		15.00
JOHN	E LALLI	1411		Pawtucket School Dept.	PRSB	29.00		29.00
GERALD	F LUONGO	1441		Providence School Dept.	PRAM	28.00		11.00
GERALD	F LUONGO	1441		Providence School Dept.	PRSB	28.00		17.00
JUDITH	A SACCARDO	1001		Barrington Public Schools	PRAM	28.00		28.00
FRANCIS	LALIBERTE	1411		Pawtucket School Dept.	PRAM	27.50		27.50
MARY KATE	CORRY	1411		Pawtucket School Dept.	PRAM	27.00		27.00
STEVEN	B KENNY	1091		Coventry Public Schools	PRSB	27.00		27.00
JUAN	A RODRIGUEZ	1441		Providence School Dept.	PRAM	26.00		12.00
JUAN	A RODRIGUEZ	1441		Providence School Dept.	PRSB	26.00		14.00
HAROLD	J SMITH	2000		Davies Vocational	PRAM	25.00		25.00
JUDITH	A LUNDSTEN	1341		New Shoreham School Dist.	PRAM	25.00		25.00
MARK	HAWK	1341		New Shoreham School Dist.	PRAM	24.00		24.00
ZITA	BUTLER	1411		Pawtucket School Dept.	PRSB	24.00		24.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer		Title-- Function	Number of		No. Days-- Split Footnote
			Code	Employer Name		Days	Days	
ELGERINE		ROBERTS	1441	Providence School Dept.	PRAM	23.00	23.00	10.00
ELGERINE		ROBERTS	1441	Providence School Dept.	PRSB	23.00	23.00	13.00
DEBORAH	R	GORMAN	1001	Barrington Public Schools	PRSB	22.50	22.50	22.50
PAMELA	R	ALEXANDER	1321	Middletown Public Schools	PRSB	22.50	22.50	22.50
CHRISTINE	L	WEBB	1331	Narragansett School Dept.	PRSB	22.00	22.00	22.00
ELLEN	L	VERMETTE	1411	Pawtucket School Dept.	PRSB	22.00	22.00	22.00
JOHN		JASIONOWSKI	1411	Pawtucket School Dept.	PRSB	22.00	22.00	8.00
JOHN		JASIONOWSKI	1413	Pawtucket School Dept. (NC)	PRMS	22.00	22.00	14.00
PAMELA	R	RINALDI	1411	Pawtucket School Dept.	PRSB	22.00	22.00	22.00
AUGUSTO		GOMES	1091	Coventry Public Schools	PRSB	21.50	21.50	21.50
RICHARD		ZAGRODNY	1631	Woonsocket School Dept.	PRSB	21.00	21.00	21.00
CLAUDIA		VIEIRA	1411	Pawtucket School Dept.	PRSB	20.00	20.00	20.00
DONNA		TOBIN	1091	Coventry Public Schools	PRSB	20.00	20.00	20.00
PATRICIA	R	MURRAY	1411	Pawtucket School Dept.	PRSB	20.00	20.00	20.00
DEBRA		MILLER	1411	Pawtucket School Dept.	PRSB	19.00	19.00	19.00
ELIZABETH		SALZILLO	1411	Pawtucket School Dept.	PRAM	19.00	19.00	19.00
HEIDI	A	WILLNER	1411	Pawtucket School Dept.	PRSB	19.00	19.00	19.00
MICHAEL	J	CONNOLLY	1411	Pawtucket School Dept.	PRAM	19.00	19.00	19.00
ROSEMARY		WITTELS	1441	Providence School Dept.	PRAM	19.00	19.00	13.00
ROSEMARY		WITTELS	1441	Providence School Dept.	PRSB	19.00	19.00	6.00
BETTY	A	NADROWSKI	1091	Coventry Public Schools	PRSB	18.50	18.50	18.50
ELIZABETH	A	GOMES	1341	New Shoreham School Dist.	PRAM	18.50	18.50	18.50
JANE	P	NOBREGA	1001	Barrington Public Schools	PRSB	18.50	18.50	18.50
ANNE	N	COLANNINO	1441	Providence School Dept.	PRAM	18.00	18.00	9.00
ANNE	N	COLANNINO	1441	Providence School Dept.	PRSB	18.00	18.00	9.00
BARBARA		KELLY	1411	Pawtucket School Dept.	PRSB	18.00	18.00	18.00
BEVERLY		KENNEY	1071	Charlho Regional School Dist.	PRSB	18.00	18.00	18.00
JOSEPH	M	MAGUIRE	1441	Providence School Dept.	PRAM	18.00	18.00	13.00
JOSEPH	M	MAGUIRE	1441	Providence School Dept.	PRSB	18.00	18.00	5.00
KATHLEEN	A	SULLIVAN	1441	Providence School Dept.	PRAM	18.00	18.00	7.00
KATHLEEN	A	SULLIVAN	1441	Providence School Dept.	PRSB	18.00	18.00	11.00
ROBERT	L	ARNOLD	1611	West Warwick School Dept.	PRSB	18.00	18.00	18.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer		Employer Code	Employer Name	Title-- Function	Number of		No. Days-- Split Footnote
			Code					Days		
STEPHEN		KANE	1441		1441	Providence School Dept.	PRAM	18.00		2.00
STEPHEN		KANE	1441		1441	Providence School Dept.	PRSB	18.00		16.00
LINDA	A	TERRANOVA	1621		1621	Westerly School Dept.	PRSB	17.50		17.50
FRANK	G	RICCI	1571		1571	Warwick School Dept.	PRAM	17.00		17.00
JOHN	T	CANOLE	1341		1341	New Shoreham School Dist.	PRAM	17.00		17.00
JOSEPH	F	RENZULLI	1441		1441	Providence School Dept.	PRAM	17.00		9.00
JOSEPH	F	RENZULLI	1441		1441	Providence School Dept.	PRSB	17.00		8.00
LAURIE	A	MAGNETTE	1441		1441	Providence School Dept.	PRAM	17.00		7.00
LAURIE	A	MAGNETTE	1441		1441	Providence School Dept.	PRSB	17.00		10.00
LINDA	C	GLORIA	1441		1441	Providence School Dept.	PRAM	17.00		6.00
LINDA	C	GLORIA	1441		1441	Providence School Dept.	PRSB	17.00		11.00
LYNNE	I	EDMONDS	1441		1441	Providence School Dept.	PRAM	17.00		1.00
LYNNE	I	EDMONDS	1441		1441	Providence School Dept.	PRSB	17.00		16.00
MAGDALENE	M	SOLOMON	1441		1441	Providence School Dept.	PRSB	17.00		17.00
MARIANNE		HUGHES	1091		1091	Coventry Public Schools	PRSB	17.00		17.00
THOMAS	P	MONTAQUILA	1441		1441	Providence School Dept.	PRAM	17.00		9.00
THOMAS	P	MONTAQUILA	1441		1441	Providence School Dept.	PRSB	17.00		8.00
JAMES	F	DELILLO	1301		1301	Lincoln School Dept.	PRAM	16.50		16.50
LAURENT		DESROSIERS	1301		1301	Lincoln School Dept.	PRAM	16.50		16.50
DIANE		CRESTO	1441		1441	Providence School Dept.	PRAM	16.00		6.00
DIANE		CRESTO	1441		1441	Providence School Dept.	PRSB	16.00		10.00
GERALD	A	FOGEL	1441		1441	Providence School Dept.	PRAM	16.00		9.00
GERALD	A	FOGEL	1441		1441	Providence School Dept.	PRSB	16.00		7.00
KATHLEEN	P	BROWNING	1621		1621	Westerly School Dept.	PRSB	16.00		16.00
LARRY	W	DAVIDSON	1071		1071	Charlho Regional School Dist.	PRSB	16.00		16.00
ROBERT	S	SOSCIA	1571		1571	Warwick School Dept.	PRSB	16.00		16.00
SANDRA		LUPIEN	1413		1413	Pawtucket School Dept. (NC)	PRMS	16.00		16.00
ALAN	W	GRAVELL	1001		1001	Barrington Public Schools	PRAM	15.50		15.50
CAROLE	D	PECKHAM	1631		1631	Woonsocket School Dept.	PRSB	15.50		15.50
DEBRA	L	MILLER	1571		1571	Warwick School Dept.	PRSB	15.00		15.00
JO-ANN		AVEDISIAN	1091		1091	Coventry Public Schools	PRSB	15.00		15.00
MARY		SMALL	1411		1411	Pawtucket School Dept.	PRSB	15.00		15.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--Vacancy; PRMS--State School Vacancy; PRMS--Municipal in School

First Name	Initial Last Name	Employer Code	Employer Name	Title--Function	Number of		No. Days--Split Footnote
					Days	Days	
MARY	E FARGNOLI-LEONE	1571	Warwick School Dept.	PRAM	15.00	15.00	15.00
LETTY	MILLS	1331	Narragansett School Dept.	PRSB	14.50	14.50	14.50
NANCY	SOUZA	1421	Portsmouth School Dept.	PRSB	14.50	14.50	14.50
ANTHONY	J TUTALO	1441	Providence School Dept.	PRAM	14.00	14.00	6.00
ANTHONY	J TUTALO	1441	Providence School Dept.	PRSB	14.00	14.00	8.00
DAVID	S SILVA	1031	Burrillville School Dept.	PRAM	14.00	14.00	14.00
JANET	TARPEY	1381	North Providence School Dept.	PRSB	14.00	14.00	4.00
JANET	TARPEY	1411	Pawtucket School Dept.	PRSB	14.00	14.00	10.00
JANICE	D PETERSON	1033	Burrillville School Dept. (NC)	PRMS	14.00	14.00	14.00
LINDA	L RUDDY	1413	Pawtucket School Dept. (NC)	PRMS	14.00	14.00	14.00
LORNA	O'CONNELL	1411	Pawtucket School Dept.	PRSB	14.00	14.00	14.00
MARION	L MCCABE	1441	Providence School Dept.	PRAM	14.00	14.00	1.00
MARION	L MCCABE	1441	Providence School Dept.	PRSB	14.00	14.00	13.00
THELMA	CORRENTE	1441	Providence School Dept.	PRAM	14.00	14.00	6.00
THELMA	CORRENTE	1441	Providence School Dept.	PRSB	14.00	14.00	8.00
WILLIAM	HODGE	1331	Narragansett School Dept.	PRSB	14.00	14.00	7.00
WILLIAM	HODGE	1491	South Kingstown School Dept.	PRSB	14.00	14.00	7.00
SANDRA	A SHAW	1321	Middletown Public Schools	PRAM	13.50	13.50	13.50
THERESA SMITH	DORRY	1631	Woonsocket School Dept.	PRSB	13.50	13.50	13.50
ANN	BARRY	1411	Pawtucket School Dept.	PRSB	13.00	13.00	13.00
BARBARA ANN	CARDOSI	1411	Pawtucket School Dept.	PRSB	13.00	13.00	13.00
BARRY	L LIAL	1441	Providence School Dept.	PRAM	13.00	13.00	3.00
BARRY	L LIAL	1441	Providence School Dept.	PRSB	13.00	13.00	10.00
DONNA	LANGTON	1411	Pawtucket School Dept.	PRSB	13.00	13.00	13.00
KATHLEEN	MASTROBUONO	1441	Providence School Dept.	PRAM	13.00	13.00	13.00
LINDA	ROBERTSON-JENNINGS	1441	Providence School Dept.	PRAM	13.00	13.00	4.00
LINDA	ROBERTSON-JENNINGS	1441	Providence School Dept.	PRSB	13.00	13.00	9.00
LOURDES	O COSTA	1441	Providence School Dept.	PRAM	13.00	13.00	10.00
LOURDES	O COSTA	1441	Providence School Dept.	PRSB	13.00	13.00	3.00
MARY SUE	FRISHMAN	1621	Westerly School Dept.	PRSB	13.00	13.00	13.00
ELAINE	C HAZZARD	1411	Pawtucket School Dept.	PRAM	12.50	12.50	12.50
LINDA MARIE	LE PAGE	1531	Tiverton School Dept.	PRSB	12.50	12.50	12.50

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer Code	Employer Name	Title-- Function	Number of		No. Days-- Split Footnote
						Days	Days	
MAUREEN ROBERT	T	CICCHITELLI	1491	South Kingstown School Dept.	PRAM	12.50	12.50	12.50
DOROTHY	J	PALMEIRO	1091	Coventry Public Schools	PRSB	12.50	12.50	12.50
DOROTHY	J	KUPITZ	1441	Providence School Dept.	PRAM	12.00	12.00	3.00
JORDAN W		KUPITZ	1441	Providence School Dept.	PRSB	12.00	12.00	9.00
LAURA		HOROWITZ	1381	North Providence School Dept.	PRSB	12.00	12.00	12.00
MARILYN	A	BARRETO	1413	Pawtucket School Dept. (NC)	PRMS	12.00	12.00	12.00
MAUREEN		DIMICCO	1491	South Kingstown School Dept.	PRAM	12.00	12.00	12.00
PAULA	M	DE CRESCENZO	1751	RI NURSES INSTITUTE	PRAM	12.00	12.00	12.00
ROGER	A	MARNIK	1381	North Providence School Dept.	PRSB	12.00	12.00	12.00
STEPHEN	M	RENZI	1411	Pawtucket School Dept.	PRSB	12.00	12.00	12.00
CARL	F	MCCARTY	1491	South Kingstown School Dept.	PRSB	12.00	12.00	12.00
DEBORAH		CHIULLI	1631	Woonsocket School Dept.	PRAM	11.00	11.00	11.00
GARY	W	BOLTON	1411	Pawtucket School Dept.	PRSB	11.00	11.00	11.00
GEORGE	E	BEAUDOIN	1091	Coventry Public Schools	PRSB	11.00	11.00	11.00
MARY	K	BRIGGS	1631	Woonsocket School Dept.	PRAM	11.00	11.00	11.00
MARY	K	GREENAN	1271	Jamestown School Dept.	PRSB	11.00	11.00	2.00
NATALIE		GREENAN	1491	South Kingstown School Dept.	PRSB	11.00	11.00	9.00
NATALIE		BIELAWSKI	1441	Providence School Dept.	PRAM	11.00	11.00	3.00
PHYLLIS	R	BIELAWSKI	1441	Providence School Dept.	PRSB	11.00	11.00	8.00
PHYLLIS	R	OELBAUM	1441	Providence School Dept.	PRAM	11.00	11.00	2.00
RAYMOND	F	OELBAUM	1441	Providence School Dept.	PRSB	11.00	11.00	9.00
THOMAS		DOYLE	1611	West Warwick School Dept.	PRSB	11.00	11.00	11.00
PAULA		CONLON	1091	Coventry Public Schools	PRSB	11.00	11.00	11.00
CAROL	A	MARGINSON	1091	Coventry Public Schools	PRSB	10.50	10.50	10.50
GAYLE	J	DENELLE	1571	Warwick School Dept.	PRSB	10.00	10.00	10.00
GERALDINE		BARBIERI	1441	South Kingstown School Dept.	PRSB	10.00	10.00	10.00
GERALDINE		BARBIERI	1441	Providence School Dept.	PRAM	10.00	10.00	2.00
KIM	L	KIMBALL	1441	Providence School Dept.	PRSB	10.00	10.00	8.00
LINDA	S	RESNICK	1621	Westerly School Dept.	PRSB	10.00	10.00	10.00
PAUL	C	STROUP JR	1411	Pawtucket School Dept.	PRSB	10.00	10.00	10.00
PRISCILLA	J	ARCHAMBAULT	1331	Narragansett School Dept.	PRSB	10.00	10.00	10.00
			1331	Narragansett School Dept.	PRAM	10.00	10.00	10.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--Vacancy; PRMS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer		Employer Name	Title-- Function	Number of		Split Footnote
			Code				Days	No. Days--	
RICHARD		BERNARDO	1531		Tiverton School Dept.	PRAM	10.00		10.00
SANDRA	G	LEWIS	1441		Providence School Dept.	PRSB	10.00		10.00
SUSAN		HALPIN	1411		Pawtucket School Dept.	PRSB	10.00		10.00
JENNIFER	M	ROMPH	1271		Jamestown School Dept.	PRAM	9.50		9.50
MARJORIE	G	CHACE	1411		Pawtucket School Dept.	PRSB	9.50		9.50
PETER		MOREAU	1301		Lincoln School Dept.	PRAM	9.50		9.50
ANDREW	J	RILEY	1631		Woonsocket School Dept.	PRAM	9.00		9.00
ANGELO		NERI	1441		Providence School Dept.	PRSB	9.00		9.00
CLAUDE	M	WATSKY	1491		South Kingstown School Dept.	PRSB	9.00		9.00
CLAUDIA		BARRETT	1441		Providence School Dept.	PRAM	9.00		8.00
CLAUDIA		BARRETT	1441		Providence School Dept.	PRSB	9.00		1.00
CYNTHIA	L	AZZA	1491		South Kingstown School Dept.	PRSB	9.00		9.00
DAVID	C	LEACH	1441		Providence School Dept.	PRAM	9.00		9.00
HEATHER		FOURNIER	1411		Pawtucket School Dept.	PRSB	9.00		9.00
JUDITH	H	SMITH	1271		Jamestown School Dept.	PRSB	9.00		9.00
MARGARET	A	EDWARDS	1631		Woonsocket School Dept.	PRAM	9.00		9.00
RICHARD	A	AUBIN	1031		Burrillville School Dept.	PRSB	9.00		9.00
CATHERINE		CRIMALDI	1161		East Providence Schools	PRSB	8.50		8.50
CHERYL	C	BLUMENBAUM	1331		Narragansett School Dept.	PRSB	8.50		8.50
JAMES	P	DUGAN	1321		Middletown Public Schools	PRAM	8.50		8.50
JAYNE	S	BAILEY	1091		Coventry Public Schools	PRSB	8.50		8.50
KATHLEEN	T	PERCIVAL	1571		Warwick School Dept.	PRSB	8.50		8.50
BARBARA		SWIENTON	1341		New Shoreham School Dist.	PRAM	8.00		8.00
CANDACE	A	MCCALL	1441		Providence School Dept.	PRSB	8.00		8.00
CARMEN	M	ROBICHAUD	1441		Providence School Dept.	PRAM	8.00		2.00
CARMEN	M	ROBICHAUD	1441		Providence School Dept.	PRSB	8.00		6.00
CAROL	K	BATCHELDER	1331		Narragansett School Dept.	PRSB	8.00		8.00
DAVID	R	BENTLEY	1273		Jamestown School Dept. (NC)	PRMS	8.00		8.00
EDWARD		BENJAMIN	1631		Woonsocket School Dept.	PRSB	8.00		8.00
ESTA	V	BARCOHANA	1411		Pawtucket School Dept.	PRSB	8.00		8.00
G ERVIN		HOLE	1331		Narragansett School Dept.	PRSB	8.00		8.00
GARY		MOROCH	1441		Providence School Dept.	PRSB	8.00		8.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial Last Name	Employer Code	Employer	Employer Name	Title-- Function	Number of		Split Footnote
						Days	No. Days--	
JACQUELINE	DALEY	1411		Pawtucket School Dept.	PRSB	8.00	8.00	8.00
JAMES	D'AMBRA	1441		Providence School Dept.	PRAM	8.00	2.00	2.00
JAMES	D'AMBRA	1441		Providence School Dept.	PRAM	8.00	2.00	2.00
JAMES	D'AMBRA	1441		Providence School Dept.	PRSB	8.00	6.00	6.00
JAMES	D'AMBRA	1441		Providence School Dept.	PRSB	8.00	6.00	6.00
KAREN	FEENEY	1441		Providence School Dept.	PRAM	8.00	4.00	4.00
KAREN	FEENEY	1441		Providence School Dept.	PRSB	8.00	4.00	4.00
PETER	G LAURIE	1611		West Warwick School Dept.	PRAM	8.00	8.00	8.00
ROBERT	J PALOMBO	1441		Providence School Dept.	PRAM	8.00	3.00	3.00
ROBERT	J PALOMBO	1441		Providence School Dept.	PRAM	8.00	3.00	3.00
ROBERT	J PALOMBO	1441		Providence School Dept.	PRSB	8.00	5.00	5.00
ROBERT	J PALOMBO	1441		Providence School Dept.	PRSB	8.00	5.00	5.00
ROSLYN	BIRKE	1751		RI NURSES INSTITUTE	PRAM	8.00	8.00	8.00
RUSSELL	D NOBLE	1001		Barrington Public Schools	PRSB	8.00	8.00	8.00
WILLIAM	T BEHREND'S JR	1331		Narragansett School Dept.	PRAM	8.00	8.00	8.00
CHERYL	A XAVIER	1331		Narragansett School Dept.	PRAM	7.50	7.50	7.50
JANE	C VALLIERE	1331		Narragansett School Dept.	PRSB	7.50	7.50	7.50
JANICE	E ABRAHAM	1091		Coventry Public Schools	PRSB	7.50	7.50	7.50
CAROLYN	M KEEGAN	1571		Warwick School Dept.	PRSB	7.00	7.00	7.00
CHRISTINE	SPADOLA TUONI	1491		South Kingstown School Dept.	PRSB	7.00	7.00	7.00
CYNTHIA	JOHNSON	1071		Charlho Regional School Dist.	PRSB	7.00	7.00	7.00
DONNA	M BICKI-LAITHY	1631		Woonsocket School Dept.	PRSB	7.00	7.00	7.00
ELIZABETH	S STONER	1001		Barrington Public Schools	PRSB	7.00	7.00	7.00
JAMES	R GAMBARDELLA	1441		Providence School Dept.	PRAM	7.00	5.00	5.00
JAMES	R GAMBARDELLA	1441		Providence School Dept.	PRSB	7.00	2.00	2.00
JO ANN	JOINES	1411		Pawtucket School Dept.	PRSB	7.00	7.00	7.00
JUDITH	D GUGEL	1411		Pawtucket School Dept.	PRSB	7.00	7.00	7.00
JULIO	SEQUEIRA	1411		Pawtucket School Dept.	PRSB	7.00	7.00	7.00
MARIA	J CASIMIRO	1411		Pawtucket School Dept.	PRSB	7.00	7.00	7.00
MARY	C CLARK	1321		Middletown Public Schools	PRSB	7.00	7.00	7.00
MAUREEN	A DEL SESTO	1381		North Providence School Dept.	PRSB	7.00	7.00	7.00
ROGER	CALLEI	1633		Woonsocket School Dept. (NC)	PRMS	7.00	7.00	7.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--Vacancy; PRMS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer		Employer Name	Title-- Function	Number of		Split Footnote
			Code				Days	No. Days--	
SUSAN		KAPLAN	1441		Providence School Dept.	PRAM	7.00		3.00
SUSAN		KAPLAN	1441		Providence School Dept.	PRSB	7.00		4.00
JUDITH	A	CALABRETTA	1321		Middletown Public Schools	PRSB	6.50		6.50
SHEILA	A	MONAHAN	2010		Correctional Officers	PRSB	6.50		6.50
BERNADETTE	M	QUINDAZZI	1571		Warwick School Dept.	PRSB	6.00		6.00
CAROL		PIZZUTI	1611		West Warwick School Dept.	PRSB	6.00		6.00
DEBORAH		MASCIARELLI	1491		South Kingstown School Dept.	PRSB	6.00		6.00
ELIZABETH		LESPERANCE	1411		Pawtucket School Dept.	PRSB	6.00		6.00
HARRY		POTTER	1441		Providence School Dept.	PRAM	6.00		6.00
JOYCE		AVEDISIAN	1411		Pawtucket School Dept.	PRSB	6.00		6.00
KATHLEEN	A	WALSH	1571		Warwick School Dept.	PRSB	6.00		6.00
LYDIA	M	MATTERA	1441		Providence School Dept.	PRSB	6.00		6.00
MARIE		RILEY	1411		Pawtucket School Dept.	PRSB	6.00		6.00
MICHAEL	J	BENEDETTO	2000		Davies Vocational	PRAM	6.00		6.00
PATRICIA		REALI	1611		West Warwick School Dept.	PRSB	6.00		6.00
PAULA	K	JOHNSON	1441		Providence School Dept.	PRAM	6.00		3.00
PAULA	K	JOHNSON	1441		Providence School Dept.	PRSB	6.00		3.00
RALPH	A	MALAFRONTA	1061		Central Falls Collaborative	PRAM	6.00		6.00
SHIRLEY		ST. AMAND	1091		Coventry Public Schools	PRSB	6.00		6.00
VIRGINIA	R	DIMASI	1611		West Warwick School Dept.	PRSB	6.00		6.00
ANNE		BOLDUC	1631		Woonsocket School Dept.	PRSB	5.50		5.50
BARBARA		RIX	1001		Barrington Public Schools	PRSB	5.50		5.50
PATRICIA		RIBEIRO	1611		West Warwick School Dept.	PRSB	5.50		5.50
CAROLYN	H	PERKINS	1071		Charlho Regional School Dist.	PRSB	5.00		5.00
CHARLENE	J	CENTRACCHIO	1381		North Providence School Dept.	PRSB	5.00		5.00
CINDY	L	JUAIRE	1413		Pawtucket School Dept. (NC)	PRMS	5.00		5.00
CLAIRE		SCHWARZBACH	1491		South Kingstown School Dept.	PRSB	5.00		5.00
KATHERINE	A	AIELLO	1071		Charlho Regional School Dist.	PRSB	5.00		4.00
KATHERINE	A	AIELLO	1621		Westerly School Dept.	PRSB	5.00		1.00
KENNETH	L	PECKHAM	1631		Woonsocket School Dept.	PRSB	5.00		5.00
LINDA	A	RODERICK	1621		Westerly School Dept.	PRSB	5.00		5.00
LINDA	R	OSBORNE	1091		Coventry Public Schools	PRSB	5.00		5.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial Last Name	Employer Code	Employer	Employer Name	Title--Function	Number of		No. Days--Split Footnote
						Days	Days	
LISA	J TUTAJ-HARPIN	1031	Burrillville School Dept.	PRAM		5.00		5.00
SUSAN	CHABOT	1611	West Warwick School Dept.	PRSB		5.00		5.00
ANNE	F OLIVEIRA	1321	Middletown Public Schools	PRSB		4.00		4.00
CYNTHIA	L PIEZ-PACHECO	1491	South Kingstown School Dept.	PRSB		4.00		4.00
DEBRA	A YRCHIK-SHOEMAKER	1491	South Kingstown School Dept.	PRSB		4.00		4.00
DENISE	M CARPENTER	1441	Providence School Dept.	PRSB		4.00		4.00
ELIZABETH	J RODERIGUES	1323	Middletown Public Schools (NC)	PRMS		4.00		4.00
JAMES	J KILEY	1091	Coventry Public Schools	PRSB		4.00		4.00
JOSEPH	BRAGANCA	1411	Pawtucket School Dept.	PRSB		4.00		4.00
LINDA	M SULLIVAN	2010	Correctional Officers	PRSB		4.00		4.00
LORY	I FITZGERALD	1441	Providence School Dept.	PRSB		4.00		4.00
MARIE	D RADOCCIA	1091	Coventry Public Schools	PRSB		4.00		4.00
MARY LOU	RIKER	1001	Barrington Public Schools	PRSB		4.00		4.00
NANCY	P CORDY	1491	South Kingstown School Dept.	PRSB		4.00		4.00
THOMAS	J ROSSI	1381	North Providence School Dept.	PRSB		4.00		4.00
BARBARA	IACOI	1071	Charho Regional School Dist.	PRSB		3.00		3.00
BARBARA	F PENZA	1571	Warwick School Dept.	PRSB		3.00		3.00
CHRISTINE	A GEISELMAN	1411	Pawtucket School Dept.	PRSB		3.00		3.00
DIANE	M DESIMONE	1441	Providence School Dept.	PRAM		3.00		2.00
DIANE	M DESIMONE	1441	Providence School Dept.	PRSB		3.00		1.00
DOLORES ANN	BERUBE	1161	East Providence Schools	PRSB		3.00		3.00
DOROTHY	V RICHARD	1413	Pawtucket School Dept. (NC)	PRMS		3.00		3.00
ELAINE	C PETROCELLI	1091	Coventry Public Schools	PRSB		3.00		3.00
JANE	A MAINELLA	1571	Warwick School Dept.	PRSB		3.00		3.00
JEANNE	TSAKERES	1331	Narragansett School Dept.	PRSB		3.00		3.00
JOSE	D FERMIN	1441	Providence School Dept.	PRAM		3.00		3.00
JOSEPH	J MOLLICA	1441	Providence School Dept.	PRAM		3.00		3.00
KATHRYN	G SMITH	1491	South Kingstown School Dept.	PRSB		3.00		3.00
LORI	RATHBONE	1071	Charho Regional School Dist.	PRSB		3.00		3.00
LOUIS	A BRUNO	1491	South Kingstown School Dept.	PRSB		3.00		3.00
MARIO	E PAPITTO	1061	Central Falls Collaborative	PRAM		3.00		3.00
MARY	A JOHNSTON	1091	Coventry Public Schools	PRSB		3.00		3.00

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer		Employer Name	Title-- Function	Number of		No. Days-- Split Footnote
			Code				Days		
MARY JANE		ESTRELLA	1161		East Providence Schools	PRSB	3.00		3.00
PATRICIA	A	MAYNARD	1441		Providence School Dept.	PRSB	3.00		3.00
RICHARD	S	BENSUSAN	1441		Providence School Dept.	PRSB	3.00		3.00
SHARON	A	CASCIONE	1611		West Warwick School Dept.	PRSB	3.00		3.00
STEVEN		KING	1491		South Kingstown School Dept.	PRSB	3.00		3.00
DONALD	P	FAELLA	1001		Barrington Public Schools	PRSB	2.50		2.50
GEORGE		MCLAUGHLIN	1441		Providence School Dept.	PRSB	2.50		2.50
MARLEE	T	LACOSTE	1341		New Shoreham School Dist.	PRAM	2.50		2.50
RICHARD	P	ANTERNI	1301		Lincoln School Dept.	PRSB	2.50		2.50
SUZANNE	E	BETTENCOURT	1091		Coventry Public Schools	PRSB	2.50		2.50
ALICE JANET		BLISS	1091		Coventry Public Schools	PRSB	2.00		2.00
ANNE MARIE		COLE	1611		West Warwick School Dept.	PRSB	2.00		2.00
BRENDA		MACLEAN	1611		West Warwick School Dept.	PRSB	2.00		2.00
CATHERINE		LAPIERRE	1413		Pawtucket School Dept. (NC)	PRMS	2.00		2.00
CHRISTINE		NELSON	1091		Coventry Public Schools	PRSB	2.00		2.00
DIANE	M	FARREN	1571		Warwick School Dept.	PRSB	2.00		2.00
ELAINE		SPARLING	1611		West Warwick School Dept.	PRSB	2.00		2.00
ELAINE	A	HOULE	1571		Warwick School Dept.	PRSB	2.00		2.00
FRANK		KENDRA	1631		Woonsocket School Dept.	PRSB	2.00		2.00
JANE		BATES	1491		South Kingstown School Dept.	PRSB	2.00		2.00
JANICE	R	TETREAULT	1571		Warwick School Dept.	PRSB	2.00		2.00
JUDITH	D	SORMANTI	1381		North Providence School Dept.	PRSB	2.00		2.00
LUCILLE		FURIA	1441		Providence School Dept.	PRSB	2.00		2.00
MARTHA	M	PERKINS	1611		West Warwick School Dept.	PRSB	2.00		2.00
MARY	V	MCSALLY	1331		Narragansett School Dept.	PRSB	2.00		2.00
MAUREEN	G	VILLENEUVE	2010		Correctional Officers	PRSB	2.00		2.00
MICHAEL	L	CONVERY	1271		Jamestown School Dept.	PRAM	2.00		2.00
PHILIP	W	KERSHAW	1611		West Warwick School Dept.	PRSB	2.00		2.00
ROBERT		ZAMBUCO	1611		West Warwick School Dept.	PRSB	2.00		2.00
SUSANNE		WILLIAMS	1411		Pawtucket School Dept.	PRSB	2.00		2.00
BERYL		JOHNSTON	1331		Narragansett School Dept.	PRSB	1.50		1.50
CLAIRE	P	ELLIS	1321		Middletown Public Schools	PRSB	1.50		1.50

Teacher Retirees and Non-Certified Retirees Working 16-17 School Year PRSB--Substitute; PRAM--Vacancy; PRIS--State School Vacancy; PRMS--Municipal in School

First Name	Initial	Last Name	Employer Code	Employer Name	Title-- Function	Number of		No. Days-- Split Footnote
						Days	Days	
JACQUELYN		LAMOUREUX	1611	West Warwick School Dept.	PRSB	1.50	1.50	1.50
JOAN	B	WHITTY	1321	Middletown Public Schools	PRSB	1.50	1.50	1.50
MARY PATRICIA	P	BOYNTON	1331	Narragansett School Dept.	PRSB	1.50	1.50	1.50
PATRICIA	A	PALMIERI	1091	Coventry Public Schools	PRSB	1.50	1.50	1.50
ROBERT	S	AUTIERI	2010	Correctional Officers	PRSB	1.50	1.50	1.50
CATHERINE	A	BURDICK	1091	Coventry Public Schools	PRSB	1.00	1.00	1.00
DEBORAH	J	PISTACCHIO	1381	North Providence School Dept.	PRAM	1.00	1.00	1.00
EUNICE	A	GIZZI	1321	Middletown Public Schools	PRSB	1.00	1.00	1.00
GERALDINE	A	PIRRI	1441	Providence School Dept.	PRAM	1.00	1.00	1.00
JANICE	M	ALLSWORTH	1463	Scituate School Dept. (NC)	PRMS	1.00	1.00	1.00
MARIA	A	TASCA	1571	Warwick School Dept.	PRSB	1.00	1.00	1.00
MARIE	E	BARRETT	1491	South Kingstown School Dept.	PRSB	1.00	1.00	1.00
MARTHA	L	BATON	1071	Charho Regional School Dist.	PRSB	1.00	1.00	1.00
MARTHA	M	ANDERSON	1331	Narragansett School Dept.	PRSB	1.00	1.00	1.00
MARY	C	LOMBARDO	1441	Providence School Dept.	PRSB	1.00	1.00	1.00
MICHELLE		NATALIZIA	1441	Providence School Dept.	PRSB	1.00	1.00	1.00
PATRICIA	E	ANGILLY	1571	Warwick School Dept.	PRSB	1.00	1.00	1.00
RAYMOND		MCGEE	1411	Pawtucket School Dept.	PRSB	1.00	1.00	1.00
SHIRLEY	K	VENTRONE	1091	Coventry Public Schools	PRSB	1.00	1.00	1.00

PRME--Retirees Working in Municipalities in 2016 Calendar Year

First Name	Initial	Last Name	Employer Code	Employer Name	Number of No. of Days		Split Footnote
					Days	Days	
THOMAS	D	GORDON	1566	Warren Housing Auth.	139.00	139.00	Over limit--suspended pension
MICHAEL	A	DEMELLO	1012	Town of Bristol	122.00	80.00	Over limit--suspended pension
MICHAEL	A	DEMELLO	1015	Bristol Fire Dept.	122.00	42.00	Over limit--suspended pension
KEITH	M	NOTARIANNI	1492	Town of South Kingstown	91.00	91.00	Over limit--Accidental Disability
EMERSON	J	MARVEL	1162	City of East Providence	79.00	79.00	Over limit--suspended pension
WILLIAM	J	ZIEHL	1192	Town of Foster	76.00	76.00	Over limit--suspended pension
GARETH		EAMES	1562	Town of Warren	75.00	75.00	Over limit--suspended pension
PATRICIA		ACQUAVIVA-AUBIN	1122	Town of Cumberland	70.00	70.00	Close to limit
JOSEPH	D	ANDREOZZI	1382	Town of North Providence	65.50	17.50	Close to limit
JOSEPH	D	ANDREOZZI	1386	North Providence Hsg. Auth.	65.50	48.00	Close to limit
PAUL		FAGAN	1352	City of Newport	63.00	63.00	
JANET	M	PRAIRIE	1472	Town of Smithfield	60.00	21.00	
JANET	M	PRAIRIE	1478	Town of Smithfield (COLA)	60.00	39.00	
KENNETH	W	GREY JR	1002	Town of Barrington	58.00	27.00	
KENNETH	W	GREY JR	1009	Barrington COLA Group	58.00	31.00	
LAWRENCE		DAGLIERI	1002	Town of Barrington	57.00	27.00	
LAWRENCE		DAGLIERI	1009	Barrington COLA Group	57.00	30.00	
PAULINE	S	PAYEUR	1632	City of Woonsocket	57.00	57.00	
ALFRED A		STORTI	1112	City of Cranston	55.00	55.00	
EDWARD	R	FRATELLI	1472	Town of Smithfield	53.50	17.50	
EDWARD	R	FRATELLI	1478	Town of Smithfield (COLA)	53.50	36.00	
LOUIS	A	LANNI	1382	Town of North Providence	53.50	53.50	
DONALD	P	OSTER	1492	Town of South Kingstown	53.00	53.00	
ARTHUR	E	JONES	1032	Town of Burrillville	51.00	51.00	
BRAYTON	A	ROUND	1032	Town of Burrillville	50.50	50.50	
ROBERTA	A	TURCHETTA	1112	City of Cranston	50.00	50.00	
WILLIAM	J	FLATLEY	1492	Town of South Kingstown	50.00	50.00	
GERALD	A	CORDY	1112	City of Cranston	49.50	49.50	
CYNTHIA	J	OLOBRI	1492	Town of South Kingstown	48.00	48.00	
EDWARD	A	BURNETT	1352	City of Newport	47.00	47.00	
HILDING	T	MUNSON	1492	Town of South Kingstown	47.00	47.00	
ELIZABETH	W	CAMBRA	1012	Town of Bristol	46.00	46.00	

PRME--Retirees Working in Municipalities in 2016 Calendar Year

First Name	Initial	Last Name	Employer Code	Employer Name	Number of No. of Days		
					Days	Split	Footnote
JOHN		ZINNI	1002	Town of Barrington	46.00		22.00
JOHN		ZINNI	1009	Barrington COLA Group	46.00		24.00
JOHN	M	CARPINELLI	1492	Town of South Kingstown	46.00		46.00
GAIL		TOPAKIAN	1112	City of Cranston	42.50		42.50
KATHERINE	A	MURRAY	1012	Town of Bristol	42.50		42.50
DAVID	J	KENNEALLY	1492	Town of South Kingstown	37.00		37.00
BERNICE	R	PAGLIARINI	1472	Town of Smithfield	36.00		36.00
CHARLES	H	WHEELER	1032	Town of Burrillville	36.00		36.00
MATTHEW	A	CALDERISO	1012	Town of Bristol	36.00		18.00
MATTHEW	A	CALDERISO	1019	Town of Bristol EE Highway	36.00		18.00
GILDA	C	LARSEN	1472	Town of Smithfield	34.00		24.00
GILDA	C	LARSEN	1478	Town of Smithfield (COLA)	34.00		10.00
JEAN M	M	DENNENY	1112	City of Cranston	34.00		34.00
JANET	C	RICHARDSON	1002	Town of Barrington	33.00		16.50
JANET	C	RICHARDSON	1009	Barrington COLA Group	33.00		16.50
JANET	L	RUGGIERO	1382	Town of North Providence	32.00		32.00
JOSEPH	W	DANDENEAU	1382	Town of North Providence	30.00		30.00
LINDA	L	FOLCARELLI	1282	Town of Johnston	29.00		29.00
PETER	T	MCCLANAGHAN	1492	Town of South Kingstown	29.00		29.00
RONALD	P	KOMIEGA	1562	Town of Warren	25.00		25.00
THOMAS	E	HEFNER	1122	Town of Cumberland	25.00		25.00
JOHN		MEDEIROS	1162	City of East Providence	24.00		24.00
THOMAS	E	DUQUETTE	1602	Town of West Greenwich	24.00		24.00
CHARLOTTE	A	LANNI	1282	Town of Johnston	23.00		23.00
MARK A		ST PIERRE	1712	Harrisville Fire District	23.00		23.00
BARBARA	A	CREEDON	1112	City of Cranston	22.50		22.50
KRISTINE	F	MAJOR	1012	Town of Bristol	22.50		22.50
LINDA	M	CASEY	1112	City of Cranston	22.50		22.50
ELLEN	M	BENNETT	1112	City of Cranston	22.00		22.00
JOHN	W	CAREY	1492	Town of South Kingstown	21.00		14.00
WARREN	L	STEERE	1032	Town of Burrillville	20.50		20.50
DOROTHY	S	VIERA	1012	Town of Bristol	20.00		20.00

PRME--Retirees Working in Municipalities in 2016 Calendar Year

First Name	Initial	Last Name	Employer Code	Employer Name	Number of No. of Days		Split Footnote
					Days	Days	
LINDA	J	FONTAINE	1632	City of Woonsocket	20.00	20.00	
RUSSELL	W	BROWN	1452	Town of Richmond	19.50	19.50	
WILLIAM	J	GATELY	1032	Town of Burrillville	19.00	19.00	Accidental Disability
ANN	M	GRUTTADAURIA	1282	Town of Johnston	18.50	18.50	
GARY	F	LONERGAN	1472	Town of Smithfield	18.00	18.00	
ELIZABETH	B	CAMERON	1492	Town of South Kingstown	17.50	17.50	
RICHARD	J	DELFINO JR.	1282	Town of Johnston	17.50	17.50	
KEVIN	R	GALLUP	1082	Town of Charlestown	16.50	16.50	
THOMAS	K	WILLETT	1412	City of Pawtucket	16.00	16.00	
THERESA		PRATA	1282	Town of Johnston	15.50	15.50	
SANDRA	J	WHITTAKER	1007	Barrington COLA NC	15.00	12.00	
JANICE	M	BARNETT	1282	Town of Johnston	11.00	11.00	
DAVID	A	MURRAY	1372	Town of North Kingstown	10.00	5.00	
DAVID	A	MURRAY	1375	North Kingstown Fire Dept.	10.00	5.00	
JANET	A	EBERTS	1472	Town of Smithfield	10.00	4.00	
LARETO	P	GUGLIETTA	1032	Town of Burrillville	5.00	3.00	
LARETO	P	GUGLIETTA	1154	East Greenwich Police Dept.	5.00	2.00	
PAULINE		WASHINGTON	1632	City of Woonsocket	9.00	9.00	
WILLIAM	A	DORNEY III	1002	Town of Barrington	9.00	7.00	
WILLIAM	A	DORNEY III	1004	Barrington Police Dept.	9.00	2.00	
STANLEY	A	DAVIES	1472	Town of Smithfield	8.50	8.50	
KATHLEEN		FALCONE	1073	Chariho Regional School Dist. (N	8.00	2.00	
RAYMOND	A	XAVIER	1492	Town of South Kingstown	8.00	8.00	
LOUIS	M	PRATA	1282	Town of Johnston	7.50	7.50	
MARK	G	MAGUIRE	1372	Town of North Kingstown	6.00	6.00	
STUART	D	JOHNSON	1372	Town of North Kingstown	6.00	6.00	
ALAN	P	BOYLE	1372	Town of North Kingstown	5.00	5.00	
EDWARD	A	HEON	1412	City of Pawtucket	5.00	5.00	
MARGARET	A	DIORIO	1162	City of East Providence	5.00	5.00	
JOHN		DEL DEO	1112	City of Cranston	4.00	4.00	
DENNIS	M	CARR	1032	Town of Burrillville	3.00	1.00	
DENNIS	M	CARR	1034	Burrillville Police Dept.	3.00	2.00	

PRME--Retirees Working in Municipalities in 2016 Calendar Year

First Name	Initial	Last Name	Employer Code	Employer Name	Number of No. of Days		
					Days	Split	Footnote
THOMAS	W	PELOQUIN	1392	Town of North Smithfield	3.00	3.00	
DIANNE	M	EDSON	1282	Town of Johnston	2.00	2.00	
AGNES		MANCINI	1282	Town of Johnston	1.00	1.00	

Retirees Working Under 15K at State Colleges and Under 15K as RN's--2016; PRST--State Colleges/Universities; PRNR--Registered Nurses Working in State Facility

First Name	Initial	Last Name	Employer		Employer Code	Employer Name	Title-- Function	Earnings	Earnings - Split	Footnote
			Code	Name						
DANIEL	L	USTICK	2000	URI	2000	URI	PRST	\$ 26,516.33	\$ 26,516.33	Over limit--suspended pension
GAIL	S	O'ROURKE	2000	CCRI	2000	CCRI	PRST	\$ 13,615.20	\$ 13,615.20	Close to limit
WILLIAM	A	MURPHY	2000	DAVIES VOC.	2000	DAVIES VOC.	PRST	\$ 9,240.00	\$ 9,240.00	
GLENN	C	BROWNING	2000	CCRI	2000	CCRI	PRST	\$ 8,902.80	\$ 8,902.80	
JAMES	M	BUXTON	2000	URI	2000	URI	PRST	\$ 8,103.49	\$ 8,103.49	
MARIE	H	BAGUCHINSKY	2000	URI	2000	URI	PRST	\$ 7,841.42	\$ 7,841.42	
JEFFREY	T	MINER	2000	CCRI	2000	CCRI	PRST	\$ 6,917.88	\$ 6,917.88	
MARGARET	J	NOBLE	2000	URI	2000	URI	PRST	\$ 6,496.30	\$ 6,496.30	
KEVIN	M	WILKS	2000	RIC	2000	RIC	PRST	\$ 6,083.04	\$ 6,083.04	
NORMAN	P	FORTIN	2000	URI	2000	URI	PRST	\$ 5,600.00	\$ 5,600.00	
PATRICIA	A	DOIRON	2000	URI	2000	URI	PRST	\$ 5,500.00	\$ 5,500.00	
ANTHONY	J	CIOTOLA	2000	CCRI	2000	CCRI	PRST	\$ 5,485.04	\$ 5,485.04	
ROBERT	N	MASSE	2000	CCRI	2000	CCRI	PRST	\$ 5,402.88	\$ 5,402.88	
THOMAS	H	MITCHELL	2000	CCRI	2000	CCRI	PRST	\$ 4,320.00	\$ 4,320.00	
CAROL	M	ENGLANDER	2000	URI	2000	URI	PRST	\$ 4,183.31	\$ 4,183.31	
JOSEPH	B	GAUDIOSI	2000	URI	2000	URI	PRST	\$ 4,048.51	\$ 4,048.51	
JAMES	V	STRAVATO	2000	State	2000	State	PRST	\$ 3,724.28	\$ 3,724.28	
JOHN	W	GRIFFIN	2000	CCRI	2000	CCRI	PRST	\$ 3,702.00	\$ 3,702.00	
CHRISTINE	L	HANLEY	2000	RIC	2000	RIC	PRST	\$ 3,648.00	\$ 3,648.00	
CLARKE		LOWERY	2000	CCRI	2000	CCRI	PRST	\$ 2,772.00	\$ 2,772.00	
MARILYN	C	DISTEFANO	2000	CCRI	2000	CCRI	PRST	\$ 2,706.48	\$ 2,706.48	
VANESSA	G	DELGIUDICE	2000	RIC	2000	RIC	PRST	\$ 2,432.00	\$ 2,432.00	
JOSEPH	A	LANGEVIN	2000	CCRI	2000	CCRI	PRST	\$ 2,430.00	\$ 2,430.00	
PAUL		HETU	2000	CCRI	2000	CCRI	PRST	\$ 2,250.90	\$ 2,250.90	
GARY	C	COMUNALE	2000	State	2000	State	PRST	\$ 1,747.14	\$ 1,747.14	
PHILIP	A	PARE	2000	CCRI	2000	CCRI	PRST	\$ 1,645.20	\$ 1,645.20	
NANCY		MURPHY	2000	DAVIES VOC.	2000	DAVIES VOC.	PRST	\$ 1,212.96	\$ 1,212.96	
DENNIS	R	QUARANTA	2000	CCRI	2000	CCRI	PRST	\$ 1,147.68	\$ 1,147.68	
CYNTHIA		DIDONATO	2000	RIC	2000	RIC	PRST	\$ 912.00	\$ 912.00	
JO-ANN		PHILLIPS	2000	URI	2000	URI	PRST	\$ 911.35	\$ 911.35	
MARLYCE	L	ADAMS	2000	RIC	2000	RIC	PRST	\$ 808.64	\$ 808.64	
MICHELLE		LITTLE	2000	URI	2000	URI	PRST	\$ 603.42	\$ 603.42	

Retirees Working Under 15K at State Colleges and Under 15K as RN's--2016; PRST--State Colleges/Universities; PRNR--Registered Nurses Working in State Facility

First Name	Initial	Last Name	Employer Code	Employer Name	Title-- Function	Earnings	Earnings - Split	Footnote
KAREN	R	DOUGAN	2000	URI	PRST	\$ 96.29	\$ 96.29	
THOMAS	A	DUPREE	2000	URI	PRST	\$ 58.14	\$ 58.14	

Retirees Working Under 36-10-36(6) Budget Commission for 2016 Calendar Year

Name	Municipal Employer	ERS DAYS	EE DAYS
Mathews, Joel D.	Woonsocket		

EMPLOYEES' RETIREMENT SYSTEM OF RHODE ISLAND NEW RETIREE REPORT FOR NOVEMBER 2016

Name	Initial Rtm	Type	Rtm	Option	Retirement Date	Plan Code	Plan	Employer	Age	Initial Check	Annual Pension	Credited Service
ANGIOLLILI, TILO	R	Service	SRA		08/14/16	ERS	Correctional Officer	DOC	62	\$ 2,700.42	\$ 32,405.04	25.81
BANNON, STEPHEN	M	Service	Option1		10/16/16	ERS	Correctional Officer	DOC	67	\$ 5,256.29	\$ 63,075.48	38.67
PITOCOCO, MARIO	E	Service	SRA		10/30/16	ERS	Correctional Officer	DOC	62	\$ 2,803.55	\$ 33,642.60	25.89
DIMARTINO, HUMBERT		Service	SRA		09/30/16	ERS	State Employee	BHDDH	57	\$ 3,574.28	\$ 42,891.36	39.50
DIPRETE, SHEILA		Service	SRA		11/06/16	ERS	State Employee	BHDDH	66	\$ 318.49	\$ 3,821.88	8.01
KING, MARY DARLENE	J	Disability	SRA		10/01/16	ERS	State Employee	BHDDH	53	\$ 3,440.50	\$ 41,286.00	27.40
VARGA, DEBORAH		Service	Option1		07/29/16	ERS	State Employee	BHDDH	67	\$ 210.57	\$ 2,526.84	4.98
WHELAN, JANET	E	Service	SRA		07/30/16	ERS	State Employee	BHDDH	84	\$ 1,704.57	\$ 20,454.84	26.01
WILLIAMS, CHARLES	E	Service	SRA		07/31/16	ERS	State Employee	BHDDH	71	\$ 1,326.17	\$ 15,914.04	10.67
BLUE, JAMES	W	Service	SRA		09/04/16	ERS	State Employee	DCYF	58	\$ 2,934.26	\$ 35,211.12	28.89
BROWN, STEVEN	M	Service	Option2		08/21/16	ERS	State Employee	DCYF	59	\$ 3,370.52	\$ 40,446.24	30.58
ABBOTT, THOMAS	D	Service	Option1		09/01/16	ERS	State Employee	DEM	59	\$ 3,314.15	\$ 39,769.80	31.50
PICARD, GORDON		Service	Option1		09/01/16	ERS	State Employee	DEM	62	\$ 3,212.93	\$ 38,555.16	39.52
SPEAKER, JON	C	Service	SRA		10/29/16	ERS	State Employee	DEM	58	\$ 3,671.45	\$ 44,057.40	32.75
CARNEVALE, PATRICIA	A	Service	SRA		09/10/16	ERS	State Employee	DEPT. OF ED.	69	\$ 4,174.56	\$ 50,094.75	27.96
LANNI, JAMES	E	Service	Option1		09/04/16	ERS	State Employee	DEPT. PUB UTILS.	70	\$ 5,916.64	\$ 70,999.68	38.01
AUCLAIR, JOAN	H	Service	SRA		10/29/16	ERS	State Employee	DHS	61	\$ 2,681.36	\$ 32,176.32	28.50
BABBITT, ELLEN	J	Service	SRA		08/31/16	ERS	State Employee	DHS	65	\$ 1,423.83	\$ 17,085.96	0.00
McVay, Mary Frances		Service	SRA		09/01/16	ERS	State Employee	DHS	59	\$ 3,408.62	\$ 40,903.44	30.66
MOURACHIAN, ELAINE	M	Service	SRA		09/13/16	ERS	State Employee	DHS	67	\$ 578.28	\$ 6,939.36	9.50
SEQUIN, VICTORIA	H	Service	SRA		10/01/16	ERS	State Employee	DHS	66	\$ 2,836.18	\$ 34,034.16	33.21
PERRY, PATRICIA	M	Service	SRA		09/15/16	ERS	State Employee	DOA	60	\$ 1,496.50	\$ 17,958.00	21.25
PIASCIK, ANN	L	Service	SRA		11/01/16	ERS	State Employee	DOA	62	\$ 4,887.44	\$ 58,649.28	33.80
CABRAL, ANTONIO	M	Disability	SRA		09/08/16	ERS	State Employee	DOH	57	\$ 3,707.75	\$ 44,493.00	30.24
DITRAGLIA, BRUCE	R	Service	SRA		09/04/16	ERS	State Employee	DOT	65	\$ 1,457.13	\$ 17,485.56	26.83
GOODRICH, BARBARA	A	Service	Option1		09/24/16	ERS	State Employee	GOVERNOR'S OFFICE	61	\$ 1,970.05	\$ 23,640.60	1.50
NARDUCCI, NICHOLAS	J	Disability	Option1		08/20/16	ERS	State Employee	NARR. BAY COMM.	55	\$ 1,277.21	\$ 15,326.52	23.32
SULLIVAN, KAREN	M	Service	SRAP		07/10/16	ERS	State Employee	OHHS	57	\$ 4,160.15	\$ 49,921.80	29.95
APICE, JOSEPH	A	Disability	SRA		08/21/16	ERS	State Employee	REHAB. SVCS.	64	\$ 969.91	\$ 11,638.92	16.12
BUCKA, HELENE	M	Service	SRA		05/01/16	ERS	State Employee	URI	60	\$ 1,247.70	\$ 14,972.40	23.66
CAHILL, PAUL		Service	SRA		10/01/16	ERS	State Employee	URI	68	\$ 523.01	\$ 6,276.12	12.00
ROSE, CINDY	A	Service	SRAP		10/02/16	ERS	State Employee	URI	59	\$ 3,659.12	\$ 43,909.44	24.11
ALLSWORTH, RAYMOND	S	Service	SRA		07/01/16	ERS	Teacher	Providence School Dept.	63	\$ 2,974.75	\$ 35,697.00	26.00
BENSUSAN, ELLEN	B	Service	Option1		10/11/16	ERS	Teacher	Barrington Public Schools	65	\$ 1,479.38	\$ 17,752.56	14.00
BOYLE, BARBARA	J	Service	SRA		07/01/16	ERS	Teacher	Westerly School Dept.	65	\$ 4,433.32	\$ 53,199.84	30.50
BROWN, SANDRA	G	Service	Option1		10/01/16	ERS	Teacher	North Kingstown School Dept.	63	\$ 1,774.01	\$ 21,288.12	18.50
BURNS, BARBARA		Service	SRA		11/01/16	ERS	Teacher	East Providence Schools	65	\$ 1,658.73	\$ 19,904.76	19.00
Desrosiers, Kathleen	M	Service	Option2		09/01/16	ERS	Teacher	Warwick School Dept.	65	\$ 5,216.50	\$ 62,598.00	32.00
GUAY, DIANE	C	Service	SRA		07/01/16	ERS	Teacher	Scituate School Dept.	73	\$ 1,907.36	\$ 22,888.32	21.96
HENRY, PATRICIA		Service	SRA		11/09/16	ERS	Teacher	Warwick School Dept.	65	\$ 964.93	\$ 11,579.16	10.00
LAMOTHE, ROBERT	A	Service	SRA		08/27/16	ERS	Teacher	North Providence School Dept.	63	\$ 4,201.18	\$ 50,414.16	32.00
LATINA, MARY-JEANNE		Service	Option1		07/01/16	ERS	Teacher	Granston School Dept.	67	\$ 1,988.68	\$ 23,864.16	22.00
MORGAN, PATRICIA	L	Service	SRA		08/01/16	ERS	Teacher	Granston School Dept.	66	\$ 685.36	\$ 8,224.32	10.00
PENDOLA, DEBRA		Service	SRA		09/14/16	ERS	Teacher	Westerly School Dept.	60	\$ 5,046.83	\$ 60,561.96	29.00
Rebello, Donald	J	Service	Option2		08/20/16	ERS	Teacher	Bristol Warren Reg. School Dist.	67	\$ 1,244.65	\$ 14,935.80	10.00
Reilly, Patricia		Service	SRA		09/01/16	ERS	Teacher	Central Falls Collaborative	66	\$ 2,131.58	\$ 25,578.96	21.00
ROUND, STEPHEN	A	Service	Option2		09/02/16	ERS	Teacher	Providence School Dept.	65	\$ 1,064.70	\$ 12,776.40	11.86

EMPLOYEES' RETIREMENT SYSTEM OF RHODE ISLAND NEW RETIREE REPORT FOR NOVEMBER 2016

Name	Initial	Rtmt	Type	Rtmt	Option	Retirement Date	Plan Code	Plan	Employer	Age	Initial Check	Annual Pension	Credited Service
SCOTT, SHARON	L	Service	Option1	09/01/16	ERS	Teacher		Teacher	Tiverton School Dept.	65	\$ 1,716.64	\$ 20,599.68	20.00
SHAWVER, Diane		Service	SRA	09/13/16	ERS	Teacher		Teacher	Charho Regional School Dist.	65	\$ 1,531.31	\$ 18,375.77	15.47
STUART, MARTHA	P	Service	SRA	06/22/16	ERS	Teacher		Teacher	Charho Regional School Dist.	65	\$ 1,448.96	\$ 17,387.52	14.92
VELIE-GASS, MARTHA		Service	SRA	08/21/16	ERS	Teacher		Teacher	New Shoreham School Dist.	67	\$ 1,117.95	\$ 13,415.40	12.00
DEANGELIS JR., PETER	A	Service	Option1	10/15/16	MERS	General Municipal		General Municipal	Barrington COLA Group	64	\$ 8,901.67	\$ 106,820.04	40.55
Crowell, Donna	M	Service	SRA	08/21/16	MERS	General Municipal		General Municipal	Bristol Warren Reg. School Dist. (NC)	67	\$ 789.46	\$ 9,473.52	18.82
NAULT, ANNE		Service	SRA	08/11/16	MERS	General Municipal		General Municipal	Burrillville School Dept. (NC)	61	\$ 442.85	\$ 5,314.20	12.10
CUMMINGS, PAULA	J	Service	Option1	10/05/16	MERS	General Municipal		General Municipal	Central Falls School Dist. (NC)	58	\$ 313.88	\$ 3,766.56	9.95
BOZZI, PAULA	M	Service	SRA	09/03/16	MERS	General Municipal		General Municipal	City of Cranston	64	\$ 2,900.47	\$ 34,805.64	41.58
SCHNEBL, KATHLEEN		Service	SRA	10/01/16	MERS	General Municipal		General Municipal	City of Cranston	65	\$ 757.29	\$ 9,087.48	14.33
BRETON, NORMAN	D	Service	SRA	08/25/16	MERS	General Municipal		General Municipal	City of Newport	66	\$ 620.09	\$ 7,441.08	5.97
JEFF, GERALD	J	Service	SRA	10/01/16	MERS	General Municipal		General Municipal	Cranston School Dept. (NC)	69	\$ 123.91	\$ 1,486.92	6.10
LOPPI, JOAN	A	Service	SRA	10/29/16	MERS	General Municipal		General Municipal	EAST GREENWICH-COLA-NC	67	\$ 526.78	\$ 6,321.36	8.92
SACKETT, RUSSELL	E	Disability	SRA	09/21/16	MERS	General Municipal		General Municipal	East Providence Schools (NC)	51	\$ 1,681.25	\$ 20,175.00	24.22
PLUMLEY, WILLIAM		Service	Option2	08/10/16	MERS	General Municipal		General Municipal	Exeter/West Greenwich Reg. Schools (NC)	64	\$ 1,973.09	\$ 23,677.08	20.04
PELLEGRINO, KATHLEEN	A	Service	SRA	08/01/16	MERS	General Municipal		General Municipal	Johnston School Dept. (NC)	70	\$ 345.15	\$ 4,141.80	12.00
DE QUATTRO, ROSELYN	M	Service	SRA	09/29/16	MERS	General Municipal		General Municipal	North Providence School Dept. (NC)	73	\$ 998.46	\$ 11,981.52	19.70
LAMOUREUX, LUCIEN		Service	SRA	10/01/16	MERS	General Municipal		General Municipal	North Smithfield School Dept. (NC)	66	\$ 962.60	\$ 11,551.20	18.30
BANNA, LINDA	B	Service	Option2	08/23/16	MERS	General Municipal		General Municipal	Pawtucket School Dept. (NC)	67	\$ 836.38	\$ 10,036.56	20.34
IRONS, ANNE	M	Service	Option2	08/01/16	MERS	General Municipal		General Municipal	Town of Foster	62	\$ 998.28	\$ 11,979.36	15.02
PETRARCA, WILLIAM	J	Service	Option2	10/01/16	MERS	General Municipal		General Municipal	Town of Jamestown	66	\$ 2,829.78	\$ 33,957.36	27.58
CONNORS, JOHN	R	Service	SRA	08/10/16	MERS	Police and Fire		Police and Fire	Burrillville Police Dept.	49	\$ 4,251.42	\$ 51,017.04	27.59
ST ANGELO, LISA	A	Disability	Option2	07/17/16	MERS	Police and Fire		Police and Fire	Smithfield Police Dept.	42	\$ 3,378.14	\$ 40,537.68	18.50



ERSRI Memorandum

ERSRI Board:

Date: December 7, 2016
To: Retirement Board
From: Frank J. Karpinski, Executive Director
Subject: Rules and Regulations Subcommittee
Recommendations to the Board

Seth Magaziner
*General Treasurer
Chair*

William B. Finelli
Vice Chair

Daniel L. Beardsley

Roger P. Boudreau

Mark A. Carruolo

Michael DiBiase

Paul L. Dion

Thomas M. Lambert

John P. Maguire

Marianne F. Monte

Thomas A. Mullaney

Claire M. Newell

Jean Rondeau

Laura Shawhughes

Frank J. Karpinski
Executive Director

As you may know, the Rules and Regulations Subcommittee met on November 1st and 28th to consider proposed revisions and recommendations to Regulations No. 1 relating to Board Governance and No. 9 relating to the adjudication of disability applications.

As part of their analysis, the Committee remanded Regulation No. 9 to the Disability Subcommittee to provide recommendations to the appeals process and composition of the Disability Subcommittee. After discussion at the November 28th meeting, the Rules and Regulations Subcommittee formally approved a set of recommendations to Regulations No. 1 and No. 9 to be considered by the full Board. Copies of the recommended changes are enclosed. Some of the recommended changes have been added to the disability subcommittee charter, given their purpose, and the remaining recommendations are in the respective regulations. I have provided you a marked-up copy so you may see the changes from the original versions and a clean copy with changes incorporated.

The Committee also considered a proposed regulation relating to post retirement employment at a State College, University or State School and State Operated Facility. The committee also formally approved the draft regulation to be considered by the full Board. A copy of the draft regulation is enclosed.

If the Board is in agreement with the recommendations from the Rules and Regulations Subcommittee, a motion and vote to accept the recommendations and authorize me to begin the formal promulgation process will be required.

Please feel free to contact me should you have any questions on the proposed recommendations.

The board is composed of fifteen members in accordance with state law who by virtue of their duties are to be considered fiduciaries of the system. The membership of the retirement board shall consist of:

- (a) the general treasurer or his or her designee who shall be a subordinate within the general treasurer's office;
- (b) the director of administration or his or her designee who shall be a subordinate within the department of administration;
- (c) a representative of the budget office or his or her designee from within the budget office, who shall be appointed by the director of administration;
- (d) the president of the league of cities and towns or his or her designee;
- (e) two (2) active state employee members of the retirement system or officials from state employee unions to be elected by active state employees;
- (f) two (2) active teacher members of the retirement system or officials from a teachers union to be elected by active teachers;
- (g) one active municipal employee member of the retirement system or an official from a municipal employees union to be elected by active municipal employees;
- (h) two (2) retired members of the retirement system to be elected by retired members of the system;
- (i) four (4) public members, all of whom shall be competent by training or experience in the field of finance, accounting or pensions.

Statutory References: 36-8-4.

(4) Board Terms

- (a) Two (2) of the public members shall be appointed by the governor, one of whom shall serve an initial term of three (3) years and one of whom shall serve an initial term of four (4) years and until his or her successor is appointed and qualified.
- (b) Two (2) of the public members shall be appointed by the general treasurer, one of whom shall serve an initial term of three (3) years and one of whom shall serve an initial term of four (4) years and until his or her successor is appointed and qualified.
- (c) Thereafter, the term of these four (4) public members shall be for four (4) years or until their successors are appointed and qualified.
- (d) Ex-officio members serve until such time as their successors are appointed and qualified. Subject to sections 4(a) and 4(b) above, all appointed members serve a term of 4 years or until such time as their successors are appointed and qualified. The term of office for elected members shall be for four (4) years, and election of their successors shall be administered by the board prior to the expiration of the terms of the incumbent elected members.

Statutory Reference: R.I.G.L. §36-8-4

Board Authority and Duties

The board shall:

- (a) oversee and be responsible for the proper operation of the Retirement System, including strategic planning and enterprise risk management;
- (b) establish all rules and regulations for the administration of the system;
- (c) ~~appoint an Executive Director and Assistant~~ the Executive Director, who shall serve at its pleasure; establish goals for and evaluate the Executive Director; and make recommendations as to the compensation levels of such positions; the Executive Director.
- (d) approve and subscribe to the code of Fiduciary Responsibility as outlined in these rules;
- (e) approve all applications for ordinary and accidental disability retirement;
- (f) rule on administrative decisions as provided for in Regulation No. 4 of the promulgated rules of the Employees' Retirement System;
- (g) ~~submit~~ approve and approve submission to the governor on or before December 1st of each year, an annual financial report for the prior fiscal year;
- (h) rule on any negotiated agreement entered into after June 1, 1992, between any state or municipal agency or department and an employee or employees, whose conditions are contrary to the general laws or the rules, regulations, and policies as adopted and promulgated by the retirement board and shall be null and void unless and until approved by formal action of the retirement board for good cause shown.;
- (i) ~~secure~~ oversee and approve the securing of the services of an actuary and physicians;
- (j) approve actuarial policies (including expected rate of return);
- (~~j~~)(k) approve the assumptions for the yearly preparation of an actuarial valuation;
- (l) monitor interaction between actuarial assumptions and investment practices;
- (~~k~~)(m) approve a yearly budget of the retirement system, excluding those monies allocated for Treasury personnel assigned to the retirement system;
- (~~l~~)(n) review yearly audits of the retirement system;
- (~~m~~)(o) designate such Subcommittees subcommittees as may be necessary to carry out the duties and obligations of the Board.;
- (p) oversee communications with System participants, employers and other stakeholders;
- (q) approve topics for board discussion with the State Investment Commission; and
- (r) monitor litigation and legal issues involving the Board.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-8, 36-8-9, 36-8-10, 36-8-19.

The Board has retained the authority described above unless such authority has been delegated to subcommittees or the Executive Director. Regarding Board delegation of authority, the following definitions shall apply:

- (a) the words "conduct" "appoint," "designate," or "rule," when ascribed to the Board, means that the Board performs the task described. ~~The Board is actively involved in doing the work (e.g., writing the requirements, conducting due diligence, selecting and interviewing finalists, and making the recommendation to the full Board for approval).~~
- (b) The words "approve" or "set," when ascribed to the Board, means that the Board retains final decision authority on prudently delegated activities to approve or set policies and limits. Once approved, the Board must then oversee to ensure that actual practice reflects the Board's policies.
- (c) The word "oversee," when ascribed to the Board, means that the Board has a minimum legal duty to monitor and question. The Board reserves with power (with subcommittee assistance) to oversee that prudently delegated authorities are properly exercised, appropriate policies and controls are in place and delegates are held accountable for performance. "Oversee" does not constitute close supervision and day-to-day management.

(5) Retirement Board Attendance

Board members, elected or appointed, shall be required to attend a minimum of 2/3rds of regularly scheduled meetings on a calendar basis, to be evaluated at the annual meeting ~~in March~~. Excused absences shall be granted under the following conditions:

- (1.) The member has notified the Executive Director at least 10 business days before the scheduled meeting;
- (2.) The member is involved in an emergency beyond their control; or
- (3.) The member contracts an illness the day of the meeting and notifies the Executive Director before the start of the meeting.

The member count of absences will not be on a cumulative basis. The attendance requirement shall separately apply to the meetings of the Retirement Board, and to the meetings of any subcommittee that the member serves on, e.g., members are expected to attend a minimum of 2/3rds of regularly scheduled monthly Retirement Board meetings, and 2/3rds of the regularly scheduled meetings of any subcommittee the member may serve on.

If a Board member does not meet the attendance standard, either with respect to attendance at Board meetings or attendance at subcommittee meetings, the Board shall direct the Executive Director to put the member on official notice of the excessive absenteeism, and will take the following actions for a first offense:

- (1.) The Executive Director shall furnish the Board with a schedule of attendance of the affected member at the annual meeting, as of the annual meeting.
- (2.) Upon review, the Board will officially notify the member in writing of the excessive absenteeism. The official notification shall state the members' deficient attendance

record, and shall direct the member to comply with the attendance standard for the following year.

- (3.) The official notification shall also state that if the member does not comply with the attendance standard during the next year, the Board will seek to notify either the appointing authority or constituent body, whichever is applicable, of the deficiency in attendance.

If a member has a second offense, the Board shall again officially notify the member in writing of the excessive absenteeism. The official notification shall state the members' deficient attendance record and shall direct the member to comply with the attendance standard. Additionally, the Board shall direct the Executive Director to notify either the appointing authority or constituent body, whichever is applicable, of the continuing deficiency in attendance.

With respect to public members, continued unexcused excessive absenteeism shall be considered cause for removal by the chair under R.I.G.L. §36-8-4(d).

Statutory reference: R.I.G.L. §§36-8-2; 36-8-3 and 36-8-4.

(6) Reimbursement of Board Expenses

Board members may be reimbursed for special expenses incurred as a result of his/her membership on the board, but may not be reimbursed for travel to and from all regular meetings of the Board or its Subcommittees.

Statutory Reference: 36-8-7.

(7) Board Education and Professional Development

The Retirement Board's education and professional development policy shall be established and updated from time to time, as deemed necessary and appropriate by the Retirement Board Education Governance Subcommittee with approval of the Retirement Board. Such education shall be required of all members and alternate members of the Board and its subcommittees. The Executive Director shall implement the policy and develop a budget as directed by the Governance Subcommittee, with approval of the Retirement Board.

The policy is outlined in Addendum I

The Executive Director shall ~~notify all Retirement Board members~~ maintain a list of selected conferences and workshops that will increase understanding of public pension administration and the Board members' roles as fiduciaries of the Retirement System.

Board members shall be reimbursed for necessary expenses incurred as a result of attendance at such approved conferences or workshops, but in no event shall expenses in excess of \$1,500 per fiscal year per member be considered necessary, unless advance approval is obtained from the ~~General Treasurer~~ Board Chair.

Statutory Reference: R.I.G.L. §36-8-2, 36-8-3, 36-8-4.1 and 36-8-7.

(8) Duties of the Executive Director

The Executive Director shall:

- (a) be in charge of administration of the Retirement System, and shall serve as secretary to the Retirement Board;
- (b) be responsible for the formulation of a monthly agenda and board minutes;
- (c) be responsible for the day-to-day administration of the retirement system including the promulgation of administrative decisions;
- (d) submit to the Board for its approval an annual budget for the administrative operation of the retirement system;
- (e) provide an organizational chart of the retirement system to the board;
- (f) make available to the Board the findings of all external and internal audits of the Retirement System;
- (g) secure the services of a medical advisor and such physicians, as required, to conduct medical examinations as required by law or as requested by the Retirement Board or the Disability Subcommittee;
- (h) secure the services of lawyers, as necessary, to serve as hearing officers in conjunction with Regulation No. 4 of the promulgated rules of the Employees' Retirement System;
- (i) represent the system as necessary before the Legislature and the State Investment Commission (SIC);
- (j) represent the system and the State of Rhode Island within the National Association of State Retirement Administrators and other national public retirement organizations;
- (k) appoint an Assistant Executive Director, who shall serve at the pleasure of the Executive Director; establish goals for and evaluate the Assistant Executive Director on an annual basis; and make recommendations as to the compensation of the Assistant Executive Director, all in consultation with the Board.
- (l) perform such other duties as assigned by the Board or required by its committees.

Statutory Reference: R.I.G.L. §36-8-9.

(9) Officers

There shall be a Vice-Chairperson of the board who shall be elected by the Board membership no later than July of the year following the Board elections. The Vice-Chairperson shall serve a four-year term.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9.

(10) Committees

Subcommittee Composition.- The Board eChairperson, after consultation with the Board, will appoint five board members to each of the standing committees and special committees, and shall serve on all committees ex-officio. The Board Chairperson shall recommend a chairperson for each of the standing committees and special committees, with the advice and consent of the Board. Each committee shall select a chairperson and vice chairperson. The chairperson shall preside at all meetings. In the absence of the chairperson, the vice chairperson shall preside. All board members

except the public representatives will be allowed to send an alternate member designee to represent him/her on such standing committees, with the exception of the Disability Subcommittee which shall be subject to Regulation No. 9 section (11), and to vote provided a written proxy statement has been entered. In the case of all elected members, the designee alternate member must be a member of the membership group of the elected member. At any time the Board may amend committee charters to increase or decrease the number of members to standing or special committees. For the avoidance of doubt, the terms "subcommittee" and "committee" are used interchangeably.

Committee assignments shall be made no later than July following an election of elected retirement board members. Committee assignments may be made every two years or at other times as deemed necessary by the Board Chairperson.

Standing Subcommittees. To facilitate the operation of the Board, the following standing committees are established:

- (1.) **Disabilities Subcommittee:** the Subcommittee on Disabilities shall review all applications for ordinary and accidental disability allowances, and make recommendations for the disposition of claims, and shall conduct hearings as required.
- (2.) **Rules and Regulations Governance Subcommittee:** the Governance Subcommittee ~~on Rules and Regulations~~(a) formulates rules and regulations that govern the policy, practices, and procedures of the Retirement System, and periodically reviews such; (b) reviews all such recommendations and suggestions regarding amendments to the retirement laws and shall make such recommendations as it deems appropriate, with approval of the Retirement Board, to interested legislators for consideration by the General Assembly ~~proposed amendments to the retirement laws and shall make such recommendations for Board reaction as it deems appropriate;~~ (c) oversees policies and requirements for training and evaluating Board members; and (d) oversees other Board governance matters.
- ~~(3.)~~ **Legislative Subcommittee:** ~~the Legislative Subcommittee shall review all such recommendations and suggestions regarding amendments to the retirement laws and shall make such recommendations as it deems appropriate, with approval of the Retirement Board, to interested legislators for consideration by the General Assembly.~~
- ~~(4.)~~(3.) **Procurement Administration Subcommittee:** The Procurement Administration Subcommittee shall have those responsibilities as set forth in the Retirement System's Regulations Nos. 2 and 32 and 3. The Administration Subcommittee shall also assist the Board in fulfilling its oversight responsibilities with respect to procurement, financial planning, budgeting, accounting, business continuity, and information technology and data security.
- ~~(5.)~~ **Board Education Subcommittee:** ~~the Board Education Subcommittee provides policies and requirements for training for the Board members so that each member can develop and maintain an adequate level of knowledge and understanding of the~~

~~relevant issues pertaining to the administration of the retirement system throughout their terms on the Board as required by law.~~

~~Audit Subcommittee: the primary purpose of the Audit~~In addition, the Administrative Subcommittee is to assist the Board in fulfilling its oversight responsibilities with respect to the financial reporting processes; the system of internal controls; and the internal and external audit processes.

- i. ~~The Audit Adminisitrative~~Administrative Subcommittee's responsibility is one of oversight, recognizing that the System is responsible for preparing the financial statements and that the Auditor General is statutorily responsible for compliance auditing consistent with RIGL §36-8-19. The Committee's charter is outlined in Addendum II

- (4.) **Member Services Subcommittee:** The primary purpose of the Member Services Subcommittee is to assist the Board in fulfilling its oversight responsibilities with respect to retirement benefit administration; retirement system performance; and communications with members, employers and other stakeholders.

Subcommittee Authority. The subcommittees shall have such authority as described herein, in their respective subcommittee charters, and as the Board may determine from time to time. The subcommittees may propose certain actions to the Board for approval but shall not have authority to act on behalf of the Board unless specifically authorized to do so by the full Board. Notwithstanding anything else provided herein, neither the Board nor any subcommittee shall take any action that is inconsistent with applicable law.

Strategic Plan. At least annually, the Executive Director shall lead the Board in developing and/or updating a comprehensive strategic plan which identifies the Board's strategic long and short-term priorities for the upcoming year (the "Strategic Plan"). Upon approval of the Strategic Plan by the Board, the Executive Director shall coordinate with the Board and subcommittees to implement the Plan. The Board shall identify reasonable timeframes for completion. The subcommittee chairs shall oversee the work identified in the Strategic Plan being conducted by their respective subcommittees.

Agenda Calendar. The Executive Director shall collaborate with the Chair and subcommittee chairs to develop an annual calendar of Board and subcommittee meetings (the "Agenda Calendar"). The Agenda Calendar shall identify one-time and recurring Board and subcommittee obligations, including, without limitation, those obligations identified in Chapters 8-10 of Title 36 of the Rhode Island General Laws, System Regulations, the Strategic Plan, subcommittee charters and Board policies. The Agenda Calendar shall be annually approved by the Board. The Governance Subcommittee shall generally oversee development and maintenance of the Agenda Calendar.

Subcommittee Reporting. ~~To the extent feasible, the subcommittee chairs shall provide the Executive Director with draft subcommittee meeting agendas for Board Member review and input prior to finalization for the subcommittee meeting. Notwithstanding the forgoing, the Disability Subcommittee chair shall not be required to include agenda items relating to new applications, hearings and appeals required to come before the Disability Subcommittee and subject to time limitations imposed by law.~~

Subcommittee chairs shall report to the Board on subcommittee deliberations, recommendations and actions. Subcommittee meeting minutes shall be distributed to all Board Members.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9, 36-8-10.

(11) Special Committees

The ~~chair~~Board may establish special committees to deal with particular issues as they arise. Any such special committee established by the ~~chair~~Board will be discharged once its tasks have been completed. Any Board Member may propose formation of a special committee for the Board's consideration.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9, 36-8-10.

(12) Code of Ethics for Fiduciaries

Anyone deemed to be a fiduciary shall subscribe and conform to the following code of ethics:

- (a) Fiduciaries should conduct themselves with integrity and act in an ethical manner in their dealings with the public, retirement board, employers, employees, and fellow fiduciaries.
- (b) Fiduciaries should conduct themselves and should encourage other fiduciaries to perform their functions in a professional and ethical manner that will reflect credit on themselves and the other fiduciaries.
- (c) Fiduciaries should act with competence and should strive to maintain and improve their competence and that of other fiduciaries.
- (d) Fiduciaries should use proper care and exercise independent professional judgment.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-17.

(13) Standard of Conduct for Fiduciaries

Every fiduciary shall:

- (1.) Discharge his or her duties for the exclusive purpose of providing benefits to retirement system members and their beneficiaries;
- (2.) Act with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of like character and with like aims;
- (3.) Operate in accordance with the Rhode Island General Laws on retirement as well as promulgated regulations by the Retirement Board.

No fiduciary shall:

- (4.) Deal with retirement system assets for his or her own account or in his or her own interest.
- (5.) Act in any manner affecting the retirement systems on behalf of any person or organization whose interests are adverse to the interests of the systems, their members or beneficiaries;

- (6.) Receive anything of value for his or her own personal account from any person or organization in connection with a transaction involving retirement system assets. A fiduciary who is a member of a retirement system shall not be deemed to have dealt with retirement system assets for his or her own account, or in his or her own interest or to have received anything of value for his or her own personal account, to the extent that the fiduciary derives a benefit as a result of his/her membership which is not unique and is no greater than the benefit derived by other similarly situated members of the retirement systems.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-17.

(B) Meetings

(1) Meeting Conduct

The Board Chairperson will preside at all Board meetings, unless he/she chooses to relinquish the chair to the Vice-Chairperson. In the absence of the Chairperson, the Vice-Chair shall assume all of the duties and responsibilities of the Chair. The Chairperson may speak in discussion without relinquishing the chair and may make motions and vote on all questions put to the members.

All meetings of the Board shall be conducted in accordance with R.I.G.L. §42-46-1, *et seq.*

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-3.1, 36-8-4, 36-8-6.

(2) Annual Meeting

~~The meeting on the second Wednesday of the month of March~~ One Board meeting each year, as designated by the meeting schedule approved annually by the Board, shall be known as the annual meeting of the Board. The Board may change the date of the annual meeting by a majority vote of the members present and voting at a meeting at which a quorum is present, subject to advance notice requirements imposed by R.I.G.L. §42-46-6.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(3) Regular Meetings

Regular meetings of the Board shall be held on the second Wednesday of each month unless re-scheduled by the Board Chairperson. The Board may change the date of any regular meeting by a majority vote of the members present and voting at a meeting at which a quorum is present, subject to advance notice requirements imposed by R.I.G.L. §42-46-6.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(4) Special Meetings

Special meetings of the Board may be called upon affirmative vote by a majority of the Board or by the Board Chairperson by written notice to the Board. The date, time, place, and purpose of any special meeting shall be given to every board member at least three (3) days prior to the meeting whenever practicable.

R.I.G.L. §§36-8-2, 36-8-3, 36-8-4

(5) Meeting Notice, Minutes and Agenda

The ~~executive director~~ Executive Director shall cause a notice of each regular or annual Board meeting along with the previous month's minutes and agenda, and draft agendas for any upcoming subcommittee meetings (subject to Section (1)(A)(11) above), to be mailed or made available electronically to each member at least (7) days prior to such meeting. Additionally, the Executive Director shall distribute meeting minutes of the Board and any of its Subcommittees to all members of the Board within twenty (+20) days of any meeting held whether in draft or final form.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(6) Quorum and Decision

Each member of the board shall be entitled to one vote. A majority of the board shall constitute a quorum and all actions of the board shall be by a majority vote of the members present and voting at a meeting at which a quorum is present. The board shall keep a record of all the proceedings which shall be open to public inspection.

Statutory Reference: 36-8-6

(7) Tie Votes

In the event of a tie vote of a quorum present and voting on a contested matter, the matter will automatically be placed on the agenda of the next Retirement Board meeting.

In the event of a tie vote of a quorum present and voting on a contested matter rescheduled from a prior meeting, the Retirement Board may vote to postpone and re-consider the matter at a subsequent hearing, when a larger number of voting members may be present. If no such vote to postpone and re-consider is taken, or if a vote to postpone and re-consider the matter at a later date fails, the underlying action appealed from will be deemed affirmed.

Section (1) Board Administration

(A) General

(1) Definitions

- (a) the word “board” or “Board” means the independent Retirement Board created by Chapter 8 of Title 36 of the Rhode Island General Laws;
- (b) the word “member” means a member of the independent Retirement Board created by Chapter 8 of Title 36 of the Rhode Island General Laws;
- (c) the word “fiduciary” means any person who exercises any discretionary authority or discretionary control respecting management of the funds of any retirement system managed or administered by the Retirement Board, or who exercises any authority or control respecting management or disposition of its assets, including, without limitation: any retirement board member, alternate member, or any retirement board staff member who exercises such authority or control.
- (d) the word “alternate member” means an individual who is a designee of a “member”, who is sworn in by the Board to either serve on the Board as provided by RIGL§ 36-8-4 or a Subcommittee as provided by section (A)(11) of this regulation, when a member is unable to participate. The alternate member possesses all the powers and responsibilities of the member. All existing provisions of law and ERSRI regulations relating to fiduciary duty, training, continuing education, attendance, conflict of interest, eligibility and removal shall apply to alternate members in the same manner as they apply to members. The alternate member’s designation must also be entered into the minutes of the board or subcommittee meeting at which the designation is made.

(2) Creation and Purpose

There is created an Employees’ Retirement System of the State of Rhode Island, and a Municipal Employees’ Retirement System of the State of Rhode Island, for the purpose of providing retirement, survivor and disability benefits for state employees, public school teachers and participating municipal employees. The systems are governed and administered by the Retirement Board, which is chaired by the General Treasurer.

Statutory References: R.I.G.L. §§36-8-2, 36-8-3; 36-8-4, 36-8-4.1, 45-21-32, 45-21.2-1 *et seq.*, and 16-16-1 *et seq.*

(3) Membership

The Board is authorized, created and established in the office of the general treasurer as an independent retirement board which shall hold and administer, in trust, the funds of the retirement systems in accordance with, and as authorized by law.

The board is composed of fifteen members in accordance with state law who by virtue of their duties are to be considered fiduciaries of the system. The membership of the retirement board shall consist of:

- (a) the general treasurer or his or her designee who shall be a subordinate within the general treasurer's office;
- (b) the director of administration or his or her designee who shall be a subordinate within the department of administration;
- (c) a representative of the budget office or his or her designee from within the budget office, who shall be appointed by the director of administration;
- (d) the president of the league of cities and towns or his or her designee;
- (e) two (2) active state employee members of the retirement system or officials from state employee unions to be elected by active state employees;
- (f) two (2) active teacher members of the retirement system or officials from a teachers union to be elected by active teachers;
- (g) one active municipal employee member of the retirement system or an official from a municipal employees union to be elected by active municipal employees;
- (h) two (2) retired members of the retirement system to be elected by retired members of the system;
- (i) four (4) public members, all of whom shall be competent by training or experience in the field of finance, accounting or pensions.

Statutory References: 36-8-4.

(4) Board Terms

- (a) Two (2) of the public members shall be appointed by the governor, one of whom shall serve an initial term of three (3) years and one of whom shall serve an initial term of four (4) years and until his or her successor is appointed and qualified.
- (b) Two (2) of the public members shall be appointed by the general treasurer, one of whom shall serve an initial term of three (3) years and one of whom shall serve an initial term of four (4) years and until his or her successor is appointed and qualified.
- (c) Thereafter, the term of these four (4) public members shall be for four (4) years or until their successors are appointed and qualified.
- (d) Ex-officio members serve until such time as their successors are appointed and qualified. Subject to sections 4(a) and 4(b) above, all appointed members serve a term of 4 years or until such time as their successors are appointed and qualified. The term of office for elected members shall be for four (4) years, and election of their successors shall be administered by the board prior to the expiration of the terms of the incumbent elected members.

Statutory Reference: R.I.G.L. §36-8-4

(5) Board Authority and Duties

The board shall:

- (a) oversee and be responsible for the proper operation of the Retirement System, including strategic planning and enterprise risk management;
- (b) establish all rules and regulations for the administration of the system;
- (c) appoint the Executive Director, who shall serve at its pleasure; establish goals for and evaluate the Executive Director; and make recommendations as to the compensation of the Executive Director.
- (d) approve and subscribe to the code of Fiduciary Responsibility as outlined in these rules;
- (e) approve all applications for ordinary and accidental disability retirement;
- (f) rule on administrative decisions as provided for in Regulation No. 4 of the promulgated rules of the Employees' Retirement System;
- (g) approve submission to the governor on or before December 1st of each year, an annual financial report for the prior fiscal year;
- (h) rule on any negotiated agreement entered into after June 1, 1992, between any state or municipal agency or department and an employee or employees, whose conditions are contrary to the general laws or the rules, regulations, and policies as adopted and promulgated by the retirement board and shall be null and void unless and until approved by formal action of the retirement board for good cause shown.;
- (i) oversee and approve the securing of the services of an actuary and physicians;
- (j) approve actuarial policies (including expected rate of return);
- (k) approve the assumptions for the yearly preparation of an actuarial valuation;
- (l) monitor interaction between actuarial assumptions and investment practices;
- (m) approve a yearly budget of the retirement system, excluding those monies allocated for Treasury personnel assigned to the retirement system;
- (n) review yearly audits of the retirement system;
- (o) designate such subcommittees as may be necessary to carry out the duties and obligations of the Board;
- (p) oversee communications with System participants, employers and other stakeholders;
- (q) approve topics for board discussion with the State Investment Commission; and
- (r) monitor litigation and legal issues involving the Board.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-8, 36-8-9, 36-8-10, 36-8-19.

The Board has retained the authority described above unless such authority has been delegated to subcommittees or the Executive Director. Regarding Board delegation of authority, the following definitions shall apply:

- (a) the words "conduct" "appoint," "designate," or "rule," when ascribed to the Board, means that the Board performs the task described. .
- (b) The words "approve" or "set," when ascribed to the Board, means that the Board retains final decision authority on prudently delegated activities to approve or set policies and limits. Once approved, the Board must then oversee to ensure that actual practice reflects the Board's policies.
- (c) The word "oversee," when ascribed to the Board, means that the Board has a minimum legal duty to monitor and question. The Board reserves with power (with subcommittee assistance) to oversee that prudently delegated authorities are properly exercised, appropriate policies and controls are in place and delegates are held accountable for performance. "Oversee" does not constitute close supervision and day-to-day management.

(6) Retirement Board Attendance

Board members, elected or appointed, shall be required to attend a minimum of 2/3rds of regularly scheduled meetings on a calendar basis, to be evaluated at the annual meeting. Excused absences shall be granted under the following conditions:

- (1.) The member has notified the Executive Director at least 10 business days before the scheduled meeting;
- (2.) The member is involved in an emergency beyond their control; or
- (3.) The member contracts an illness the day of the meeting and notifies the Executive Director before the start of the meeting.

The member count of absences will not be on a cumulative basis. The attendance requirement shall separately apply to the meetings of the Retirement Board, and to the meetings of any subcommittee that the member serves on, e.g., members are expected to attend a minimum of 2/3rds of regularly scheduled monthly Retirement Board meetings, and 2/3rds of the regularly scheduled meetings of any subcommittee the member may serve on.

If a Board member does not meet the attendance standard, either with respect to attendance at Board meetings or attendance at subcommittee meetings, the Board shall direct the Executive Director to put the member on official notice of the excessive absenteeism, and will take the following actions for a first offense:

- (1.) The Executive Director shall furnish the Board with a schedule of attendance of the affected member at the annual meeting, as of the annual meeting.
- (2.) Upon review, the Board will officially notify the member in writing of the excessive absenteeism. The official notification shall state the members' deficient attendance record, and shall direct the member to comply with the attendance standard for the following year.

- (3.) The official notification shall also state that if the member does not comply with the attendance standard during the next year, the Board will seek to notify either the appointing authority or constituent body, whichever is applicable, of the deficiency in attendance.

If a member has a second offense, the Board shall again officially notify the member in writing of the excessive absenteeism. The official notification shall state the members' deficient attendance record and shall direct the member to comply with the attendance standard. Additionally, the Board shall direct the Executive Director to notify either the appointing authority or constituent body, whichever is applicable, of the continuing deficiency in attendance.

With respect to public members, continued unexcused excessive absenteeism shall be considered cause for removal by the chair under R.I.G.L. §36-8-4(e).

Statutory reference: R.I.G.L. §§36-8-2; 36-8-3 and 36-8-4.

(7) Reimbursement of Board Expenses

Board members may be reimbursed for special expenses incurred as a result of his/her membership on the board, but may not be reimbursed for travel to and from all regular meetings of the Board or its Subcommittees.

Statutory Reference: 36-8-7.

(8) Board Education and Professional Development

The Retirement Board's education policy shall be established and updated from time to time, as deemed necessary and appropriate by the Retirement Board Governance Subcommittee with approval of the Retirement Board. Such education shall be required of all members and alternate members of the Board and its subcommittees. The Executive Director shall implement the policy and develop a budget as directed by the Governance Subcommittee, with approval of the Retirement Board.

The Executive Director shall maintain a list of selected conferences and workshops that will increase understanding of public pension administration and the Board members' roles as fiduciaries of the Retirement System.

Board members shall be reimbursed for necessary expenses incurred as a result of attendance at such approved conferences or workshops, but in no event shall expenses in excess of \$1,500 per fiscal year per member be considered necessary, unless advance approval is obtained from the Board Chair.

Statutory Reference: R.I.G.L. §36-8-2, 36-8-3, 36-8-4.1 and 36-8-7.

(9) Duties of the Executive Director

The Executive Director shall:

- (a) be in charge of administration of the Retirement System, and shall serve as secretary to the Retirement Board;
- (b) be responsible for the formulation of a monthly agenda and board minutes;

- (c) be responsible for the day-to-day administration of the retirement system including the promulgation of administrative decisions;
- (d) submit to the Board for its approval an annual budget for the administrative operation of the retirement system;
- (e) provide an organizational chart of the retirement system to the board;
- (f) make available to the Board the findings of all external and internal audits of the Retirement System;
- (g) secure the services of a medical advisor and such physicians, as required, to conduct medical examinations as required by law or as requested by the Retirement Board or the Disability Subcommittee;
- (h) secure the services of lawyers, as necessary, to serve as hearing officers in conjunction with Regulation No. 4 of the promulgated rules of the Employees' Retirement System;
- (i) represent the system as necessary before the Legislature and the State Investment Commission (SIC);
- (j) represent the system and the State of Rhode Island within the National Association of State Retirement Administrators and other national public retirement organizations;
- (k) appoint an Assistant Executive Director, who shall serve at the pleasure of the Executive Director; establish goals for and evaluate the Assistant Executive Director on an annual basis; and make recommendations as to the compensation of the Assistant Executive Director, all in consultation with the Board.
- (l) perform such other duties as assigned by the Board or required by its committees.

Statutory Reference: R.I.G.L. §36-8-9.

(10) Officers

There shall be a Vice-Chairperson of the board who shall be elected by the Board membership no later than July of the year following the Board elections. The Vice-Chairperson shall serve a four-year term.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9.

(11) Committees

Subcommittee Composition. The Board Chairperson, after consultation with the Board, will appoint five board members to each of the standing committees and special committees, and shall serve on all committees ex-officio. The Board Chairperson shall recommend a chairperson for each of the standing committees and special committees, with the advice and consent of the Board. Each committee shall select a vice chairperson. The chairperson shall preside at all meetings. In the absence of the chairperson, the vice chairperson shall preside. All board members except the public representatives will be allowed to send an alternate member to represent him/her on such standing committees, with the exception of the Disability Subcommittee which shall be subject to Regulation No. 9 section (11), and to vote provided a written proxy statement has been entered. In the case of all elected members, the alternate

member must be a member of the membership group of the elected member. At any time the Board may amend committee charters to increase or decrease the number of members to standing or special committees. For the avoidance of doubt, the terms "subcommittee" and "committee" are used interchangeably.

Committee assignments shall be made no later than July following an election of elected retirement board members. Committee assignments may be made every two years or at other times as deemed necessary by the Board Chairperson.

Standing Subcommittees. To facilitate the operation of the Board, the following standing committees are established:

- (1.) **Disabilities Subcommittee:** the Subcommittee on Disabilities shall review all applications for ordinary and accidental disability allowances, and make recommendations for the disposition of claims, and shall conduct hearings as required.
- (2.) **Governance Subcommittee:** the Governance Subcommittee (a) formulates rules and regulations that govern the policy, practices, and procedures of the Retirement System, and periodically reviews such; (b) reviews all such recommendations and suggestions regarding amendments to the retirement laws and shall make such recommendations as it deems appropriate, with approval of the Retirement Board, to interested legislators for consideration by the General Assembly; (c) oversees policies and requirements for training and evaluating Board members; and (d) oversees other Board governance matters.
- (3.) **Administration Subcommittee:** The Administration Subcommittee shall have those responsibilities as set forth in the Retirement System's Regulations Nos. 2 and 3. The Administration Subcommittee shall also assist the Board in fulfilling its oversight responsibilities with respect to procurement, financial planning, budgeting, accounting, business continuity, and information technology and data security.

In addition, the Administrative Subcommittee is to assist the Board in fulfilling its oversight responsibilities with respect to the financial reporting processes; the system of internal controls; and the internal and external audit processes.

- i. The Administrative Subcommittee's responsibility is one of oversight, recognizing that the System is responsible for preparing the financial statements and that the Auditor General is statutorily responsible for compliance auditing consistent with RIGL §36-8-19.
- (4.) **Member Services Subcommittee:** The primary purpose of the Member Services Subcommittee is to assist the Board in fulfilling its oversight responsibilities with respect to retirement benefit administration; retirement system performance; and communications with members, employers and other stakeholders.

Subcommittee Authority. The subcommittees shall have such authority as described herein, in their respective subcommittee charters, and as the Board may determine from time to time. The subcommittees may propose certain actions to the Board for approval but shall not have authority

to act on behalf of the Board unless specifically authorized to do so by the full Board. Notwithstanding anything else provided herein, neither the Board nor any subcommittee shall take any action that is inconsistent with applicable law.

Strategic Plan. At least annually, the Executive Director shall lead the Board in developing and/or updating a comprehensive strategic plan which identifies the Board's strategic long and short-term priorities (the "Strategic Plan"). Upon approval of the Strategic Plan by the Board, the Executive Director shall coordinate with the Board and subcommittees to implement the Plan. The Board shall identify reasonable timeframes for completion. The subcommittee chairs shall oversee the work identified in the Strategic Plan being conducted by their respective subcommittees.

Agenda Calendar. The Executive Director shall collaborate with the Chair and subcommittee chairs to develop an annual calendar of Board and subcommittee meetings (the "Agenda Calendar"). The Agenda Calendar shall identify one-time and recurring Board and subcommittee obligations, including, without limitation, those obligations identified in Chapters 8-10 of Title 36 of the Rhode Island General Laws, System Regulations, the Strategic Plan, subcommittee charters and Board policies. The Agenda Calendar shall be annually approved by the Board. The Governance Subcommittee shall generally oversee development and maintenance of the Agenda Calendar.

Subcommittee Reporting. .

Subcommittee chairs shall report to the Board on subcommittee deliberations, recommendations and actions. Subcommittee meeting minutes shall be distributed to all Board Members.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9, 36-8-10.

(12) Special Committees

The Board may establish special committees to deal with particular issues as they arise. Any such special committee established by the Board will be discharged once its tasks have been completed. Any Board Member may propose formation of a special committee for the Board's consideration.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-9, 36-8-10.

(13) Code of Ethics for Fiduciaries

Anyone deemed to be a fiduciary shall subscribe and conform to the following code of ethics:

- (a) Fiduciaries should conduct themselves with integrity and act in an ethical manner in their dealings with the public, retirement board, employers, employees, and fellow fiduciaries.
- (b) Fiduciaries should conduct themselves and should encourage other fiduciaries to perform their functions in a professional and ethical manner that will reflect credit on themselves and the other fiduciaries.
- (c) Fiduciaries should act with competence and should strive to maintain and improve their competence and that of other fiduciaries.
- (d) Fiduciaries should use proper care and exercise independent professional judgment.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-17.

(14) Standard of Conduct for Fiduciaries

Every fiduciary shall:

- (1.) Discharge his or her duties for the exclusive purpose of providing benefits to retirement system members and their beneficiaries;
- (2.) Act with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of like character and with like aims;
- (3.) Operate in accordance with the Rhode Island General Laws on retirement as well as promulgated regulations by the Retirement Board.

No fiduciary shall:

- (4.) Deal with retirement system assets for his or her own account or in his or her own interest.
- (5.) Act in any manner affecting the retirement systems on behalf of any person or organization whose interests are adverse to the interests of the systems, their members or beneficiaries;
- (6.) Receive anything of value for his or her own personal account from any person or organization in connection with a transaction involving retirement system assets. A fiduciary who is a member of a retirement system shall not be deemed to have dealt with retirement system assets for his or her own account, or in his or her own interest or to have received anything of value for his or her own personal account, to the extent that the fiduciary derives a benefit as a result of his/her membership which is not unique and is no greater than the benefit derived by other similarly situated members of the retirement systems.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4, 36-8-4.1, 36-8-17.

(B) Meetings

(1) Meeting Conduct

The Board Chairperson will preside at all Board meetings, unless he/she chooses to relinquish the chair to the Vice-Chairperson. In the absence of the Chairperson, the Vice-Chair shall assume all of the duties and responsibilities of the Chair. The Chairperson may speak in discussion without relinquishing the chair and may make motions and vote on all questions put to the members.

All meetings of the Board shall be conducted in accordance with R.I.G.L. §42-46-1, *et seq.*

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-3.1, 36-8-4, 36-8-6.

(2) Annual Meeting

One Board meeting each year, as designated by the meeting schedule approved annually by the Board, shall be known as the annual meeting of the Board. The Board may change the date of

the annual meeting by a majority vote of the members present and voting at a meeting at which a quorum is present, subject to advance notice requirements imposed by R.I.G.L. §42-46-6.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(3) Regular Meetings

Regular meetings of the Board shall be held on the second Wednesday of each month unless re-scheduled by the Board Chairperson. The Board may change the date of any regular meeting by a majority vote of the members present and voting at a meeting at which a quorum is present, subject to advance notice requirements imposed by R.I.G.L. §42-46-6.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(4) Special Meetings

Special meetings of the Board may be called upon affirmative vote by a majority of the Board or by the Board Chairperson by written notice to the Board. The date, time, place, and purpose of any special meeting shall be given to every board member at least three (3) days prior to the meeting whenever practicable.

R.I.G.L. §§36-8-2, 36-8-3, 36-8-4

(5) Meeting Notice, Minutes and Agenda

The Executive Director shall cause a notice of each regular or annual Board meeting along with the previous month's minutes, agenda, and draft agendas for any upcoming subcommittee meetings (subject to Section (1)(A)(11) above), to be mailed or made available electronically to each member at least (7) days prior to such meeting. Additionally, the Executive Director shall distribute meeting minutes of the Board and any of its Subcommittees to all members of the Board within twenty (20) days of any meeting held whether in draft or final form.

Statutory Reference: R.I.G.L. §§36-8-2, 36-8-3, 36-8-4.

(6) Quorum and Decision

Each member of the board shall be entitled to one vote. A majority of the board shall constitute a quorum and all actions of the board shall be by a majority vote of the members present and voting at a meeting at which a quorum is present. The board shall keep a record of all the proceedings which shall be open to public inspection.

Statutory Reference: 36-8-6

(7) Tie Votes

In the event of a tie vote of a quorum present and voting on a contested matter, the matter will automatically be placed on the agenda of the next Retirement Board meeting.

In the event of a tie vote of a quorum present and voting on a contested matter rescheduled from a prior meeting, the Retirement Board may vote to postpone and re-consider the matter at a subsequent hearing, when a larger number of voting members may be present. If no such vote to postpone and re-consider is taken, or if a vote to postpone and re-consider the matter at a later date fails, the underlying action appealed from will be deemed affirmed.



Employees' Retirement System of the State of Rhode Island
And
Municipal Employees' Retirement System of the State of Rhode Island

Regulation No. 9

*Rules pertaining to the application to receive an Ordinary or Accidental
Disability Pension*

Revised: ~~May 12~~ MONTH DAY, 20106

Effective: ~~August 26, 2010~~ MONTH DAY, 2016

Regulation No. 9	1
Section (1) INTRODUCTION	4
Section (2) DEFINITIONS.....	4
Section (3) REQUIREMENTS FOR APPLICATION AND DISABILITY DETERMINATION.....	4
Section (4) STATUTORY STANDARD FOR ORDINARY AND ACCIDENTAL DISABILITY 6	
Section (5) CONSIDERATION BY THE DISABILITY SUBCOMMITTEE	6
Section (6) REQUEST FOR RECONSIDERATION	7
Section (7) RECONSIDERATION NOTICE.....	7
Section (8) ADDITIONAL DOCUMENTATION BEFORE THE DISABILITY SUBCOMMITTEE	7
Section (9) RECOMMENDATION OF THE DISABILITY SUBCOMMITTEE AFTER RECONSIDERATION	8
Section (10) APPLICATIONS BY TERMINAL MEMBERS	10
Section (11) SUBCOMMITTEE COMPOSITION	10

TABLE OF CONTENTS

SECTION 1: INTRODUCTION	109
SECTION 2: DEFINITIONS.....	109
SECTION 3: REQUIREMENTS FOR APPLICATION AND DISABILITY DETERMINATION.....	109

|

SECTION 4: STATUTORY STANDARD FOR ORDINARY AND ACCIDENTAL DISABILITY	111
SECTION 5: CONSIDERATION BY THE DISABILITY SUBCOMMITTEE	111
SECTION 6: REQUEST FOR RECONSIDERATION	112
SECTION 7: RECONSIDERATION NOTICE	112
SECTION 8: ADDITIONAL DOCUMENTATION BEFORE THE DISABILITY SUBCOMMITTEE	113
SECTION 9: RECOMMENDATION OF THE DISABILITY SUBCOMMITTEE AFTER RECONSIDERATION	113
SECTION 10: APPEAL PROCESS	113
SECTION 11: NOTICE OF APPEAL	114
SECTION 1012: APPLICATIONS BY TERMINAL MEMBERS	115

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Section (1) INTRODUCTION

These Administrative Rules pertaining to the application to receive an Ordinary or Accidental Disability Pension are promulgated pursuant to Rhode Island General Laws (R.I.G.L.) Section 36-8-3. The Rules shall be applicable to the adjudication of all Ordinary Disability Pension applications received pursuant to RIGL §36-10-12, §§36-10-13, 16-16-14, 16-16-15, 45-21-19, 45-21-20, 45-21.2-7 and 45-21.2-8, and all Accidental Disability Pension applications received pursuant to RIGL §§36-10-14, 36-10-15, 16-16-16, 16-16-17, 45-21-21, 45-21-22, 45-21.2-9 and 45-21.2-10.

This Regulation does not apply to members of the Judicial Retirement Plan or the State Police Retirement Plan.

Section (2) DEFINITIONS

Active Member Shall mean any employee of the State of Rhode Island for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §36-10-1 and §36-10-1.1; or any employee of a participating municipality for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §45-21-41 and §45-21-41.1; or any Police or Firefighter for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §45-21.2-14; or any teacher for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §16-16-22 and §16-16.22.1.

Section (3) REQUIREMENTS FOR APPLICATION AND DISABILITY DETERMINATION

1. Only active members or members on leave of absence for illness from the Employees' Retirement System of the State of Rhode Island ("ERSRI") or the Municipal Employees' Retirement System of the State of Rhode Island ("MERS") with a minimum of five (5) years of contributing service, three (3) of which must be consecutive, are eligible to receive an Ordinary Disability Pension. The Board may consider Mmembers that are on workers compensations to be on a leave of absence for illness within the meaning of this regulation.
2. Only active members of ERSRI or MERS are eligible to receive an Accidental Disability pension. The application must be made within 5 years of the accident for State employees, Municipal employees and Teachers and within 18 months of the accident for Municipal Police and Firefighters. An application must be filed within 3 years of a reinjury or aggravation of a prior injury for State Employees and Teachers. For Municipal Police and Firefighters, an application must be filed within 18 months of a reinjury or aggravation of a prior injury.
3. Any member eligible to receive a regular service retirement allowance is prohibited from receiving an Ordinary Disability Pension. Any member who has attained the age of sixty-five is prohibited from receiving an Accidental Disability Pension.

4. An applicant for a disability pension shall complete, sign and submit the following forms and information prior to the application being reviewed by the Disability Subcommittee of the Retirement Board. The information includes, but is not limited to: :
 - a.) Disability Retirement Application;
 - b.) Applicant's Physician's Statement for Disability Retirement;
 - i) Affirmation from applicant's Physician ~~that~~ as to whether the applicant has reached Maximum Medical Improvement (MMI)
 - c.) Employer's Disability Statement;
 - d.) Current Job Description;
 - e.) For Accidental Disability Applications Only:
 - i) Any and all medical records from three (3) years prior to the alleged accident through the date of the application for benefits. An applicant unable to produce requested medical records must complete an affidavit, attesting under the penalties of perjury, that no records exist;
 - ii) An injury or accident report related to the alleged injury;
 - iii) All accident or injury reports filed by or on behalf of the applicant with any employer, at any time, for any purpose;
 - iv) All urgent care records of the applicant related to the alleged accident.
 - v) Work history to include only those days absent from work and the reason for the absence(s) for three years prior to and three years after the date of the alleged accident.
5. Subject to subsection 12, after submitting a disability retirement application, applicants will have 90 days to submit all applicable documents listed in subsection 4 above. Should the applicant fail to submit all applicable documents listed in subsection 4 within 90 days of the date of application, the Disability Subcommittee may recommend denial of the application, and the Retirement Board may approve the denial.
6. An applicant for an Ordinary or Accidental Disability Pension must be examined by three independent physicians engaged by the Retirement Board. Payment for these examinations and any test required as a result of the examinations shall be borne by the Retirement Systems. It is the responsibility of the applicant to contact these independent physicians to make an appointment for examination within 30 days of receipt of the physicians' contact information from the Retirement Board System. If all required independent medical examinations are not completed within one (1) year of the filing of the application, the Disability Subcommittee and/or the Retirement Board shall either require that a new application be submitted, or deny the application.
7. Beyond the communication necessary to schedule and complete the required medical examinations, neither applicants nor their attorneys shall make any further inquiries of, nor have any further communications or contact with the independent physicians engaged by the Retirement Board. Once the examination is concluded, neither the applicant nor his/her counsel shall have any further communication with any of the independent physicians regarding the physician's findings, conclusions, recommendations or any other aspect of the examination. If an applicant or his/her attorney has any such communication or contact with the independent physician(s), the Retirement Board and/or its Disability Subcommittee may deny the application or may choose to disregard any materials generated by said physician(s) following such communication or contact.

Section (4) STATUTORY STANDARD FOR ORDINARY AND ACCIDENTAL DISABILITY

1. Upon review of the reports of the medical examinations of the physicians engaged by the Retirement Board, the Disability Subcommittee may recommend that the Retirement Board may grant the member an Ordinary or Accidental Disability Pension.
2. For a member to receive an Ordinary Disability Pension, the Disability Subcommittee Retirement Board must determine that a member is physically or mentally incapacitated from the performance of duty and ought to be retired.
3. For a State Employee, Teacher, Municipal Employee, Municipal Police Officer or Fire Fighter to receive an Accidental Disability Pension, the Retirement Board Disability Subcommittee must make a determination that the applicant ~~has reached maximum medical improvement (MMI), and,~~ is physically or mentally incapacitated for the performance of service as a natural and proximate result of an accident sustained while in the performance of duty, that the disability is not the result of willful negligence or misconduct of the member, and is not the result of age or length of service, and that the member has not yet attained the age of 65. The applicant must certify ~~to the Retirement Board~~ the definite time, place, and conditions of the duty performed by the member and the incident resulting in the alleged disability for the member to be eligible for an accidental disability pension.
4. Although the language in the ordinary disability statutes (R.I.G.L. §§36-10-12, 16-16-14, 45-21-19, and 45-21.2-7) and the accidental disability statutes (R.I.G.L. §§36-10-14, 16-16-16, 45-21-21 and 45-21.2-9) differ slightly, the Retirement Board endeavors to interpret the language of all the accidental disability statutes consistently with one another, and the language of all of the ordinary disability statutes consistently with one another wherever possible.

Section (5) CONSIDERATION BY THE DISABILITY SUBCOMMITTEE

1. Upon determination by the administration of the retirement systems that the applicant has complied with Section 3(4), the application will be forwarded to the ~~Retirement Board's~~ Disability Subcommittee which shall review the submitted material.
2. The Disability Subcommittee will vote to recommend approval or denial, or may postpone the application for the submission of additional material, and will thereafter recommend a final determination to the Retirement Board. The Retirement Board shall notify the applicant of its decision to accept or reject the recommendation of the Disability Subcommittee within forty-five (45) days of its decision.
3. Applications may be postponed pending the submission of additional information requested by the Sub-committee or desired to be presented by the applicant. If a matter is postponed, every effort will be made to reschedule the matter for the next regularly scheduled meeting. The matter may be postponed as many times as is necessary to ensure that all pertinent information has been received from the applicant for review by the Disability Subcommittee, and/or the Retirement Board. Failure to respond to a request for information by the Disability Sub-committee within 30 days of the date of the request may result in a recommendation of denial of the application by the Retirement Board, Disability Subcommittee.

4. The Disability Subcommittee may require the applicant to appear before the Disability Subcommittee to answer questions regarding his or her application for disability benefits.

Section (6) REQUEST FOR RECONSIDERATION

1. Any member aggrieved by a decision of the Retirement Board to accept a recommendation of the Disability Subcommittee to deny his or her application for Ordinary or Accidental Disability Benefits, may request that the application be reconsidered by the Disability Subcommittee.
2. Such request shall be in writing and shall be sent to the ~~Retirement Board~~ Executive Director of ERSRI within thirty (30) days of the date of mailing of the notification that the Retirement Board has accepted the Disability Subcommittee's recommendation of denial.
3. A request for reconsideration shall be signed by the member or the member's attorney and shall contain the following:
 - a.) Name of member;
 - b.) Date and nature of decision being contested; and
 - c.) A clear statement of the objection to the decision.
4. Requests for reconsideration should be sent to the Executive Director, Employees' Retirement System of Rhode Island, 50 Service Avenue, 2nd Floor, Warwick, RI 02886-1021.
5. Failure to strictly comply with the procedures outlined in this Section shall be grounds to deny any request for reconsideration.

Section (7) RECONSIDERATION NOTICE

1. Upon receipt of a request for reconsideration, the ~~Retirement Board or its designee~~ shall refer the matter to the ~~Disability Subcommittee~~, which shall be assigned to the Disability Subcommittee for a reconsideration hearing date within sixty (60) days of the mailing of the receipt of the request for reconsideration.
2. The notice of the reconsideration hearing date shall contain:
 - a.) A statement of the time and place of the reconsideration hearing;
 - b.) A statement that a party who fails to attend or participate in the reconsideration hearing may be held to be in default and may have the original denial of his or her application affirmed by the Disability Subcommittee and/or the Retirement Board without further hearing.

Section (8) ADDITIONAL DOCUMENTATION BEFORE THE DISABILITY SUBCOMMITTEE

Any applicant wishing to present additional documentation during the reconsideration hearing before the Disability Subcommittee must forward to the ~~Disability Subcommittee~~ Retirement Board ten (10) copies of the additional documentation a minimum of ten (10) days prior to the date of the reconsideration hearing. The hearing to reconsider the Disability Subcommittee's original recommendation of denial with respect to the applicant's application for a disability pension, is the applicant's opportunity to explain to the ~~Retirement Board's~~ Disability Subcommittee why the applicant believes he/she is entitled to a disability pension and why he/she believes the initial decision was erroneous, or to present new evidence or documentation which

was previously unavailable in support of the applicant's application. Fifteen minutes will be allotted for any applicant wishing to appear before the Disability Subcommittee at the reconsideration hearing. An applicant may represent him/herself at this hearing or may be represented by an attorney. Consistent with RIGL §11-27-2, any person accompanying the applicant who is not a lawyer (certified member of the bar of the State of Rhode Island), cannot represent the applicant before the Disability Subcommittee.

Section (9) RECOMMENDATION OF THE DISABILITY SUBCOMMITTEE AFTER RECONSIDERATION

1. Upon completion of the reconsideration hearing, and subject to any further postponements the Disability Subcommittee may deem necessary or advisable ~~for purposes of obtaining additional information or completing additional investigation~~, the Disability Subcommittee will recommend to the Retirement Board either approval or denial of the member's application for an Ordinary or Accidental Disability Pension.
2. The Retirement Board shall notify the applicant of its decision to accept or reject the recommendation of the Disability Subcommittee within tenforty-five (1045) days of its decision. which if denied, the notice of final decision will constitute final administrative action for all purposes pursuant to R.I.G.L. §45-35-42-31-1, et. seq.

~~**Section (10) APPEAL PROCESS**~~

- ~~1. Any member aggrieved by a decision of the Retirement Board to deny their application for Ordinary or Accidental Disability Benefits, after reconsideration by the Disability Subcommittee, may appeal that decision to the Retirement Board.~~
- ~~2. The appeal shall be in writing and shall be sent to the Retirement Board within thirty (30) days of the mailing date of the letter notifying the member of the decision to deny the application following reconsideration by the Disability Subcommittee.~~
- ~~3. The appeal shall be signed by the member or the member's attorney and shall contain the following information:
a.) Name of member;
b.) Date and nature of decision being contested; and
c.) A clear statement of the objection to the decision.~~
- ~~4. Notice of Appeal shall be sent to the Retirement Board, Attention: Executive Director, Employees Retirement System of Rhode Island, 50 Service Avenue, 2nd Floor, Warwick, RI 02886-1021.~~
- ~~5. Failure to strictly comply with the procedures outlined in this Section shall, at the discretion of the Board, be grounds to dismiss the appeal with prejudice.~~

~~**Section (11) NOTICE OF APPEAL**~~

- ~~1. Upon receipt of a Notice of Appeal, the Retirement Board or its designee shall assign a hearing date before the Retirement Board within ninety (90) days of the receipt of the Notice of Appeal or the next available retirement board meeting where the schedule permits. If after assignment and confirmation of the hearing date, the applicant cancels their appearance~~

without sufficient notice, the Retirement Board may assess the member the applicable fees incurred by the system for cancellation.

2. ~~The hearing notification issued by the Retirement Board or its designee shall contain:
 - a.) A statement of the time and place of the hearing;
 - b.) A statement that a party who fails to attend or participate in the hearing shall be held to be in default and may have his or her appeal dismissed without further hearing.~~
3. ~~No additional documentation will be accepted for this hearing before the full Board with the exception of legal memoranda.~~
4. ~~The appeal is not an opportunity for the applicant to present new factual material or evidence to the Retirement Board. The Board affords deference to the conclusions of its Disability Subcommittee on factual determinations and questions of credibility and will not overturn those determinations and assessments unless they are found to be clearly wrong.~~
5. ~~The applicant will receive notice of a final decision within 10 days of the Hearing before the Retirement Board. If denied, the notice of final decision will constitute final administrative action for all purposes pursuant to R.I.G.L. §45-35-1, et. seq.~~

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~~6. An applicant may represent him/herself before the Retirement Board, or the applicant may be represented by an attorney that is a certified member of the bar of the State of Rhode Island. Consistent with RIGL §11-27-2, any person accompanying the applicant who is not a lawyer cannot represent the applicant before the full Board.~~

~~Section (12)~~ Section (10) **APPLICATIONS BY TERMINAL MEMBERS**

- a) An application for accidental or ordinary disability benefits on behalf of a member whose disability is deemed terminal by the Board's medical advisor may be considered with only one additional medical report by an independent physician. The ERSRI medical advisor must supply the Disability Subcommittee with a written certification of the terminal status of the member.
- b) When a member, whose accidental or ordinary disability application has been accepted by the ~~Retirement Board~~ Disability Subcommittee, and who has completed 3 independent medical examinations, dies prior to the approval of the disability pension ~~at a monthly board meeting~~, the Retirement Board may award the disability to the member's beneficiary provided an option selection form indicating Option One or Option Two had been received by the Retirement Board prior to the member's death.

Section (11) {SUBCOMMITTEE COMPOSITION}

1. The composition of the subcommittee shall be consistent with ERSRI Regulation No.1, Section (1) (A) (11) with the following exceptions:
 - a.) For board members allowed to send an alternate member, as defined in Regulation No.1 Section (1)(A)(1), to represent him/her on the disability subcommittee, a written proxy statement shall be provided to the full Board one month in advance of the next regularly scheduled board meeting for their consideration.
 - b.) Upon approval and swearing in by the Board, the alternate member shall be required to attend a minimum of two regularly scheduled disability subcommittee meetings before serving as the voting alternate member and will be provided training material by ERSRI on the operation and adjudication process currently employed by the committee.
 - c.) In the event the approved alternate member is unable to attend a meeting because he/she has notified the Executive Director at least 10 business days before the scheduled meeting that they are unable to attend; is involved in an emergency beyond their control; or contracts an illness the day of the meeting and notifies the Executive Director before the start of the meeting, the Board member, except the public representatives, will be allowed to send another alternate member to represent him/her for the designated committee meeting.
 - i) Such absences shall be limited to 2 meetings annually.



Employees' Retirement System of the State of Rhode Island

And

Municipal Employees' Retirement System of the State of Rhode Island

Regulation No. 9

*Rules pertaining to the application to receive an Ordinary or Accidental
Disability Pension*

Revised: MONTH DAY, 2016

Effective: MONTH DAY, 2016

Regulation No. 9	1
Section (1) INTRODUCTION.....	3
Section (2) DEFINITIONS.....	3
Section (3) REQUIREMENTS FOR APPLICATION AND DISABILITY DETERMINATION.....	3
Section (4) STATUTORY STANDARD FOR ORDINARY AND ACCIDENTAL DISABILITY 5	
Section (5) CONSIDERATION BY THE DISABILITY SUBCOMMITTEE	5
Section (6) REQUEST FOR RECONSIDERATION.....	6
Section (7) RECONSIDERATION NOTICE.....	6
Section (8) ADDITIONAL DOCUMENTATION BEFORE THE DISABILITY SUBCOMMITTEE	6
Section (9) RECOMMENDATION OF THE DISABILITY SUBCOMMITTEE AFTER RECONSIDERATION	7
Section (10) APPLICATIONS BY TERMINAL MEMBERS.....	7
Section (11) SUBCOMMITTEE COMPOSITION	7

Section (1) INTRODUCTION

These Administrative Rules pertaining to the application to receive an Ordinary or Accidental Disability Pension are promulgated pursuant to Rhode Island General Laws (R.I.G.L.) Section 36-8-3. The Rules shall be applicable to the adjudication of all Ordinary Disability Pension applications received pursuant to RIGL §36-10-12, §§36-10-13, 16-16-14, 16-16-15, 45-21-19, 45-21-20, 45-21.2-7 and 45-21.2-8, and all Accidental Disability Pension applications received pursuant to RIGL §§36-10-14, 36-10-15, 16-16-16, 16-16-17, 45-21-21, 45-21-22, 45-21.2-9 and 45-21.2-10.

This Regulation does not apply to members of the Judicial Retirement Plan or the State Police Retirement Plan.

Section (2) DEFINITIONS

Active Member Shall mean any employee of the State of Rhode Island for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §36-10-1 and §36-10-1.1; or any employee of a participating municipality for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §45-21-41 and §45-21-41.1; or any Police or Firefighter for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §45-21.2-14; or any teacher for whom the Retirement System is currently receiving regular contributions pursuant to RIGL §16-16-22 and §16-16.22.1.

Section (3) REQUIREMENTS FOR APPLICATION AND DISABILITY DETERMINATION

1. Only active members or members on leave of absence for illness from the Employees' Retirement System of the State of Rhode Island ("ERSRI") or the Municipal Employees' Retirement System of the State of Rhode Island ("MERS") with a minimum of five (5) years of contributing service, three (3) of which must be consecutive, are eligible to receive an Ordinary Disability Pension. The Board may consider members that are on workers compensation to be on a leave of absence for illness within the meaning of this regulation.
2. Only active members of ERSRI or MERS are eligible to receive an Accidental Disability pension. The application must be made within 5 years of the accident for State employees, Municipal employees and Teachers and within 18 months of the accident for Municipal Police and Firefighters. An application must be filed within 3 years of a reinjury or aggravation of a prior injury for State Employees and Teachers. For Municipal Police and Firefighters, an application must be filed within 18 months of a reinjury or aggravation of a prior injury.
3. Any member eligible to receive a regular service retirement allowance is prohibited from receiving an Ordinary Disability Pension. Any member who has attained the age of sixty-five is prohibited from receiving an Accidental Disability Pension.

4. An applicant for a disability pension shall complete, sign and submit the following forms and information prior to the application being reviewed by the Disability Subcommittee of the Retirement Board. The information includes, but is not limited to: :
 - a.) Disability Retirement Application;
 - b.) Applicant's Physician's Statement for Disability Retirement;
 - i) Affirmation from applicant's Physician as to whether the applicant has reached Maximum Medical Improvement (MMI)
 - c.) Employer's Disability Statement;
 - d.) Current Job Description;
 - e.) For Accidental Disability Applications Only:
 - i) Any and all medical records from three (3) years prior to the alleged accident through the date of the application for benefits. An applicant unable to produce requested medical records must complete an affidavit, attesting under the penalties of perjury, that no records exist;
 - ii) An injury or accident report related to the alleged injury;
 - iii) All accident or injury reports filed by or on behalf of the applicant with any employer, at any time, for any purpose;
 - iv) All urgent care records of the applicant related to the alleged accident.
 - v) Work history to include only those days absent from work and the reason for the absence(s) for three years prior to and three years after the date of the alleged accident.
5. Subject to subsection 12, after submitting a disability retirement application, applicants will have 90 days to submit all applicable documents listed in subsection 4 above. Should the applicant fail to submit all applicable documents listed in subsection 4 within 90 days of the date of application, the Disability Subcommittee may recommend denial of the application, and the Retirement Board may approve the denial.
6. An applicant for an Ordinary or Accidental Disability Pension must be examined by three independent physicians engaged by the Retirement Board. Payment for these examinations and any test required as a result of the examinations shall be borne by the Retirement Systems. It is the responsibility of the applicant to contact these independent physicians to make an appointment for examination within 30 days of receipt of the physicians' contact information from the Retirement System. If all required independent medical examinations are not completed within one (1) year of the filing of the application, the Disability Subcommittee and/or the Retirement Board shall either require that a new application be submitted, or deny the application.
7. Beyond the communication necessary to schedule and complete the required medical examinations, neither applicants nor their attorneys shall make any further inquiries of, nor have any further communications or contact with the independent physicians engaged by the Retirement Board. Once the examination is concluded, neither the applicant nor his/her counsel shall have any further communication with any of the independent physicians regarding the physician's findings, conclusions, recommendations or any other aspect of the examination. If an applicant or his/her attorney has any such communication or contact with the independent physician(s), the Retirement Board and/or its Disability Subcommittee may deny the application or may choose to disregard any materials generated by said physician(s) following such communication or contact.

Section (4) STATUTORY STANDARD FOR ORDINARY AND ACCIDENTAL DISABILITY

1. Upon review of the reports of the medical examinations of the physicians engaged by the Retirement Board, the Disability Subcommittee may recommend that the Retirement Board grant the member an Ordinary or Accidental Disability Pension.
2. For a member to receive an Ordinary Disability Pension, the Disability Subcommittee must determine that a member is physically or mentally incapacitated from the performance of duty and ought to be retired.
3. For a State Employee, Teacher, Municipal Employee, Municipal Police Officer or Fire Fighter to receive an Accidental Disability Pension, the Disability Subcommittee must make a determination that the applicant, is physically or mentally incapacitated for the performance of service as a natural and proximate result of an accident sustained while in the performance of duty, that the disability is not the result of willful negligence or misconduct of the member, and is not the result of age or length of service, and that the member has not yet attained the age of 65. The applicant must certify the definite time, place, and conditions of the duty performed by the member and the incident resulting in the alleged disability for the member to be eligible for an accidental disability pension.
4. Although the language in the ordinary disability statutes (R.I.G.L. §§36-10-12, 16-16-14, 45-21-19, and 45-21.2-7) and the accidental disability statutes (R.I.G.L. §§36-10-14, 16-16-16, 45-21-21 and 45-21.2-9) differ slightly, the Retirement Board endeavors to interpret the language of all the accidental disability statutes consistently with one another, and the language of all of the ordinary disability statutes consistently with one another wherever possible.

Section (5) CONSIDERATION BY THE DISABILITY SUBCOMMITTEE

1. Upon determination by the administration of the retirement systems that the applicant has complied with Section 3(4), the application will be forwarded to the Disability Subcommittee which shall review the submitted material.
2. The Disability Subcommittee will vote to recommend approval or denial, or may postpone the application for the submission of additional material, and will thereafter recommend a final determination to the Retirement Board. The Retirement Board shall notify the applicant of its decision to accept or reject the recommendation of the Disability Subcommittee within forty-five (45) days of its decision.
3. Applications may be postponed pending the submission of additional information requested by the Subcommittee or desired to be presented by the applicant. If a matter is postponed, every effort will be made to reschedule the matter for the next regularly scheduled meeting. The matter may be postponed as many times as is necessary to ensure that all pertinent information has been received from the applicant for review by the Disability Subcommittee. Failure to respond to a request for information by the Disability Subcommittee within 30 days of the date of the request may result in a recommendation of denial of the application by the Disability Subcommittee.
4. The Disability Subcommittee may require the applicant to appear before the Disability Subcommittee to answer questions regarding his or her application for disability benefits.

Section (6) REQUEST FOR RECONSIDERATION

1. Any member aggrieved by a decision of the Retirement Board to accept a recommendation of the Disability Subcommittee to deny his or her application for Ordinary or Accidental Disability Benefits, may request that the application be reconsidered by the Disability Subcommittee.
2. Such request shall be in writing and shall be sent to the Executive Director of ERSRI within thirty (30) days of the date of mailing of the notification that the Retirement Board has accepted the Disability Subcommittee's recommendation of denial.
3. A request for reconsideration shall be signed by the member or the member's attorney and shall contain the following:
 - a.) Name of member;
 - b.) Date and nature of decision being contested; and
 - c.) A clear statement of the objection to the decision.
4. Requests for reconsideration should be sent to the Executive Director, Employees' Retirement System of Rhode Island, 50 Service Avenue, 2nd Floor, Warwick, RI 02886-1021.
5. Failure to strictly comply with the procedures outlined in this Section shall be grounds to deny any request for reconsideration.

Section (7) RECONSIDERATION NOTICE

1. Upon receipt of a request for reconsideration, the matter shall be assigned to the Disability Subcommittee for a reconsideration hearing date within sixty (60) days of receipt of the request for reconsideration.
2. The notice of the reconsideration hearing date shall contain:
 - a.) A statement of the time and place of the reconsideration hearing;
 - b.) A statement that a party who fails to attend or participate in the reconsideration hearing may be held to be in default and may have the original denial of his or her application affirmed by the Disability Subcommittee and/or the Retirement Board without further hearing.

Section (8) ADDITIONAL DOCUMENTATION BEFORE THE DISABILITY SUBCOMMITTEE

Any applicant wishing to present additional documentation during the reconsideration hearing before the Disability Subcommittee must forward to the Disability Subcommittee ten (10) copies of the additional documentation a minimum of ten (10) days prior to the date of the reconsideration hearing. The hearing to reconsider the Disability Subcommittee's original recommendation of denial with respect to the applicant's application for a disability pension is the applicant's opportunity to explain to the Disability Subcommittee why the applicant believes he/she is entitled to a disability pension and why he/she believes the initial decision was erroneous, or to present new evidence or documentation which was previously unavailable in support of the applicant's application. Fifteen minutes will be allotted for any applicant wishing to appear before the Disability Subcommittee at the reconsideration hearing. An applicant may represent him/herself at this hearing or may be represented by an attorney. Consistent with RIGL §11-27-2, any person accompanying the applicant who is not a lawyer (certified member

of the bar of the State of Rhode Island), cannot represent the applicant before the Disability Subcommittee.

Section (9) RECOMMENDATION OF THE DISABILITY SUBCOMMITTEE AFTER RECONSIDERATION

1. Upon completion of the reconsideration hearing, and subject to any further postponements the Disability Subcommittee may deem necessary or advisable, the Disability Subcommittee will recommend to the Retirement Board either approval or denial of the member's application for an Ordinary or Accidental Disability Pension.
2. The Retirement Board shall notify the applicant of its decision to accept or reject the recommendation of the Disability Subcommittee within forty-five (45) days of its decision which if denied, the notice of final decision will constitute final administrative action for all purposes pursuant to R.I.G.L. §42-31-1, *et. seq.*

Section (10) APPLICATIONS BY TERMINAL MEMBERS

- a) An application for accidental or ordinary disability benefits on behalf of a member whose disability is deemed terminal by the Board's medical advisor may be considered with only one additional medical report by an independent physician. The ERSRI medical advisor must supply the Disability Subcommittee with a written certification of the terminal status of the member.
- b) When a member, whose accidental or ordinary disability application has been accepted by the Disability Subcommittee, and who has completed 3 independent medical examinations, dies prior to the approval of the disability pension, the Retirement Board may award the disability to the member's beneficiary provided an option selection form indicating Option One or Option Two had been received by the Retirement Board prior to the member's death.

Section (11) SUBCOMMITTEE COMPOSITION

1. The composition of the subcommittee shall be consistent with ERSRI Regulation No.1, Section (1) (A) (11) with the following exceptions:
 - a.) For board members allowed to send an alternate member, as defined in Regulation No.1 Section (1)(A)(1), to represent him/her on the disability subcommittee, a written proxy statement shall be provided to the full Board one month in advance of the next regularly scheduled board meeting for their consideration.
 - b.) Upon approval and swearing in by the Board, the alternate member shall be required to attend a minimum of two regularly scheduled disability subcommittee meetings before serving as the voting alternate member and will be provided training material by ERSRI on the operation and adjudication process currently employed by the committee.
 - c.) In the event the approved alternate member is unable to attend a meeting because he/she has notified the Executive Director at least 10 business days before the scheduled

meeting that they are unable to attend; is involved in an emergency beyond their control; or contracts an illness the day of the meeting and notifies the Executive Director before the start of the meeting, the Board member, except the public representatives, will be allowed to send another alternate member to represent him/her for the designated committee meeting.

i) Such absences shall be limited to 2 meetings annually.

DRAFT

EMPLOYEES' RETIREMENT SYSTEM OF RHODE ISLAND CHARTER FOR THE DISABILITIES SUBCOMMITTEE

INTRODUCTION & AUTHORITY

- 1) The primary purpose of the Disabilities Subcommittee ("Subcommittee") is to assist the Retirement Board ("Board") in fulfilling its oversight responsibilities with respect to the administration of disability benefits:
- 2) All actions taken by the Subcommittee shall comply with applicable law, including the Rhode Island General Laws, applicable board rules and regulations. In the event of a conflict between the terms of this Charter and the Rhode Island General Laws, and applicable board rules and regulations, the Rhode Island General Laws shall control.

COMPOSITION & MEETINGS

- 3) The Subcommittee shall consist of at least five members of the Board. The Board chair shall serve on the Subcommittee ex-officio.
- 4) The Subcommittee shall select a Subcommittee ~~chair and vice chair~~. The chair shall preside at all meetings. In the absence of the chair, the vice chair shall preside.
- 5) The Executive Director shall designate an employee of the Employees' Retirement System of the State of Rhode Island (the "System") to assist the Subcommittee in performing its duties.
- 6) Subcommittee meetings shall be conducted in accordance with the Rhode Island General Laws governing Open Meetings §42-46-1 *et seq.*, General Administrative Rules of the Retirement Board, and other legal requirements.
- 7) The Subcommittee shall meet as many times per year as the Subcommittee chair deems necessary or appropriate to perform the Subcommittee's duties. The Subcommittee shall meet at such times as determined by the Subcommittee chair, after consulting with the Executive Director and Subcommittee members. Meetings shall be subject to the Open Meetings Law. RIGL § 42-46-1 *et seq.*
- 8) The chair shall develop an annual agenda calendar for Subcommittee meetings, which shall be incorporated into the Board's annual Agenda Calendar (as defined in Regulation No. 1, General Administrative Rules of the Retirement Board). The chair shall generally oversee the performance of the work assigned to the Subcommittee in the Agenda Calendar.

DUTIES AND RESPONSIBILITIES

The Subcommittee has the following responsibilities:

Review of Disability Benefits Applications.

- 9) Review applications for ordinary and accidental disability benefits, and make recommendations to the Board for the disposition of claims.
- 10) Conduct hearings regarding disability benefits claims, in accordance with Rhode Island General Laws Administrative Procedures Act §42-35-1 *et seq.*, Regulation 9 of the System's Rules and Regulations, and as contemplated by the General Administrative Rules or otherwise directed by the Board.

Disability Benefits Administration Process.

- 11) Periodically review the uniform eligibility requirements for ordinary disability or accidental disability benefits, as required by law, and propose changes to the Board.
- 12) Oversee process for selection and monitoring of independent medical examiners.

Reporting

- 13) With respect to reporting, the Subcommittee chair shall:
 - a) Report to the Board about Subcommittee activities, issues, and related recommendations at each regularly scheduled Board meeting following a Subcommittee meeting;
 - b) Provide a consent agenda to the Board of the disposition of all disability matters adjudicated by the committee for approval by the Board.
 - a)c) Along with the consent agenda, the Board shall be provided supporting information to include a statement of the finding of fact and conclusion of law for each application and hearing transcripts should the application be denied by the committee. Should the committee reconsider and reverse an application to approve that was previously denied, a statement of the finding of fact and conclusion shall also be provided to the Board.
 - b)d) Provide copies of Subcommittee meeting minutes to the Executive Director to be distributed or made available to all Board members; and
 - e) To the extent feasible, provide draft agendas for upcoming Subcommittee meetings to be distributed or made available to all Board members prior to the Board meeting that immediately precedes the Subcommittee meeting, provided that the Subcommittee chair shall not be required to include agenda items relating to new applications, hearings and appeals required

to come before the Subcommittee and subject to time limitations imposed by law:-

- f) Annually report to the Board on the total number of cases adjudicated, the breakdown of approved, denied and pending cases, the number of cases remanded by the courts and their disposition by the committee.
- g) Report to the Board the number and type of physicians engaged by the committee and their approval and denial determination statistics.
- e)h) Provide an update at the Board's annual training session on the methods and procedures used by the committee to adjudicate applications.

Other Responsibilities

- 14) Periodically review System regulations, policies and procedures related to disability benefits administration and oversight. The Subcommittee shall recommend any changes to such System regulations, policies and procedures to the Board.
- 15) Perform such other activities related to the Subcommittee's functions and duties as are reasonably appropriate or are requested by the Board from time to time

SELF-EVALUATION

- 16) At least every [two][three] years, review the existing Charter and propose any amendments to Governance Subcommittee for consideration.
- 17) The Subcommittee and each Subcommittee Member shall comply with the Board's Self-Evaluation Policy and processes and participate in any independent fiduciary reviews.

HISTORY

- 18) This Charter was adopted by the Board on _____, 2016.

EMPLOYEES' RETIREMENT SYSTEM OF RHODE ISLAND

POST RETIREMENT EMPLOYMENT POLICY FOR EXCEEDING ALLOWABLE MONETARY LIMITS AT A STATE COLLEGE, UNIVERSITY OR STATE SCHOOL AND STATE OPERATED FACILITY

Purpose of Policy:

To ensure a consistent application of the retirement laws and rules governing post retirement employment as they relate to state colleges, universities or state schools and state operated facilities. Specifically, this policy provides the process and procedures for determining when a retiree exceeds the statutory earnings limitations and how the retirees' pension benefit will be affected pursuant to Rhode Island General Laws §36-10-36.

Governing Law:

RI General Laws Governing Post Retirement Employment at a State College, University or State School and State Operated Facility

§ 36-10-36 Post retirement employment. – (a) On and after July 7, 1994, no member who has retired under the provisions of titles 16, 36, or 45 may be employed or reemployed by any state agency or department unless any and all retirement benefits to which he or she may be entitled by virtue of the provisions of titles 16, 36 or 45 are suspended for the duration of any employment or reemployment. No additional service credits shall be granted for any post-retirement employment or reemployment and no deductions shall be taken from an individual's salary for retirement contribution. Notice of any such post-retirement employment or reemployment shall be sent monthly to the retirement board by the employing agency or department and by the retired member.

(b) Any member who has retired under the provisions of titles 16, 36, or 45 may be employed or reemployed by any municipality within the state, which municipality has accepted the provisions of chapter 21 of title 45 and which participates in the municipal employees' retirement system for a period of not more than seventy-five (75) working days or one hundred fifty (150) half days with half day pay in any one calendar year without any forfeiture of or reduction of any retirement benefits and allowances the member is receiving or may receive as a retired member. Pension payments shall be suspended whenever this period is exceeded. No additional contributions shall be taken and no additional service credits shall be granted for this service. Notice of this employment or re-employment shall be sent monthly to the retirement board by the employer and by the retired member.

(c) Any member who has retired under the provisions of title 16, 36, or 45 may be employed or re-employed by any municipality within the state which has not accepted the provisions of chapter 21 of title 45 and which does not participate in the municipal employees' retirement system.

(d) Notwithstanding the provisions of this section:

(1) Any retired member of the system shall be permitted to serve as an elected mayor, the town administrator, the city administrator, the town manager, the city manager, the chief administrative officer or the chief executive officer of any city or town, city or town council member, school committee member, or unpaid member of any part-time state board or commission or member of any part-time municipal board or commission, and shall continue to be eligible for and receive the retirement allowance for service other than that as a mayor, administrator, council member, school committee member, or member of any state board or commission or member of any part-time municipal board or commission; provided, however, that no additional service credits shall be granted for any service under this subsection;

(2) Any retired member who retired from service at any state college, university, state school, or who retired from service as a teacher under the provisions of title 16, or who retired from service under title 36 or title 45, may be employed or reemployed, on a part-time basis, by any state college, university or state school for the purpose of providing classroom instruction, academic advising of students and/or coaching. Compensation shall be provided at a level not to exceed the salary provided to other faculty members employed under a collective bargaining agreement at the institution. In no event shall "part-time" mean gross pay of more than fifteen thousand dollars (\$15,000) in any one calendar year. Any retired member who provides such instruction or service shall do so without forfeiture or reduction of any retirement benefit or allowance; and provided, however, that no additional service credits shall be granted for any service under this subsection;

(3) Any retired member who retired from service as a teacher under the provisions of title 16, or as a state employee who while an active state employee was certified to teach driver education by the department of elementary and secondary education or by the board of governors for higher education, may be employed or reemployed, on a part-time basis, by the department of elementary and secondary education or by the board of governors for higher education for the purpose of providing classroom instruction in driver education courses in accordance with § 31-10-19 and/or motorcycle driver education courses in accordance with § 31-10.1-1.1. In no event shall "part-time" mean gross pay of more than fifteen thousand dollars

(\$15,000) in any one calendar year. Any retired teacher who provides that instruction shall do so without forfeiture or reduction of any retirement benefit or allowance the retired teacher is receiving as a retired teacher; provided, however, that no additional service credits shall be granted for any service under this subsection; and

(4) Any retired member who retired from service as a registered nurse may be employed or reemployed, on a per diem basis, for the purpose of providing professional nursing care and/or services at a state operated facility in Rhode Island. In no event shall "part-time" mean gross pay of more than fifteen thousand dollars (\$15,000) in any one calendar year. Any retired nurse who provides such care and/or services shall do so without forfeiture or reduction of any retirement benefit or allowance the retired nurse is receiving as a retired nurse; provided, however, that no additional service credits shall be granted for any service under this subsection.

(5) Any retired member who at the time of passage of this section serves as a general magistrate within the family court and thereafter retires from judicial service, may be employed or reemployed by the family court to perform such services as a general magistrate of the family court as the chief judge of the family court shall prescribe, without any forfeiture of or reduction of any retirement benefits and allowances that he or she is receiving or may receive. For any such services or assignments performed after retirement, the general magistrate shall receive no compensation whatsoever, either monetary or in kind. No additional contributions shall be taken and no additional service credits shall be granted for this service.

(6) Any retired member of the system shall be permitted to serve as a municipal employee and continue to be eligible for and receive the retirement allowance for service other than as a municipal employee; provided that said municipal employee shall be appointed by and serves at the pleasure of the highest elected chief executive officer, as defined in § 45-9-2, including an elected mayor, the town or city council, or president of a city or town council in any city or town subject to the provisions of chapter 9 of title 45 entitled "Budget Commissions" relating to the appointment of a fiscal overseer, budget commission, receiver and/or financial advisor. Provided further, that no additional service credits shall be granted for any service under this subsection.

Summary of the Law's Earnings Limitations

- a. Pursuant to R.I.G.L. §36-10-36(d)(2) any retired member can work on a part-time basis at any state college, university or state school for the purpose of Classroom Instruction, Academic Advising of Students and/or Coaching and earn no more than \$15,000 per calendar year without forfeiture or reduction of any retirement benefit or allowance.

- b. Pursuant to R.I.G.L. §36-10-36(d)(3) any retired teacher who retired under the provisions of title 16, or any retired state employee who while an active state employee was certified to teach driver education, can work part time to teach driver education and earn no more than \$15,000 per calendar year without forfeiture or reduction of any retirement benefit or allowance.

- c. Pursuant to R.I.G.L. §36-10-36(d)(4) retired Registered Nurses (RN's) can work part time on a per diem basis for the purpose of providing professional nursing care and/or services at a state operated facility in Rhode Island and earn no more than \$15,000.00 per calendar year without forfeiture or reduction of any retirement benefit or allowance the retired nurse is receiving as a retired nurse.

POLICY FOR EXCEEDING ALLOWABLE MONETARY LIMITS

ERSRI will require that the retired member (and employer) report monthly earnings and the number of days worked in the month, on a monthly basis, as well as the last day of employment to ERSRI on a form prescribed by ERSRI.

Once the retired member reaches the monetary limit provided by law, the retired member's pension benefit shall be suspended in the following manner should they continue to work:

The retired member's pension benefit will be suspended for the remainder of the calendar year. Upon notification of termination of employment to ERSRI, the retired member's pension benefit will be reinstated.

Calendar year shall mean the period from January 1 to December 31.

EXAMPLE:

A Retiree accepts a position at the University of Rhode Island for the Spring Semester and reports to ERSRI the following post retirement employment:

<u>Month</u>	<u>Earnings</u>	<u>Days Worked</u>
January	\$2500	6
February	\$4500	8
March	\$3500	7
April	\$4500	8
May	\$2500	6
Last day of employment: May 15.		

The Retiree reached the statutory earnings limit at the end of April, and continued to work and get paid for 6 additional days in May. Data is provided on a monthly basis; the monthly pension benefit will be suspended effective the end of April.

If notification is not provided to ERSRI for April and May in a timely manner, ERSRI will seek to recoup any pension payments made once the earning limitations have been exceeded. The monthly pension benefit will be divided by 30 days to determine a per diem rate of pension benefit to be recouped.

Monthly pension benefit: \$4000

\div 30

\$133.33 per day

\times 6 days

\$799.98 amount of pension benefits to be suspended

The pension benefit for May will be reinstated effective May 16 as follows \$4,000-\$799.98. = \$3,200.02

Should this same retiree commence post retirement employment at a state college, university or state school in September of the same year, his pension benefit will be suspended in full until his last day of employment in the calendar year.

EMPLOYEES' RETIREMENT SYSTEM OF THE STATE OF RHODE ISLAND

REPORT AS OF DECEMBER 2016

ON LITIGATED MATTERS FILED BY OR AGAINST ERSRI

I. MATTERS WITH PROCEDURAL OR SUBSTANTIVE CHANGES

Sandra Tiernan v. Frank Caprio *et al.*

Providence County Superior Court CA No. PC 09-7242

Change. This declaratory judgment action arises out of the setoff of workers compensation benefits from disability pension benefits. A Stipulation was filed on January 27, 2010 in the administrative appeal prematurely filed with the Superior Court, postponing the filing of an Answer and the Administrative Record pending the conclusion of the administrative proceedings. The Retirement Board has affirmed the administrative actions taken by the Executive Director. The matter has been fully briefed, and a motion to assign for decision has been filed. **Ms. Tiernan has filed a motion to amend her complaint to include a claim based on an administrative appeal under the Administrative Procedures Act.**

John R. Grasso v. Gina M. Raimondo, et al.

Providence County Superior Court C.A. No.: PC 2013-3121

Change. Plaintiff is a disability retiree who brought this action against the Retirement System, the General Treasurer in her individual and official capacities, and the Executive Director in his individual and official capacities, seeking damages, and a determination that the Retirement System cannot require him to undergo an annual medical examination, or make adjustments to his disability allowance based on earned income. In a simultaneous administrative proceeding, on September 10, 2014 the Retirement Board affirmed the Hearing Officer's decision upholding the administrative actions of the Executive Director. On October 8, 2014, Mr. Grasso appealed the Board's decision to the Superior Court, and by agreement of the parties the City of Cranston intervened in the action. On November 5, 2015, the Superior Court issued a declaratory judgment that the System cannot require Mr. Grasso to undergo annual medical examinations, or adjust his pension based on outside earnings. The System has filed a Notice of Appeal, and a Petition for Issuance of a Writ of Certiorari with the Rhode Island Supreme Court. The parties have each filed pre-briefing statements. The matter has been scheduled for a prebriefing conference with a Justice of the Supreme Court on November 14, 2016. **The Rhode Island Supreme Court has granted certiorari, and assigned the matter for full briefing.**

II. MATTERS WITH NO PROCEDURAL OR SUBSTANTIVE CHANGES

Margaret Provoyeur v. Employees' Retirement System of the State of Rhode Island.

Providence Superior Court; C.A. No. PC 2015-2609

No Change. Plaintiff, a Providence school teacher, appeals the denial of her application for an accidental disability pension. On August 24, 2016, Plaintiff filed a motion to remand the matter to the Disability Subcommittee for the consideration of additional evidence. ERSRI filed an objection, and on October 28, 2016, the Superior Court denied the motion to remand.

Kevin Lang v. ERSRI; Workers' Compensation Court; WCC No. 201504163 Retirement Board v. Lang; Providence Superior Court; PC No. 2015-3380

No Change. Kevin Lang, a Cranston firefighter, appeals the denial of his application for an accidental disability pension pursuant to R.I.G.L. §45-21.2-9. A pre-trial conference took place on October 28, 2015, at which time the Court denied Mr. Lang's petition for benefits. Mr. Lang thereafter claimed a trial with regard to this decision. On September 9, 2016, the Court issued a decree and decision overturning the Retirement Board, and awarding accidental disability benefits to Mr. Lang, and a counsel fee to his attorney. The Retirement Board has filed a notice of appeal. Mr. Lang has filed a Petition to Enforce payment of the counsel fee, which has been scheduled for hearing on December 12, 2016.

Simultaneously, the Retirement System filed a declaratory judgment action in the Providence County Superior Court, seeking a declaratory judgment that the Administrative Procedures Act provides the sole avenue for relief for individuals aggrieved by adverse Retirement Board decisions involving claims for accidental disability pensions predicated on an occupational cancer disability. Mr. Lang has filed a motion to dismiss this action which remains pending.

Retirement Board v. Fred Randall

Providence County Superior Court C.A. No. PC15-0203

No Change. This is an action to revoke or reduce Mr. Randall's pension pursuant to the Public Employee Pension Revocation and Reduction Act. Mr. Randall, a former employee of the University of Rhode Island, pled *nolo contendere* to a charge of conversion by a state employee. A hearing wherein Mr. Randall was required to appear and show cause why his pension benefits should not be suspended pending adjudication of the merits of the action took place on March 2, 2014. The Court determined that cause was not shown by Mr. Randall, and ordered that his pension benefits be immediately suspended pending adjudication of the action on the merits. A hearing was conducted on July 7, 2016 at 2:00 PM, at which time Mr. Randall and his wife both testified. The matter has been fully briefed.

Robert L. Lincourt vs. Employees' Retirement System of Rhode Island

Providence County Superior Court; C.A. No. PC2015-0602

No Change. Plaintiff, a North Providence firefighter, appeals the denial of his application for an accidental disability pension. The System's Answer and the Designation of Administrative Record have been filed with the Court. Mr. Lincourt has filed a motion to remand the action to the Retirement Board for the presentation of additional evidence. On July 18, 2016, Judge Taft-Carter issued a decision denying Mr. Lincourt's motion to remand.

Damon Borrelli v. Employees' Retirement System of Rhode Island (ERSRI).

Providence Superior Court; C.A. No. PC-2016-2817

No Change. Plaintiff, a South Kingstown Police Officer, appeals the denial of his application for an accidental disability pension. ERSRI has filed an Answer to Mr. Borelli's First Amended Complaint, and the Designation of Record of Administrative Appeal with the court.

The Retirement Board of the Municipal Employees' Retirement System of the State of Rhode Island v. Coventry Fire District, By and Through Frank Palin, John D'Onofrio, Brenda Rapose, and John Cook, in their Capacities as Board Members and Inhabitants of the District, Robert Catalfamo, in his Capacity as Tax Assessor/Collector of the District, and David Krekorian, in his Capacity as Treasurer of the District.

Kent County Superior Court; C.A. No. KC2015-1099

No Change. Plaintiff brings this action seeking to collect unpaid employer and employee contributions to MERS. Defendant has not answered the case, but is seeking verification of the amount of the indebtedness.

Jeanne Rossi v. Employees' Retirement System of Rhode Island

Providence County Superior Court CA No. PC 09-2607

No Change. This action arises out of the denial by the Retirement System of Ms. Rossi's application for attorney's fees pursuant to the Equal Access to Justice Act, related to the earlier denial of her application for an accidental disability pension. The Designation of Record of Administrative Appeal has been filed with the Court. The matter has been fully briefed and assigned to Mr. Justice Rubine for decision.

Jane Robinson v. Frank J. Karpinski in his capacity as Executive Director of the Employees' Retirement System of Rhode Island

Providence County Superior Court CA No. PC 09-7019

No Change. This action arises out of the denial by the Retirement System of Ms. Robinson's request to purchase service credit for time when she left teaching for maternity reasons. An Answer was filed. The Designation of Record of Administrative Appeal was filed on April 14, 2010. This matter has been fully briefed and assigned to Ms. Justice Hurst for decision.

Nancy Langlois v. Frank T. Caprio

Providence County Superior Court CA No. PC 10-0909

No Change. This action arises out of the denial by the Retirement Board of Ms. Langlois's application to receive service credit for the time she worked reduced hours after she returned from maternity leave. An Answer and the Designation of the Administrative Record have been filed. On April 26, 2012, Mr. Justice Procaccini remanded the case to the Retirement Board for further proceedings. The matter was referred to the Hearing Officer for further consideration consistent with the April 26, 2012 decision. On October 24, 2012, the Hearing Officer issued a decision again affirming the administrative decision to deny Ms. Langlois's application to receive service credit. On December 12, 2012, the Retirement Board again voted to deny Ms. Langlois's request to obtain service credit. On January 21, 2013, Ms. Langlois filed a First Amended Complaint. The Retirement System's Answer and Amended Designation of Record of Administrative Appeal were filed on January 25, 2013.

Linda Acciaro v. Employee's Retirement System of Rhode Island

Providence County Superior Court CA No. PC 10-2822

No Change. This action arises out of the denial by the Retirement Board of Ms. Acciaro's application for accidental disability retirement. Ms. Acciaro was a Chief Inspector for the R.I. Department of Health. An Answer was filed on May 19, 2010. The Designation of Record of Administrative Appeal was filed on June 17, 2010. On August 24, 2012, Mr. Justice Van Couyghen remanded the matter to the Retirement Board for further proceedings. On July 9, 2014, the Retirement Board denied Ms. Acciaro's application. On July 28, 2014, Ms. Acciaro filed a second appeal to the Superior Court. The Retirement System's Answer and Amended Designation of Record of Administrative Appeal have been filed with the Court, and the matter has been fully briefed.

Mary Zayat v. Employees' Retirement System of Rhode Island

Providence County Superior Court CA No. 2012-0716

No Change. This Administrative Appeal arises from Mary Zayat's claims that ERSRI wrongfully denied her application for an accidental disability pension. Ms. Zayat was a Probation and Parole Counselor with the Department of Corrections. The Retirement System's Answer was filed on February 22, 2012. The Designation of Record of Administrative Appeal was filed on February 23, 2012.

Jennifer Leyden v. Employees' Retirement System of Rhode Island

Providence County Superior Court CA No. 2012-1867

No Change. This Administrative Appeal arises from Jennifer Leyden's claims that ERSRI wrongfully denied her application for an accidental disability pension. Ms. Leyden was a teacher for the Providence Public School System. The Retirement System's Answer was filed on June 4, 2012. The Designation of Record of Administrative Appeal was filed on June 5, 2012. The matter was fully briefed and assigned to Mr. Justice Lanphear for decision. On June 5, 2013, Judge Lanphear issued a decision remanding the matter to the Retirement Board for further proceedings. On July 17, 2013, ERSRI filed a petition for issuance of a writ of certiorari with the Rhode Island Supreme Court. On June 11, 2014, the Rhode Island Supreme Court issued an order denying the petition for certiorari as a final judgment has not yet entered. Ms. Leyden filed a motion with the Superior Court attempting to restrict the system's ability to obtain an additional independent medical examination. On October 17, 2014, the Superior Court denied Ms. Leyden's motion.

Peter Ferraro v. Employees' Retirement System of Rhode Island

Washington County Superior Court CA No. 12-0674

No Change. Plaintiff, a Westerly school teacher, appeals the denial of his application for an ordinary disability pension. The Retirement System has filed an Answer and the Designation of Record of Administrative Appeal with the Court. The matter has been fully briefed.

Michael T. Brady v. Gina M. Raimondo, et al.

Providence County Superior Court C.A. No.: PC 2013-5592

No Change. Plaintiff is a disability retiree who brought this action against the Retirement System, the General Treasurer in her individual and official capacities, and the Executive

Director in his individual and official capacities, seeking, *inter alia*, damages, and a determination that the Retirement System cannot make adjustments to his disability allowance based on earned income. The parties have stipulated that the Superior Court action will be held in abeyance while Plaintiff pursues administrative remedies.

Albert DelMastro, Jr. v. Employee's Retirement System of Rhode Island

Providence County Superior Court C.A. No. PC14-1850

No Change. Plaintiff, an electrician with the Community College of Rhode Island, appeals the denial of his application for an accidental disability pension. The Retirement System has filed an Answer and the Designation of Record of Administrative Appeal with the Court.

Retirement Board v. Rachel Arruda

Providence County Superior Court C.A. No. PC14-6174

No Change. This is an action to revoke or reduce Ms. Arruda's pension pursuant to the Public Employee Pension Revocation and Reduction Act. Ms. Arruda, a former employee of the City of Woonsocket, pled *nolo contendere* to a felony charge related to conversion of funds in connection with her municipal employment. At a hearing on January 29, 2015, Arruda stipulated to the suspension of her pension pending adjudication of the action.

The Retirement Board of the Employees' Retirement System of the State of Rhode Island v. Gerard M. Martineau

Providence County Superior Court; C.A. No. PC 15-1268

No Change. This is an action to revoke or reduce Mr. Martineau's pension pursuant to the Public Employee Pension Revocation and Reduction Act. Mr. Martineau, a former elected official of the State of Rhode Island, pled *nolo contendere* to charges of Honest Services Mail Fraud in connection with his public employment. Mr. Martineau was served with the Complaint on April 2, 2015. Mr. Martineau has agreed to voluntarily relinquish any entitlement to a pension or other benefit he might otherwise have been entitled to, and documents necessary to obtain court approval of revocation of his pension have been sent to him for review.

Benjamin Zanni v. Town of Johnston and ERSRI

Providence County Superior Court C.A. No. PC-2015-4245

No Change. Plaintiff brought suit against the Town of Johnston and ERSRI (as an "interested party"), challenging the City's decision to deny him medical benefits pursuant to ordinance, based on his prior criminal conviction for conduct in connection with his public employment.

The matter was initially removed by the City to federal court, and was then remanded to the superior court. ERSRI has filed an Answer to the Complaint.

Robert E. Falvey v. Seth Magaziner, et al.

Providence County Superior Court C.A. No.: PC 2016-0232

No Change. Plaintiff is a disability retiree who brought this action against the Retirement Board, the General Treasurer in his capacity as chair of the Board, the Executive Director, and the State of Rhode Island, seeking, *inter alia*, damages, and a determination that the Retirement System cannot make adjustments to his disability allowance based on earned income. The parties have stipulated that no Answer or other response shall be required to be filed until 30 days after Plaintiff's counsel states in writing that an Answer or other response is being requested.

Benita Fernandez v. Employee's Retirement System of Rhode Island

Providence County Superior Court C.A. No. PC2015-5489

No Change. Plaintiff, a Social Caseworker II with DCYF, appeals the denial of her application for an accidental disability pension. The Retirement System has filed an Answer and the Designation of Record of Administrative Appeal with the Court.

Anne Marcaccio v. Central Falls Board of Trustees, and its Members, Anna Cano Morales, Sonia Grace, Hugo Figueroa, Stephanie Gonzalez, Diosa Martinez, Ana Cecilia Rosado, and Ronald Pitt, the North Smithfield School Committee, and its Members, Merredythe Nadeau, Arthur Bassett, Michael Clifford, William J. O'Connell, Liane M. Jalette, James J. Lombardi, III, and John E. Raymond and the Employees' Retirement System of Rhode Island, by and through the General Treasurer, Seth Magaziner.

Providence Superior Court; C.A. No. PC 16-1210

No Change. Plaintiff has brought this declaratory judgment action, with the stated intention of obtaining records from her former employers for use in connection with administrative proceedings at ERSRI related to the calculation of service credit, and her corresponding eligibility for a service pension. ERSRI has filed an answer to the complaint.

Employees' Retirement System of Rhode Island v. Thomas McSoley, Marlene A. Palumbo, and Michael E. McSoley.

Providence Superior Court; C.A. No. PC2016-1144

No Change. ERSRI brought suit to recover monies overpaid to a direct deposit account of Thomas McSoley, following his death in 2011. The defendants are believed to be joint account holders with the decedent. ERSRI effectuated service of process, and sought an injunction preventing the defendants from accessing or withdrawing the funds. On March 18, 2006, the parties entered into a Consent Order that restrains the defendants from accessing, withdrawing, encumbering, or otherwise spending or disposing of the funds on account until further order of the Court.

Alfred Benjamin v. Seth Magaziner, et al.

Providence County Superior Court C.A. No.: PC 2016-4467

No Change. Plaintiff is a disability retiree who brought this action against the Retirement Board, the General Treasurer in his capacity as chair of the Board, the Executive Director, and the State of Rhode Island, seeking, *inter alia*, damages, and a determination that the Retirement System cannot make adjustments to his disability allowance based on earned income. The parties have stipulated that no Answer or other response shall be required to be filed until 30 days after Plaintiff's counsel states in writing that an Answer or other response is being requested.

Emile E. Ziadeh v. Employees' Retirement System of Rhode Island Board.

Providence Superior Court; C.A. No. PC 2016-4629

No Change. Plaintiff, a former state employee, appeals the denial of his application for an accidental disability pension. The parties are in the process of coordinating service of process.

FOR THE DECEMBER 2016 BOARD BOOK –

THERE IS NO STATE INVESTMENT
COMMISSION (SIC) REPORT