



State of Rhode Island and Providence Plantations
Office of the General Treasurer

Seth Magaziner
General Treasurer

May 15, 2015

VIA EMAIL ONLY akomeili@nmgovlaw.com

Armeen Komeili
2350 Kerner Boulevard
Suite 250
San Rafael, CA 94901

RE: Public Records Request

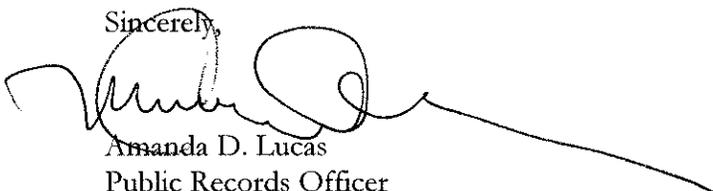
Dear Mr. Komeili:

We write in response to your April 10, 2015 request for information pursuant to the Rhode Island Access to Public Records Act (APRA). In a telephone conversation with Mark Rhoads you requested a copy of Treasury's Placement Agent Policy.

Enclosed in draft form are copies of Treasury's Master Placement Agent Disclosure Certificate, and Code of Conduct Investor Pledge. Also enclosed is a copy of the Code of Conduct for Investment Advisors. If you contend you have been denied access to public records, pursuant to Rhode Island General Laws §38-2-8, you may appeal this decision to Jeffrey M. Padwa, General Counsel for the Rhode Island General Treasurer's Office, 50 Service Avenue, 2nd Floor, Warwick, RI 02886. You may also file a complaint with the Department of the Attorney General, 150 South Main Street, Providence, RI 02903 or the Rhode Island Superior Court of the county where the records are maintained.

Additional information regarding the Access to Public Records Act may be found at <http://www.riag.ri.gov/civil/opengovernment>.

Sincerely,



Amanda D. Lucas
Public Records Officer

Enclosures